

## HOMOTOPICAL DYNAMICS

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**Abstract.** In this paper we give a review of some recent results of study the dynamics of a map  $f$  with use of topological invariants. We restrict our consideration to these invariants which are determined by the homotopy class of  $f$ . Our main object of interest is the set of homotopy minimal periods of  $f$ , i.e., the set of natural numbers which are minimal periods for all maps which are homotopic to  $f$ . We also show that the same tools are useful in the study of minimal periods of a map of the sphere which commutes with a free homeomorphism and in establishing that the logarithm of spectral radius of a map of compact nilmanifold is a lower bound for the topological entropy.

**1. Homotopical Dynamics.** Let  $f : X \rightarrow X$  be a self-map of a compact connected polyhedron  $X$ .

DEFINITION 1.1. We say that an invariant of  $f$  describes the dynamics of  $f$  if it depends on  $\{f^n\}_{n \in \mathbb{N}}$ .

EXAMPLE 1.2. Below we include a list of invariants describing the dynamics.

Let  $P^m(f) = \text{Fix}(f^m)$  be the set of points of period  $m$ , and  $P_m(f) = P^m(f) \setminus \bigcup_{k < m} P^k(f)$  be the set of points for which  $m$  is the minimal period. Then the set of all periodic points  $P(f) := \bigcup_m \text{Fix}(f^m) = \bigcup_m P_m(f)$  is such an invariant.

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Also the set of all minimal periods, denoted by  $\text{Per}(f)$ , the topological entropy, denoted by  $\mathbf{h}(f)$  (see [9] for a definition of entropy), the spectral radius of the induced map  $H_*(f; \mathbb{R})$  on the real cohomology spaces, denoted by  $\text{sp}(f)$  (cf. [39] and Section 4), are invariants describing the dynamics.

Also the asymptotical Lefschetz and Nielsen numbers, denoted by  $L^\infty(f)$  and respectively  $N^\infty(f)$  (cf. [11, 19]), give such exemplifications as well. Other invariants which describe the dynamics of  $f$  are the set of non-wandering points (cf. [9]), denoted by  $\Omega(f)$ , and discrete Conley index, denoted by  $\text{Con}(f)$  (cf. [33]).

**DEFINITION 1.3.** We say that an invariant characterizes the homotopy dynamics of  $f$  if it has the same value for every  $g$  homotopic to  $f$ .

It follows from the definition that  $\text{sp}(f)$ ,  $L^\infty(f)$ ,  $N^\infty(f)$ , and  $\text{Con}(f)$  are homotopy dynamics invariants. On the other hand,  $P(f)$  and  $\text{Per}(f)$  are not homotopy invariants (cf. Examples 1.5, 4.4). Neither is  $\mathbf{h}(f)$ , because it is not continuous with respect to  $C^0$ -topology of  $\text{Map}(X, X)$  (cf. [9]).

**DEFINITION 1.4.** Define the set of homotopy minimal periods as the set

$$\text{HPer}(f) := \bigcap_{g \simeq f} \text{Per}(g),$$

i.e.,  $m \in \mathbb{N}$  is a homotopy minimal period of  $f$  if it is the minimal period for every  $g$  homotopic to  $f$ .

By definition  $\text{HPer}(f) \subset \text{Per}(f)$  and the inclusion is proper in general.

**EXAMPLE 1.5.** Let us take  $f = \text{id}_{S^1}$ . Of course  $f \simeq g_\alpha$ , where  $g = g_\alpha$  is the rotation by  $\alpha$ , a small irrational angle. Then  $\text{Per}(g_\alpha) = \emptyset$ , and  $\text{HPer}(f) \subsetneq \text{Per}(g)$ .

For a map of a smooth manifold any homotopy dynamics invariant provides an information about the rigid part of dynamics, because a small perturbation of a map  $f$  is homotopic to it. In particular, the following is true.

**REMARK 1.6.** If  $X$  is a smooth manifold then  $\text{HPer}(f) = \text{HPer}(h)$  for any small perturbation  $h$  of  $f$ .

For given  $r \in \mathbb{Z}$ , let  $z \mapsto z^r$  be a map of  $S^1$ . We have  $\text{HPer}(f) = \emptyset$  for  $r = 1$ ;  $\text{HPer}(f) = \{1\}$  for  $r = 0$ . For  $r = -1$ , let us consider the map  $f$  which is the composition of the map  $z \mapsto \bar{z}$  and a homeomorphism  $h : S^1 \rightarrow S^1$  which has two fixed points  $\{-1, 1\}$  and pushes points of the upper hemisphere from  $\{1\}$  towards  $\{-1\}$ , and points of the lower hemisphere from  $\{-1\}$ , towards  $\{1\}$ . Since  $h$  is homotopic to the identity,  $\deg f = -1$ . On the other hand,  $P(f) = \{-1, 1\}$ , thus  $\text{Per} = \{1\}$ , which shows that  $\text{HPer}(f) = \{1\}$ , because  $L(f) \neq 0$  for every map of  $\deg(f) = -1$ .

The set of homotopy minimal periods (under another name) was first studied for selfmaps of the circle  $M = S^1$  by S. Efremova in [7] and L. Block, J. Guckenheimer, M. Misiurewicz, L. S. Young in [4]. They wanted to prove an analogue of the Šarkovskii theorem of [37] for the circle maps. As the result they got the following theorem.

**THEOREM 1.7.** *Let  $f : S^1 \rightarrow S^1$  be a map of the circle and  $A_f = r \in \mathbb{Z} = \mathcal{M}_{1 \times 1}(\mathbb{Z})$  the degree of  $f$ .*

*There are three types of the sets of minimal homotopy periods of  $f$  :*

(E)  $\text{HPer}(f) = \emptyset$  *if and only if*  $r = 1$ .

(F)  $\text{HPer}(f) \neq \emptyset$  *and is finite if and only if*  $r = -1$  *or*  $r = 0$ .

*Then*  $\text{HPer}(f) = \{1\}$ .

(G)  $\text{HPer}(f) = \mathbb{N}$  *for the remaining*  $r$ , *i.e.*  $|r| > 1$ , *with except one special case*  $r = -2$  *when*  $\text{HPer}(f) = \mathbb{N} \setminus \{2\}$ .  $\square$

Next L. Alsedá, S. Baldwin, J. Llibre, R. Swanson, and W. Szlenk examined the case  $M = T^2$  in [1]. To give a description of the set of the homotopy minimal periods (which they called “the minimal set of periods”) they used the Nielsen theory. Their main theorem, after a reformulation in our terms, may be stated as follows.

**THEOREM 1.8.** *Let  $f : T^2 \rightarrow T^2$  be a map of the torus,  $A \in \mathcal{M}_{2 \times 2}(\mathbb{Z})$  the linearization of  $f$ , and  $\chi_A(t) = t^2 - at + b$  be its characteristic polynomial.*

*There are three types of the sets of minimal homotopy periods of  $f$  :*

(E)  $\text{HPer}(f) = \emptyset$  *if and only if*  $-a + b + 1 = 0$ .

(F)  $\text{HPer}(f)$  *is nonempty and finite for 6 cases corresponding to one of the six pairs*  $(a, b)$  *listed below*

$(0, 0), (-1, 0), (-2, 1), (0, 1), (-1, 1), (1, 1)$ .

*Then*  $\text{HPer}(f) \subset \{1, 2, 3\}$ . *Moreover, the sets*  $T_A$  *and*  $\text{HPer}(f)$  *are as follows:*

*Cases of Type (F)*

| $(a, b)$  | $T_A$                              | $\text{HPer}(f)$ |
|-----------|------------------------------------|------------------|
| $(0, 0)$  | $\mathbb{N}$                       | $\{1\}$          |
| $(0, 1)$  | $\mathbb{N} \setminus 4\mathbb{N}$ | $\{1, 2\}$       |
| $(-1, 0)$ | $\mathbb{N} \setminus 2\mathbb{N}$ | $\{1\}$          |
| $(-1, 1)$ | $\mathbb{N} \setminus 3\mathbb{N}$ | $\{1\}$          |
| $(-2, 1)$ | $\mathbb{N} \setminus 2\mathbb{N}$ | $\{1\}$          |
| $(1, 1)$  | $\mathbb{N} \setminus 6\mathbb{N}$ | $\{1, 2, 3\}$    |

(G)  $\text{HPer}(f)$  *is infinite for the remaining*  $a$ , *and*  $b$ . *Furthermore,  $\text{HPer}(f)$  is equal to*  $\mathbb{N}$  *for all pairs*  $(a, b) \in \mathbb{Z}^2$  *with the exception of the following special cases listed below. We say that a pair*  $(a, b) \in \mathbb{Z}^2$  *satisfies*

*condition*  $1^0$  if  $a \neq 0$  and  $a + b + 1 = 0$ ,  
*condition*  $2^0$  if  $a + b = 0$ ,  
*condition*  $3^0$  if  $a + b + 2 = 0$  respectively,

and  $(a, b)$  is not one of the pairs of case (E) and (F).

The table below sets forth the special cases.

| $(a, b)$                          | $T_A$                              | $\text{HPer}(f)$                   |
|-----------------------------------|------------------------------------|------------------------------------|
| $(-2, 2)$                         | $\mathbb{N}$                       | $\mathbb{N} \setminus \{2, 3\}$    |
| $(-1, 2)$                         | $\mathbb{N}$                       | $\mathbb{N} \setminus \{3\}$       |
| $(0, 2)$                          | $\mathbb{N}$                       | $\mathbb{N} \setminus \{4\}$       |
| $(a, b) : (a, b)$ satisfies $1^0$ | $\mathbb{N} \setminus 2\mathbb{N}$ | $\mathbb{N} \setminus 2\mathbb{N}$ |
| $(a, b) : (a, b)$ satisfies $2^0$ | $\mathbb{N}$                       | $\mathbb{N} \setminus \{2\}$       |
| $(a, b) : (a, b)$ satisfies $3^0$ | $\mathbb{N}$                       | $\mathbb{N} \setminus \{2\}$       |

□

A qualitative progress in methods had been made by B. Jiang and J. Llibre who gave a description of the set of homotopy minimal periods for the torus  $M = T^d$ , with any  $d \in \mathbb{N}$  ([20]). To prove a general theorem (cf. Theorem 3.2), they applied a fine combinatorics argument and a deep algebraic number theory theorem they proved, but also used a topological result of You ([43, 44]) on the periodic points on tori. The mentioned number theory theorem is close to A. Schinzel's theorem on prime divisors (cf. [38]).

It was natural to ask whether this theorem can be extended onto larger classes of compact manifolds containing the tori, namely: compact nilmanifolds, compact completely solvable solvmanifolds, exponential solvmanifolds. This paper gives a survey of recent results that include: a general description of the set of homotopy minimal periods for the maps of a compact nilmanifold (Theorem 3.2) and compact completely solvable solvmanifold, their exemplification for dimension 3 (Theorems 3.10, 3.14) with a detailed list, specification for homeomorphisms (Theorems 3.12, 3.15) and consequences in the form of Šarkovskii type theorems (Theorems 3.11, 3.16).

We begin with a background on the above classes of manifolds and present a construction of the so-called linearization  $A_f$  of a map  $X \rightarrow X$  of such a manifold (Definition 2.6). It is an integral  $d \times d$  matrix,  $d$  the dimension of  $X$ , and is essential in formulation of the main theorems, thus in the description of the set of homotopy minimal periods. Its properties can be also used for a proof of the Shub conjecture on an estimate of the topological entropy of a continuous map of a compact nilmanifold (Theorem 4.5). Finally, we display that a fine modification of Nielsen theory of periodic points can be used to prove the existence of infinitely many minimal periods (thus infinitely many

periodic points) for a continuous map of the sphere provided it commutes with a free homeomorphism of a finite order (Theorems 5.7, 5.5).

## 2. Nilmanifolds and linearization of a map.

DEFINITION 2.1. Let  $\Gamma \subset G$  be discrete, co-compact subgroup of a connected Lie group  $G$  of dimension  $d$ . (A co-compact subgroup is called *uniform*). We say that  $G$  is nilpotent if the central tower  $G_i := [G_{i+1}, G]$ ,  $\Gamma_i := G_i \cap \Gamma$ , :

$$G_0 = \mathbf{e} < G_1 < G_2 < \cdots < G_{k-1} < G_k = G, \quad \text{and}$$

is finite. Then finite is also corresponding tower for  $\Gamma$ ,  $G_i := G_i \cap \Gamma$

$$\Gamma_0 = \mathbf{e} < \Gamma_1 \cong \mathbb{Z}^{s_1} < \Gamma_2 < \cdots < \Gamma_{k-1} < \Gamma_k = \Gamma,$$

and each  $\Gamma_i$  is uniform in  $G_i$  (cf. [3, 24, 35]).

DEFINITION 2.2. We say that a compact manifold  $X$  of dimension  $d$  is a nilmanifold if it is the quotient space  $G/\Gamma$  of a simple-connected nilpotent group  $G$  by a uniform discrete subgroup  $\Gamma \subset G$  ([3, 24, 35]).

EXAMPLE 2.3.  $T^d := \mathbb{R}^d/\mathbb{Z}^d \cong (S^1)^d$  is the torus. If  $d \leq 2$  then it is the only example.

For a ring  $\mathcal{R}$  with unity (e.g.  $\mathcal{R} = \mathbb{R}$ ,  $\mathcal{R} = \mathbb{C}$ ) let  $\mathcal{N}_n(\mathcal{R})$  denote the group of all unipotent upper triangular matrices whose entries are elements of the ring  $\mathcal{R}$ , i.e.

$$\begin{bmatrix} 1 & r_{12} & \cdot & \cdots & \cdot & r_{1n} \\ 0 & 1 & r_{23} & \cdot & \cdots & r_{2n} \\ 0 & 0 & 1 & r_{34} & \cdots & r_{3n} \\ \cdot & \cdot & \cdot & \cdot & \cdots & \cdot \\ \cdot & \cdot & \cdot & \cdots & 1 & r_{n-1n} \\ 0 & 0 & \cdot & \cdots & 0 & 1 \end{bmatrix}.$$

— *Iwasawa manifolds*:  $\mathcal{N}_n(\mathbb{R})/\mathcal{N}_n(\mathbb{Z})$  and  $\mathcal{N}_n(\mathbb{C})/\mathcal{N}_n(\mathbb{Z}[i])$ , where  $\mathbb{Z}[i]$  is the ring of Gaussian integers are examples of nilmanifolds of dimension 3 not diffeomorphic to the torus. They are called Heisenberg manifolds. The Iwasawa 3-manifold  $\mathcal{N}_3(\mathbb{R})/\mathcal{N}_3(\mathbb{Z})$ , is called “Baby Nil”

It is known ([3]) that for  $d = 3$  every nilmanifold is, up to diffeomorphism, of the form  $\mathcal{N}_3(\mathbb{R})/\Gamma_{p,q,r}$ , where the subgroup  $\Gamma_{p,q,r}$ , with fixed  $p, q, r \in \mathbb{N}$  consists of all matrices of the form

$$\begin{bmatrix} 1 & \frac{k}{p} & \frac{m}{p \cdot q \cdot r} \\ 0 & 1 & \frac{l}{q} \\ 0 & 0 & 1 \end{bmatrix}, \quad \text{where } k, l, m \in \mathbb{Z}.$$

Moreover, as a complete set of representatives of the diffeomorphisms classes of such manifolds we can take the family  $\{\mathcal{N}_3(\mathbb{R})/\Gamma_{1,1,r}\}$ ,  $r \in \mathbb{N}$ .

Fadell and Husseini in [8] showed that the category of compact nilmanifolds is equivalent to the category of manifolds of so called nilpotent class defined below by an inductive procedure.

DEFINITION 2.4. Let  $\mathcal{N}$  denote a class of compact connected manifolds satisfying the following two conditions:

- N.1  $\mathcal{N}$  contains all tori (products of circles)
- N.2  $\mathcal{N}$  contains also total spaces  $X$  of fibrations: Given any map  $g : X \rightarrow X$ , where  $X \in \mathcal{N}$  is not a torus, there is a fiber map  $f$

$$\begin{array}{ccc} T & \xrightarrow{f_1} & T \\ \downarrow & & \downarrow \\ X & \xrightarrow{f} & X \\ \downarrow & & \downarrow \\ B & \xrightarrow{\tilde{f}} & B, \end{array}$$

where  $p$  is a principal  $T$ -fibration,  $T$  a torus,  $B \in \mathcal{N}$  and  $f \simeq g$ .  $\square$

Note that the exact sequence of homotopy of  $(F \subset X, p, B)$ ,  $F = T^s$ ,  $s < d$  yields that the group  $\pi_1(X) = \Gamma$  is an extension of the form

$$\pi_1(T^s) = \mathbb{Z}^s \subset \pi_1(X) = \Gamma \rightarrow \pi_1(B) = \Gamma/\mathbb{Z}^s.$$

Moreover, the Fadell–Husseini fibration allows us to associate with  $f$  a  $d \times d$  integral matrix  $A = A_f$  by inductive procedure described below.

DEFINITION 2.5. For  $X = T^d$  we define  $A_f$  as the matrix of homomorphism induced by  $f$  on  $\pi_1(T^d) \simeq H_1(T^d; \mathbb{Z}) \simeq H^1(T^d; \mathbb{Z}) \simeq \mathbb{Z}^d$ . Note that this matrix defines a linear map of  $\mathbb{R}^d$  preserving  $\mathbb{Z}^d$  and such that the induced map  $[A_f] : T^d \rightarrow T^d$  is homotopic to  $f$ .

In general, we define the linearization matrix by induction on the dimension of nilmanifold.

DEFINITION 2.6. Let  $f : X \rightarrow X$ ,  $X \in \mathcal{N}$ ,  $\dim X = d$ , be given as a fiber map of the Fadell–Husseini fibration  $(f_1, f, \tilde{f})$  of  $(T^{d_1}, X, B)$ ,  $B \in \mathcal{N}$ ,  $\dim B = \tilde{d}$  with  $A_{f_1}$ ,  $A_{\tilde{f}}$  the linearizations of  $f_1$  and  $\tilde{f}$ , respectively. We call the integral  $d \times d$  matrix

$$A = A_f \in \mathcal{M}_{d \times d}(\mathbb{Z}), \quad A_f := A_{f_1} \oplus A_{\tilde{f}}$$

the linearization of  $f$ .

It is easy to show that the matrix  $A_f$  express the Lefschetz number of  $f$  by the following formula (cf. [10, 14]).

**THEOREM 2.7.** *Let  $f : X \rightarrow X$ ,  $\dim X = d$  be a map of nilmanifold and  $A = A_f$  the linearization of matrix of  $f$ . Then*

$$L(f^m) = \det(I - A^m)$$

for every  $m \in \mathbb{N}$ . □

The important property of a self-map  $f$  of compact nilmanifold relates the Lefschetz number of  $f$  with the Nielsen number making the latter effectively computable.

**THEOREM 2.8.** [ANOSOV THEOREM] *For a map  $f$  of nilmanifold we have*

$$N(f) = |L(f)|.$$

For a proof see [2], [8]. The formula was first proved for a torus map in [6]. □

By the definition (Def. 2.2) a compact nilmanifold  $x$  is so-called Eilenberg–Moore  $K(\Gamma, 1)$ –space (cf. [41]), because  $\pi_1(X) = \Gamma$  and  $\pi_k(X) = 0$  for  $k > 1$ . Consequently the set  $[X, X]$  of homotopy classes of self-maps of  $M$  is in one-to-one correspondence with the set of all homomorphisms of the fundamental group  $\pi_1(X) = \Gamma$  ([41]). The uniform and discrete subgroups of nilpotent groups have additionally a property of the extension of a homomorphism, called the rigidity property.

**PROPOSITION 2.9.** *Let  $X = G/\Gamma$  be a compact nilmanifold and  $\pi_1(X) = \Gamma$  its fundamental group. Then  $[X, X] \longleftrightarrow \text{Hom}(\Gamma, \Gamma)$ . Moreover, every homomorphism  $\phi : \Gamma \rightarrow \Gamma$  has a unique extension  $\Phi : G \rightarrow G$ .*

For a proof see [35]. □

The linearization matrix  $A_f$  (cf. Definitions 2.5 and 2.6) is also well-defined if  $f$  is a self-map of an  $NR$ –manifold  $X$  (cf. [22]). The later is a subclass of all compact solvmanifolds (cf. Def. 2.10) containing so called exponential solvmanifolds (see Def. 2.12, also [3, 22], [17] for the definition and more details on exponential manifolds). It is remarkable that  $A_f$  has a nice analytical description if  $X$  is so called completely solvable solvmanifold (cf. Theorem 2.13).

**DEFINITION 2.10.** The compact quotient  $G/\Gamma$ ,  $G$  of solvable Lie group by a uniform closed subgroup  $\Gamma$  is called solvmanifold. If  $\Gamma$  is a discrete subgroup, then the solvmanifold is called special.

For a given Lie group  $G$  let  $\mathcal{G}$  denote its Lie algebra, which is isomorphic, as the vector space, to the tangent space  $T_e$  at the neutral element of  $G$ .

DEFINITION 2.11. A solvable Lie group  $G$  is called completely solvable if for every  $X \in \mathcal{G}$  and the adjoint (linear) map  $\text{ad}_X : \mathcal{G} \rightarrow \mathcal{G}$  we have  $\sigma(\text{ad}_X) \subset \mathbb{R}$ , where  $\sigma(A)$  denotes the spectrum of the quadratic matrix  $A$ .

DEFINITION 2.12. A Lie group  $G$  is called exponential if  $\exp : T_e G = \mathcal{G} \rightarrow G$  is onto  $\iff \sigma(\text{ad}_X) \cap i\mathbb{R} = \emptyset$ ,

For a map of a compact completely solvable manifold the matrix  $A_f$  of linearization of  $f$  can be defined in an analytic way described below (cf. [17]). This definition is based on the following theorem.

THEOREM 2.13. (HATTORI) *Let  $(\Lambda^* \mathcal{G}^*, \delta)$  be the Chevalley–Eilenberg differential complex of invariant exterior forms associated with the Lie algebra  $\mathcal{G}$  of a simply connected, completely solvable Lie group  $G$ . If  $\Gamma \subset G$  is a uniform discrete subgroup, then*

$$H^*(G/\Gamma; \mathbb{R}) \cong H^*(\Lambda^* \mathcal{G}^*, \delta).$$

This theorem was first showed for a compact nilmanifold by Nomizu (cf. [34]). For a proof of the version stated here see [36].  $\square$

Consequently, we can construct a  $d \times d$  matrix by the following procedure: First we derive the homomorphism  $f_*$  induced on the fundamental group  $\Gamma = \pi_1(X)$ ,  $X = G/\Gamma$  of  $X$ :

$$f \mapsto (\phi := f_*) : \Gamma \rightarrow \Gamma.$$

Next, we extend this homomorphism  $\phi$  to a unique continuous homomorphism  $\Phi$  of  $G$ , by Proposition 2.9:

$$\phi \mapsto \Phi : G \rightarrow G.$$

Finally, we take the derivative of the homomorphism (a continuous homomorphism of a Lie group is analytic) at the neutral element of  $G$ :

$$\Phi \mapsto A := D\Phi_e : (\mathcal{G} = T_e G) \rightarrow \mathcal{G}.$$

In this way we get a matrix  $A$ , in general different than  $A_f$  of Definition 2.6 but still having the property  $L(f) = \det(\text{I} - A)$  (cf. [17]).

### 3. Main theorems.

DEFINITION 3.1. Let  $f : X \rightarrow X$  be a map of compact nilmanifold and  $A$  its linearization (Def. 2.6). Put

$$\mathbb{N} \supset T_A := \{m; \det(\text{I} - A^m) \neq 0\}.$$

We call  $T_A$  set of algebraic minimal homotopy periods.

By an obvious reason if  $m \notin T_A$  then  $m \notin \text{HPer}(f)$ , because  $L(f^m) = N(f^m) = 0$  (cf. [20], [14]). Our main theorem gives a characterization of the set of minimal homotopy periods for a map of a compact nilmanifold, or compact completely solvable solvmanifold.



THEOREM 3.2. *Let  $f : X \rightarrow X$  be a map of a compact nilmanifold, or compact completely solvable solvmanifold, of dimension  $d$ ,  $A = A_f$  its linearization, and  $T_A \subset \mathbb{N}$  as above.*

*Then  $\text{HPer}(f) \subset T_A$  and it is in one of the following three (mutually exclusive) types:*

- (E)  $\text{HPer}(f) = \emptyset \iff N(f) = L(f) = 0 \iff 1 \in \sigma(A)$ ;
- (F)  $\text{HPer}(f)$  is nonempty and finite  $\iff$  all eigenvalues of  $A$  are either zero or roots of unity;
- (G)  $\text{HPer}(f)$  is infinite and  $T_A \setminus \text{HPer}(f)$  is finite.

*Moreover, for all  $d$  there are finite sets  $P(d)$ ,  $Q(d)$  of  $\mathbb{N}$  such that  $\text{HPer}(f) \subset P(d)$  in Type F and  $T_A \setminus \text{HPer}(f) \subset Q(d)$  in Type (G).*

It is worth of pointing out that the statement of this theorem is the same as that of its correspondent in the case where  $X$  is the torus  $T^d$  (cf. [20]).

It was noted in [14] that the combinatorics and number theory argument of Jiang, Llibre proof for torus map carries over the nilmanifold case. However, a proof required a new topological assertion — a partial Wecken theorem for periodic points. To formulate it we need the notion of the “periodic” Nielsen numbers introduced by Boju Jiang in [18].

DEFINITION 3.3. Let  $f : X \rightarrow X$  be a map of a finite polyhedron  $X$ . Then one can define two numeric homotopy invariants of  $f$ , called Nielsen  $n$ -periodic numbers, and denoted by  $NP_n(f)$ , and  $NF_n(f)$  such that

$$NP_n(f) \leq \#P_n(f) \quad NF_n(f) \leq \#P^n(f) = \text{Fix}(f^n).$$

A definition is a little bit technical so we refer to [18] for it.

The main topological component of the proof of Theorem 3.2 was the following fact shown in ([14] Th. B).

THEOREM 3.4. *Let  $f : X \rightarrow X$  be a selfmap of a compact nilmanifold  $X$ . If  $NP_n(f) = 0$  then  $f \simeq g$ , for some  $g : X \rightarrow X$  such that  $P_n(g) = \emptyset$ .*

If  $X = T^d$  is a torus the corresponding result was already known ([43, 44]) and used by Jiang and Llibre in [20]. As a matter of fact, the quoted result of You [44] was even in a stronger form that corresponds to Theorem 3.6 stated below.  $\square$

The original proof of Theorem 3.4 essentially used the fact that  $X$  is a nilmanifold, but very soon Jezierski noted that it is a fact of general theory of periodic points, i.e. does not depend on a special structure of manifold (cf. [12]).

THEOREM 3.5. *Let  $f : X \rightarrow X$ , be a map of a  $PL$ -manifold with  $\dim X \geq 4$ , and  $N\Phi_n(f) = 0$  then  $f \simeq g$  such that  $\text{Fix}(g^n) = \emptyset$ .  $\square$*

Next Jezierski studied this problem in full generality proving a correspondent of the Wecken theorem for periodic points which was conjectured by Halpern in early eighties and called “Halpern conjecture” in the literature (cf. [13]).

**THEOREM 3.6.** *Let  $f : X \rightarrow X$  be a map of a PL-manifold with  $\dim X \geq 4$  and  $N\Phi_n(f) = q$ , then  $f \simeq g$  such that  $\#\text{Fix}(g^n) = q$ .*

Another tools for the proof of Theorem 3.2, besides already mentioned Theorem 3.4, are Proposition 2.7, Theorem 2.8 and the following Möbius’ formula:

$$N(f^m) = \sum_{k|m} NP_k(f) \iff NP_m(f) = \sum_{k|m} \mu(m/k)N(f^k).$$

A description of  $\text{HPer}(f)$  is attainable due to an observation established by Boju Jiang and Llibre by a fine combinatorial argument (cf. [20]).

**THEOREM 3.7.** *Let  $f : X \rightarrow X$  be a map of a compact nilmanifold. Then  $m \notin \text{HPer}(f)$  if and only if either  $N(f) = 0$  or  $N(f^m) = N(f^{m/p})$  for some prime factor  $p$  of  $m$ .*

Finally, we show that there exists  $P(d)$  such that  $N(f^m) > N(f^{m/p})$  for all  $m \in T_A$  (i.e.  $N(f^m) \neq 0$ ),  $m > P(d)$ . A nontrivial estimate from below of the rate of convergence of an algebraic number of module 1 is necessary. We need a new notation. Let  $\alpha$  be an algebraic number of degree  $d$  and  $w(x) = a_0x^d + a_1x^{d-1} + \dots + a_d$  its minimal polynomial with roots  $\alpha_1, \dots, \alpha_d$ . The measure of  $\alpha$  is defined as

$$M(\alpha) := a_0 \prod_{i=1}^d \max\{1, |a_i|\}.$$

The crucial is a characterization of an algebraic number: B. Jiang–Llibre, also Mignotte ([20]):

**THEOREM 3.8.** *For every algebraic number  $\alpha$  of degree  $d$  and every  $m \in \mathbb{N}$  such that  $\alpha^m \neq 1$ , we have*

$$\begin{aligned} |1 - \alpha^m| &> \frac{1}{2}e^{-9\alpha H^2}, \quad \text{where} \\ a &= \max\{20, 12.85|\log \alpha| + \frac{1}{2}\log M(\alpha)\}, \\ H &= \max\{17, \frac{d}{2}\log m + 0.66d + 3.25\}. \end{aligned}$$

□

The below inequality was obtained as a consequence of Theorem 3.8 in [20]. It is used to prove the last part of Theorem 3.2.

COROLLARY 3.9. *Let  $\rho := \text{sp}(A)$ . Then*

$$\frac{N(f^m)}{N(f^n)} > \frac{\rho^{m/2} - 1}{e^{9d(41.4 + \frac{d}{2} \log \rho)(d \log m)^2}}.$$

□

Recently a computer program in “Mathematica”, deriving  $\text{HPer}(A_f)$  for a given  $A$  was written by Komendarczyk and the author in [23]. The dependence of the length of interval  $[1, P(d)]$  and  $[1, Q(d)]$  of the statement of Theorem 3.2 only on the dimension  $d$  is not necessary for such a program, because we work with a fixed  $A$ . Moreover, under additional assumption that  $\sigma(A) \cap \{z = 1\}$  consists only of nontrivial roots from unity, a modification the inequality 3.9 drastically cuts the interval of searching.

3.1. *Lower dimensions — a complete description.* It is natural to give a complete list of all sets of homotopy minimal periods in the case if the dimension of a manifold is small. Note that proofs of the corresponding theorems for  $X = S^1$ , and  $X = T^2$  (Theorems 1.7 and 1.8 — cf. [4, 1]) already contain such a list in their formulations. In the paper [20] Jiang and Llibre gave such a list for maps of  $M = T^3$  including a separate table for homeomorphisms. An aim of the work [15] was to give such a list for a three dimensional nilmanifold not homeomorphic to the torus. The corresponding theorem says the following.

THEOREM 3.10. *Let  $f : X \rightarrow X$  be a map of three-dimensional compact nilmanifold  $X$  not diffeomorphic to  $T^3$ . Let  $A = A_1 \oplus \bar{A} \in \mathcal{M}_{3 \times 3}(\mathbb{Z})$  be the matrix induced by the fibre map  $f = (f_1, \bar{f})$  and  $\chi_A(t) = \chi_{A_1}(t) \cdot \chi_{\bar{A}}(t) = (t - d)(t^2 - at + b)$  be its characteristic polynomial. Then  $d = b$  and there are three types for the minimal homotopy periods of  $f$ :*

- (E)  $\text{HPer}(f) = \emptyset$  if and only if  $d = 1$  or  $-a + d + 1 = 0$ .
- (F)  $\text{HPer}(f)$  is nonempty and finite only for 2 cases corresponding to  $d = 0$  combined with one of the two pairs  $(a, b)$   
 $(0, 0)$ , and  $(-1, 0)$ .

Then we have  $\text{HPer}(f) = \{1\}$ . Moreover, the sets  $T_A$  and  $\text{HPer}(f)$  are the following:

| $(d, a, b)$  | $T_A$                              | $\text{HPer}(f)$ |
|--------------|------------------------------------|------------------|
| $(0, 0, 0)$  | $\mathbb{N}$                       | $\{1\}$          |
| $(0, -1, 0)$ | $\mathbb{N} \setminus 2\mathbb{N}$ | $\{1\}$          |

- (G)  $\text{HPer}(f)$  is infinite for the remaining  $(d, a, b = d)$ .

Furthermore,  $\text{HPer}(f)$  is equal to  $\mathbb{N}$  for all triples  $(d, a, b = d) \in \mathbb{Z}^3$  except the following special cases listed below.

## Special Cases of Type (G)

| $(d, a, b)$  | $T_A$                              | $\text{HPer}(f)$                   |
|--|------------------------------------|------------------------------------|
| $a + d + 1 = 0$ , with $a \neq 0$ ,<br>and $d \notin \{-2, -1, 0, 1\}$ | $\mathbb{N} \setminus 2\mathbb{N}$ | $\mathbb{N} \setminus 2\mathbb{N}$ |
| $(0, -2, 0)$   | $\mathbb{N}$                       | $\mathbb{N} \setminus \{2\}$       |
| $(-1, 1, -1)$  | $\mathbb{N} \setminus 2\mathbb{N}$ | $\mathbb{N} \setminus 2\mathbb{N}$ |
| $(-1, -1, -1)$   | $\mathbb{N} \setminus 2\mathbb{N}$ | $\mathbb{N} \setminus 2\mathbb{N}$ |
| $(-2, 1, -2)$  | $\mathbb{N} \setminus 2\mathbb{N}$ | $\mathbb{N} \setminus 2\mathbb{N}$ |
| $(-2, 0, -2)$  | $\mathbb{N}$                       | $\mathbb{N} \setminus \{2\}$       |
| $(-2, 2, -2)$  | $\mathbb{N}$                       | $\mathbb{N} \setminus \{2\}$       |

Moreover, for every pair subset  $\mathcal{S}_1 \subset \mathcal{S}_2 \subset \mathbb{N}$ , appearing as  $\text{HPer}(f)$  and  $T_A$  listed above there exists a map  $f : X \rightarrow X$  such that  $\text{HPer}(f) = \mathcal{S}_1$  and  $T_A = \mathcal{S}_2$ .

A proof is based on a classification of all homomorphisms of the nilpotent group  $\Gamma_{1,1,r}$  (cf. 2.9). What is remarkable that a condition on an integer  $3 \times 3$  matrix  $A$  for being a linearization of a map does not depend on  $r$ , and consequently relies upon a condition on a matrix for being a homomorphism of the Heisenberg algebra (cf. Example 2.3). Note also that an algebraic condition on linearization for  $M \not\cong T^3$  is more restrictive since  $\chi(A) = \chi(A_1)\chi(\bar{A}) = (t-d)(t^2-at+b)$  is the product here. But additionally the topology yields that  $r = \deg f_1 = \deg \bar{f} = \det \bar{A} = b$  (cf. [15]).  $\square$

As a consequence of the derived list of all sets of homotopy minimal periods we got the following Šarkovskii type theorem for a map of compact three dimensional nilmanifold.

**COROLLARY 3.11.** *If a self map of a 3-nilmanifold different than 3-torus is such that  $3 \in \text{HPer}(f)$  then  $\mathbb{N} \setminus 2\mathbb{N} \subset \text{HPer}(f) \subset \text{Per}(f)$ . If  $2 \in \text{HPer}(f)$  then  $\mathbb{N} = \text{HPer}(f) = \text{Per}(f)$ . In particular, the first assumption is satisfied if  $L(f^3) \neq L(f)$  and the second if  $L(f^2) \neq L(f)$ .  $\square$*

As for the torus case (cf. [20]) we specified the classification for homeomorphisms.

**THEOREM 3.12.** *Let  $f : X \rightarrow X$  be a homeomorphism of three-dimensional compact nilmanifold  $X$  not diffeomorphic to  $T^3$ . Let  $A = A_1 \oplus \bar{A} \in \mathcal{M}_{3 \times 3}(\mathbb{Z})$  be the linearization matrix and  $\chi_A(t) = (t-d)(t^2-at+b)$  its characteristic polynomial.*

*Then  $d = b = \pm 1$  and consequently  $\text{HPer}(f) = \emptyset$  iff  $d = 1$  (i.e. if  $f$  preserves the orientation), or  $d = -1$  and  $a = 0$ . In particular,  $\text{HPer}(f) = \emptyset$  for every preserving orientation homeomorphism. For  $d = -1$  (i.e. if  $f$  reverses the orientation) and the remaining  $a$  we have  $\text{HPer}(f) = \mathbb{N}$  with the*

only two exceptions occurring for  $a = 1$  or  $a = -1$ . For these special cases  $T_A = \text{HPer}(f) = \mathbb{N} \setminus 2\mathbb{N}$ .

The statement follows from Theorem 3.10 and the fact that  $d = \pm 1$  (cf. [15]).  $\square$

It is worth of pointing out that the proof of Theorem 3.2 for the completely solvable solvmanifolds followed the argument of [15] and did not depend on Theorem 3.6. Consequently, the use of Theorem 3.6 cuts it essentially and let us to extend it onto maps of  $NR$ -manifold. On the other side, the supposed structure of the completely solvable solvmanifolds is of importance if we wish to identify  $[X, X]$  with  $\text{Hom}(\Gamma, \Gamma)$ , in respect of the rigidity property 2.9. A direct computation shows (cf. [17]).

LEMMA 3.13. *Let  $A : \mathcal{G} \rightarrow \mathcal{G}$  be any endomorphism of Lie algebra of a three-dimensional connected, solvable, completely solvable group  $G$ . Then it has the following form with respect to the basis  $\{\mathcal{X}, \mathcal{Y}, \mathcal{Z}\}$*

$$A = \begin{bmatrix} a & 0 & 0 \\ b & r & s \\ c & u & v \end{bmatrix},$$

where the coefficients satisfy the following conditions:

either  $r = v = s = u = 0$  and  $a \in \mathbb{Z}$  is an arbitrary integer,

or there exists a coefficient  $r, u, s, v$  different from 0 and then  $a \in \{-1, 1\}$ .

Moreover we have:

1. if  $a = -1$  then  $r = v = 0$ ;
2. if  $a = 1$  then  $s = u = 0$ .  $\square$

It led to the correspondent classification theorem for three dimensional completely solvable solvmanifolds (cf. [17]).

THEOREM 3.14. *Let  $f : X \rightarrow X$  be a map of a compact three dimensional completely solvable special solvmanifold which is not diffeomorphic to a nilmanifold. Let next  $\mathcal{M}_{3 \times 3}(\mathbb{Z})$  be the linearization. Then we have three mutually disjoint cases:*

- (E)  $\text{HPer}(f) = \emptyset$  iff  $L(f) = 0$  iff  $a = 1$  or ( $a = -1$  and  $su = 1$ ).
- (G)  $\text{HPer}(f) = \mathbb{N}$  iff  $a \neq \{-2, -1, 0 + 1\}$  and  $r = s = u = v = 0$ ;  
 $\text{HPer}(f) = \mathbb{N} \setminus \{2\}$  iff  $a = -2$   $r = s = u = v = 0$ ;  
 $\text{HPer}(f) = \mathbb{N} \setminus 2\mathbb{N}$  iff  $a = -1$ ,  $|su| \geq 2$  and  $r = v = 0$ .
- (F)  $\text{HPer}(f) = \{1\}$  in the remaining cases.  $\square$

It is worth to emphasize that there exists a countable family  $\{X_n = G/\Gamma_n\}$  of not diffeomorphic three-dimensional, completely solvable special solvmanifolds, where  $G$  is the unique connected, simple-connected completely solvable Lie group corresponding to the Lie algebra of Lemma 3.13.

As in the case of nilmanifolds we specified this theorem for homeomorphisms and got a Šarkovskii type theorem ([17]).

**COROLLARY 3.15.** *For any homeomorphism  $f : X \rightarrow X$  of a compact special three dimensional completely solvable solvmanifold which is not diffeomorphic to a nilmanifold,  $\text{HPer}(f)$  is either empty or consists of the single number 1.*  $\square$

**COROLLARY 3.16.** *For a map as in Theorem 3.14 we have Šarkovski type implications:  $2 \in \text{HPer}(f)$  implies  $\text{HPer}(f) = \mathbb{N}$ . If  $\text{HPer}(f)$  contains an even number then  $\mathbb{N} \setminus \{2\} \subset \text{HPer}(f)$ . If  $\text{HPer}(f)$  contains at least two numbers then  $\mathbb{N} \setminus 2\mathbb{N} \subset \text{HPer}(f)$ .*  $\square$

#### 4. Topological entropy.

**DEFINITION 4.1.** Let  $X$  be a compact metric space, e.g. a compact manifold and  $f : X \rightarrow X$  a self-map of  $X$ . We assign with  $f$  a real number  $\mathbf{h}(f) \geq 0$ , or  $\infty$ , called the topological entropy of  $f$ . Here we assume that  $X$  is a compact smooth manifold of dimension  $d$ .

For a given metric  $\rho$ ,  $n \in \mathbb{N}$ , and a self-map  $f : X \rightarrow X$  we define a new metric

$$\rho_n(x, y) := \max_{0 \leq i \leq n} \rho(f^i(x), f^i(y)).$$

For a given  $\varepsilon > 0$  put

$$\begin{aligned} r_n(f, \varepsilon) &:= \min \# \varepsilon\text{-net}, \\ S_n(f, \varepsilon) &:= \max \# \varepsilon\text{-separated set}, \\ \mathbf{h}(f) &:= \lim_{\varepsilon \rightarrow 0} \overline{\lim}_{n \rightarrow \infty} \frac{1}{n} \log r_n(f, \varepsilon) = \\ &= \lim_{\varepsilon \rightarrow 0} \overline{\lim}_{n \rightarrow \infty} \frac{1}{n} \log S_n(f, \varepsilon). \end{aligned}$$

For more details on the entropy see [10]. Roughly speaking, if  $\mathbf{h}(f) > 0$  then the dynamics of  $f$  is complex (rich).

Let  $H^*(f) : H^*(X; \mathbb{R}) \rightarrow H^*(X; \mathbb{R})$  be the linear map induced by  $f$  on the cohomology space

$$H^*(X; \mathbb{R}) := \bigoplus_0^d H^i(X; \mathbb{R}).$$

Recall that if  $X$  is a compact smooth manifold then the singular, Čech, simplicial, cellular, or de Rham cohomology theory are equivalent (cf. [41]). Denote by  $\sigma(f)$  the spectrum of the linear map

$$H^*(f) : H^*(X; \mathbb{R}) \rightarrow H^*(X; \mathbb{R})$$

induced by  $f : X \rightarrow X$ . Next, by  $\text{sp}(f)$  we denote the spectral radius of the map  $H^*(f)$ .

Michael Shub ([39]) posed the following conjecture about the topological entropy.

CONJECTURE 4.2. *Let  $f : X \rightarrow X$  be a  $C^1$ -map. Then*

$$\log \text{sp}(f) \leq \mathbf{h}(f).$$

Misiurewicz and Przytycki in [32] proved that the estimate (4.2) holds for a continuous map of the torus  $T^d$ . This led to conjecture posed by A. Katok that if we assume a special topological form of the manifold  $X$  then the estimate (4.2) holds for every continuous self-maps [21].

CONJECTURE 4.3. *Let  $X$  be a manifold with the universal cover homeomorphic to the Euclidean space  $\mathbb{R}^d$ . Then*

$$\forall C^0\text{-map } f : X \rightarrow X, \quad \log \text{sp}(f) \leq \mathbf{h}(f).$$

Conjecture 4.2 was proved by Yomdin ([42]) if  $f$  is a  $C^\infty$ -map and for a few special cases under the general  $C^1$  assumption (cf. [10, 25, 26, 27]). For example, Misiurewicz and Przytycki showed that  $h(f) \geq \log |\deg(f)|$  ([31]).

Note that Conjecture 4.2 is not true for a  $C^0$ -map as follows from the following example given by Shub in [39].

EXAMPLE 4.4. Let  $h_d : S^1 \rightarrow S^1$  be a map of the circle of degree  $d \geq 2$ , e.g.  $h(z) := z^d$ . Let next  $\phi : [0, 1] \rightarrow [0, 1]$  be a map given as  $\phi(t) := \sqrt{t}$ . Representing  $S^2$  as the suspension of  $S^1$  i.e.  $S^2 = S^1 \times [0, 1] / \sim$  where  $S^1 \times \{0\} \sim *$ ,  $S^1 \times \{1\} \sim *$  and  $(x, t) \sim (x, t)$  if  $t \neq 0, 1$ , we map

$$f([z, t]) := [(h_d(z), \phi(t))].$$

Then  $\deg f = \deg h_d = d$ . The set of non-wandering points of  $f$  (thus also periodic points) consists of two (fixed) points  $[S^1 \times \{0\}]$  and  $[S^1 \times \{1\}]$ . The same holds for any  $n$ -dimensional sphere  $S^n$ .

Note that this map is locally near the South Pole equivalent to  $2z^d \|z\|^{-1}$ , thus not differentiable. Moreover, observe that every map  $f : \mathcal{U} \rightarrow \mathbb{C}$  of a neighborhood  $\mathcal{U}$  of 0 which in the polar coordinates is of the form  $f(\theta, \rho) = (d \cdot \theta, \phi(\rho))$   $\phi(r) > r$ , for  $r > 0$ , can not be smooth at 0. Indeed, then  $|\det Df(0)| \geq 1$ , because  $D_r \subset f(D_r)$  for every disc  $D_r$ . But the later yields that  $f$  is a local diffeomorphism at 0, contrary to its form along the angle coordinate.

Recently an extension of the result of [32] onto larger class of manifolds than tori has been shown (cf. [28]).

THEOREM 4.5. **A.** *Let  $f : X \rightarrow X$  be a continuous self-map of a compact nilmanifold  $X$  of dimension  $d$ . Then*

$$\log(\text{sp}(f)) \leq \mathbf{h}(f).$$

A step in the proof of Theorem 4.5 is the following fact.

PROPOSITION 4.6. *Let  $f : X \rightarrow X$  be a self-map of a compact nilmanifold  $X$  of dimension  $d$  and  $A \in \mathcal{M}_{d \times d}$  be the linearization of  $f$  and  $\wedge A := \bigoplus_0^d \wedge^l A$  the sum of all skew-symmetric powers of  $A$ . Then  $\text{sp}(f) \leq \text{sp}(\wedge A)$ .*

Proposition 4.6 can be derived from a linear algebra argument applied to the spectral sequence of the Fadell–Husseini fibration of Def. 2.4 or from a use of the de Rham complex of invariant forms on  $X$  (cf. [28]).

Next, it seems that one can modify the argument of [32] to show that

PROPOSITION 4.7. *We have  $\log \text{sp}(\wedge A) \leq \mathbf{h}(f)$ .*

Theorem 4.5 follows from Propositions 4.6 and 4.7 (cf. [28]).  $\square$

REMARK 4.8. Remark that if  $L(f) = \det(\mathbf{I} - A) \neq 0$  then Theorem 4.5 follows directly from Proposition 4.6 and the Ivanov theorem ([11]).

Indeed, Ivanov (also Jiang [19]) showed that

$$(1) \quad \log N^\infty(f) := \limsup_n \frac{1}{n} \log N(f^n) \leq \mathbf{h}(f).$$

On the other hand an elementary linear algebra argument shows that:

$$N^\infty(f) = \begin{cases} \text{sp}(\wedge A) & \text{if } 1 \notin \sigma(A), \\ 0 & \text{if } 1 \in \sigma(A). \end{cases}$$

Note that  $N(f) = |L(f)|$  by the Anosov theorem. Consequently,  $L(f) \neq 0 \iff N(f) \neq 0$ , or equivalently  $f \not\sim g$ , where  $g$  is a fixed point free map then.

**5. A symmetry that originates periodic points.** There are many definitions of chaos. We shall use the following with a very weak requirement on the map  $f$ .

DEFINITION 5.1. Let  $f : X \rightarrow X$  be a map. We say that  $f$  originates chaos if either

$$\text{Per}(f) \subset \mathbb{N} \quad \underline{\text{is an infinite set}} \quad \text{or}$$

$$m \mapsto \#P_m(f) \quad \underline{\text{is unbounded}}.$$

From Theorem 1.7 it follows that for a circle map if  $|\deg f| > 1$  then  $f$  originates chaos in the above meaning. The same is not true for maps of  $S^n$ ,  $n \geq 2$ , as follows from the Shub example (cf. Example 4.4).

One can ask what condition on  $f : S^n \rightarrow S^n$ , together with the necessary  $|\deg f| > 1$  implies the existence of infinitely many periodic points. From the



main theorem of [40] it follows that it is enough to assume that  $f$  is a  $C^1$  map to have positive topological entropy, but it does not imply the existence of infinitely many periodic points in general. In [16] we showed that every continuous map  $f : S^n \rightarrow S^n$ ,  $n \geq 1$ , of  $\deg f = r$ , where  $|r| \geq 2$ , originates chaos provided it commutes with a free homeomorphism  $g : S^n \rightarrow S^n$  of a finite order. The sequence  $\{\#\text{Fix } f^k\}$  is unbounded and then  $\text{Per}(f)$  is infinite.

DEFINITION 5.2. Let  $X$  be a smooth manifold and  $g : X \rightarrow X$  a homeomorphism of the finite order  $m$ . We say that  $g$  is free if for every  $x \in X$  and  $1 \leq k \leq m$ ,  $g^k(x) = x$  implies  $k = m$ .

Equivalently, we say that an action of the cyclic group  $\{g\} \simeq \mathbb{Z}_m$  on  $X$  is given by  $(k, x) \mapsto g^k(x)$ . If  $g$  is free then this action is called a free action.

DEFINITION 5.3. Let  $X$  be a smooth manifold with an action of a cyclic group  $\mathbb{Z}_m$  defined by a homeomorphism  $g : X \rightarrow X$ . A map  $f : X \rightarrow X$  is  $\mathbb{Z}_m$ -equivariant if  $f\alpha = \alpha f$ , for each  $\alpha \in \mathbb{Z}_m$ . Note that  $f$  is  $\mathbb{Z}_m$ -equivariant if it commutes with the generator of action, i.e.  $f(gx) = gf(x)$ .

A homotopy  $H : X \times [0, 1] \rightarrow X$  is equivariant iff

$$z \in X, t \in [0, 1], \alpha \in \mathbb{Z}_m \text{ implies } H(\alpha x, t) = \alpha H(x, t)$$

Suppose that we have a free action of  $\mathbb{Z}_m$  on  $S^n$ ,  $n \geq 2$ , i.e. given a free homeomorphism  $g : S^n \rightarrow S^n$  of order  $m$ . To formulate our result we need a new notation.

DEFINITION 5.4. Let  $m = p_1^{a_1} \dots p_s^{a_s}$ ,  $a_i > 0$ , be the decomposition of  $m$  into prime powers. Let  $k$  be a natural number. We represent  $k$  as  $k = p_1^{b_1} \dots p_s^{b_s} p_{s+1}^{a_{s+1}} \dots p_r^{a_r}$ , where  $p_1, \dots, p_r$  are distinct primes satisfying  $p_i | m \iff i \leq s$ ,  $b_i \geq 0$ . Finally we put  $k' := p_1^{b_1} \dots p_s^{b_s}$ .

We are in position to formulate the main result of this section.

THEOREM 5.5. Let  $g : S^n \rightarrow S^n$ ,  $n \geq 1$  be a free homeomorphism, and  $f : S^n \rightarrow S^n$  a map commuting with  $g$ . Suppose that  $\deg f \neq -1, 0, 1$ . Then for every  $k \in \mathbb{N}$  we have

$$\#\text{Fix } f^{mk} \geq m^2 k',$$

where  $k'$  is defined above.

In particular, for  $k = m^s$  we have

$$\#\text{Fix } f^{m^{s+1}} \geq m^{s+2}.$$

To show this theorem we employed (see [16]) a fine modification of Nielsen number  $NF_n(f)$  which estimates  $\#\text{Fix}(f^n)$  (cf. Definition 3.3). It can be applied to the map  $f/G$  induced by  $f$  on the quotient space  $X/G$ , which is then a generalized lens space. By this way we got an estimate of  $\#\text{Fix}((f/G)^n)$

(this estimate is not true if a map of  $X/G$  is not of the form  $f/G$ ). Finally, by a geometrical reason these fixed points of  $(f/G)^n$  give fixed points of  $f^{nm}$ .  $\square$

As a consequence we get:

COROLLARY 5.6. *Under the above assumptions*

$$\limsup_{l \rightarrow \infty} \frac{\#\text{Fix}(f^l)}{l} \geq m.$$

$\square$

For a cyclic group of prime order the method allows us also to estimate the number of  $m$ -periodic points of  $f$ , with  $m$  being the minimal period. Fix a prime number  $p|m$  and restrict the action to  $\mathbb{Z}_p \subset \mathbb{Z}_m$ .

THEOREM 5.7. *Let  $f : S^n \rightarrow S^n$  be a continuous map which commutes with a free homeomorphism  $g$  of  $S^n$  of prime order  $p$ . If  $\deg(f) \neq \pm 1$  then for each  $s \in \mathbb{N}$  there exist at least  $p-1$  mutually disjoint orbits of  $f$  of periodic points each of length  $p^s$ . Thus*

$$\#P_{p^s}(f) \geq (p-1)p^s.$$

*The same is true for any map homotopic to  $f$  by equivariant maps.*  $\square$

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