

**THERE ARE INFINITELY MANY COUNTABLE MODELS  
OF STRICTLY STABLE THEORIES  
WITH NO DENSE FORKING CHAINS**

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**Abstract.** We prove that a countable, complete, strictly stable theory with no dense forking chains has infinitely many pairwise nonisomorphic countable models.

Let  $T$  denote a complete countable stable theory and  $I(T, \aleph_0)$  the number of its countable pairwise nonisomorphic models. In [4] Lachlan proved that if  $T$  is superstable then it is either  $\aleph_0$ -categorical or  $I(T, \aleph_0) \geq \aleph_0$ . In [5] he proved that an  $\aleph_0$ -categorical superstable theory is  $\aleph_0$ -stable, and he conjectured that the same is true for stable theories, namely that there is no strictly stable  $\aleph_0$ -categorical theory. By the time being, it has become clear that the strictly stable theories are much more complicated than superstable ones; Hrushovski has constructed a counterexample to Lachlan's Conjecture.

Some work was done to extract those strictly stable theories that share some of the nice properties of superstables. In [7] Pillay proved that if  $T$  is strictly stable and 1-based, then  $I(T, \aleph_0) \geq \aleph_0$ . In [3] Hrushovski has introduced theories which admit finite coding and proved that such a  $T$  is either  $\aleph_0$ -categorical or  $I(T, \aleph_0) \geq \aleph_0$  holds.

In [2] Pillay introduced the class of theories with no dense forking chains, which seems to be a reasonable approximation of superstability; for example, every type in such a theory has a regular decomposition. We prove that the class satisfies Lachlan's conjecture, namely that there are no  $\aleph_0$ -categorical theories in it; because of the existence of regular decompositions, or just finiteness of the weight, the argument from the superstable case goes through and we get  $I(T, \aleph_0) \geq \aleph_0$ .

We assume some basic knowledge of stability theory as can be found in [1] or [6]. Below, we define Pillay's notions of dimension of  $U_\alpha$ -rank in terms of partial

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Supported by Ministry of Sciences and Technology RS

*AMS Subject Classification* (1991): Primary 03C45

orders in order to prove Proposition 7, which is the main technical result used in the proof of Theorem.

Let  $(P, \leq)$  be a partial order. For  $p, q \in P$  we denote by  $[p, q]_P$  the interval  $\{x \in P \mid p \leq x \leq q\}$  ordered by (the restriction of)  $\leq$ ;  $(\leq, p]_P$  denotes  $\{x \in P \mid x \leq p\}$  ordered by  $\leq$ , and similarly we define  $(\leq, p)_P$ ,  $(p, <)_P$  and  $[p, <)_P$ .

*Definition.* Let  $(P, \leq)$  be a nonempty partial order. Inductively, define the dimension  $\dim(P)$  which is an ordinal or  $\infty$ :

- (1)  $\dim(P) \geq 0$
- (2)  $\dim(P) \geq \alpha + 1$  if there is an infinite decreasing chain  $p_0 > p_1 > p_2 > \dots$  such that for every  $i \in \omega$   $\dim([p_{i+1}, p_i]_P) \geq \alpha$ .
- (3)  $\dim(P) \geq \lambda$ , where  $\lambda$  is a limit ordinal, if  $\dim(P) \geq \alpha$  for every  $\alpha < \lambda$ .
- (4)  $\dim(P) = \alpha$  iff  $\alpha$  is the greatest ordinal for which  $\dim(P) \geq \alpha$  holds;  $\dim(P) = \infty$  iff  $\dim(P) \geq \alpha$  holds for all ordinals  $\alpha$ .

*Definition.* Let  $\alpha$  be an ordinal and let  $(P, \leq)$  be a partial order. Inductively we define  $U_\alpha$ -rank of  $(P, \leq)$ :

- (1)  $U_\alpha(P) \geq 0$  if  $P \neq \emptyset$ .
- (2)  $U_\alpha(P) \geq \beta + 1$  iff there exists a  $p \in P$  such that  $U_\alpha((\leq, p]_P) \geq \beta$  and  $\dim([p, <)_P) \geq \alpha$ .
- (3)  $U_\alpha(P) \geq \lambda$ , where  $\lambda$  is a limit ordinal, iff  $U_\alpha(P) \geq \beta$  for all ordinals  $\beta < \lambda$ .
- (4)  $U_\alpha(P) = \xi$ , where  $\xi$  is an ordinal, if  $\xi$  is the greatest ordinal for which  $U_\alpha(P) \geq \xi$ . If no such ordinal exists, let  $U_\alpha(P) = \infty$ .

LEMMA 1. Let  $(P, \leq_P)$  and  $(Q, \leq_Q)$  be partial orders.

- (a) If  $f: P \rightarrow Q$  is strictly increasing then  $\dim(P) \leq \dim(Q)$  and  $U_\alpha(P) \leq U_\alpha(Q)$ .
- (b) If  $\alpha \geq 1$  then  $U_\alpha(P) + U_\alpha(Q) \leq U_\alpha(P \oplus Q)$  where  $P \oplus Q$  is the set  $P \times \{0\} \cup Q \times \{1\}$  ordered by  $\{(p, 0), (p', 0) \mid p \leq p'\} \cup \{(q, 1), (q', 1) \mid q \leq q'\} \cup \{(p, 0), (q, 1)\} \mid p \in P, q \in Q\}$ .

*Proof.* The part (a) is an easy induction on  $\dim(P)$  and  $U_\alpha(P)$ ; we prove only (b).  $Q$  is embedded in  $P \oplus Q$ , so if  $U_\alpha(Q) = \infty$  the conclusion follows by the part (a).

Let  $\xi = U_\alpha(Q)$ . We use induction on  $\xi$ . For  $\xi = 0$  it is obvious and for  $\xi = 1$  it follows from the definition of  $U_\alpha$ . Suppose that  $\xi = \eta + 1$  and let  $q \in Q$  be such that  $U_\alpha((\leq, q]_Q) = \eta$  and  $U_\alpha([q, <)_Q) = 1$ . By the induction hypothesis

$$U_\alpha(P) + \xi = U_\alpha(P) + U_\alpha((\leq, q]_Q) \leq U_\alpha(P \oplus (\leq, q]_Q) = U_\alpha((\leq, q]_{P \oplus Q}).$$

On the other hand  $1 = U_\alpha([q, <)_Q) = U_\alpha([q, <)_{P \oplus Q})$ , and from the definition of  $U_\alpha$  we get  $U_\alpha(P) + U_\alpha(Q) = U_\alpha(P) + \xi + 1 \leq U_\alpha(P \oplus Q)$ .

The case when  $\xi$  is a limit ordinal is similar.

LEMMA 2. Let  $(P, \leq)$  be a partial order. Then  $\dim(P) = \infty$  if and only if there exists an embedding of rationals into  $(P, \leq)$ .

*Proof.*  $\leftarrow$  is clear, so we prove only  $\rightarrow$ . Assume that  $\dim(P) = \infty$ . Let  $\alpha$  be an ordinal such that for all  $p, q \in P$   $\dim([p, q]_P) \geq \alpha$  implies  $\dim([p, q]_P) = \infty$ . Since  $\dim(P) \geq \alpha + 1$  there is an infinite decreasing chain  $p_0 > p_1 > p_2 > \dots$  such that for all  $i \in \omega$   $\dim([p_{i+1}, p_i]_P) \geq \alpha$ , thus  $\dim([p_{i+1}, p_i]_P) = \infty$ . Applying the same reasoning to each  $[p_{i+1}, p_i]_P$  for  $i \in \omega$  in place of  $P$  we get infinite descending chains  $p_0^i > p_1^i > p_2^i > \dots$  in  $[p_{i+1}, p_i]_P$  so that  $\dim([p_{j+1}^i, p_j^i]_P) = \infty$ . Continuing in this way we get a chain in  $P$  isomorphic to the rationals.

LEMMA 3. *If  $(P_i, \leq_i)$  are nonempty partial orders for  $i \leq n$ , then:*

$$\dim(P_1 \times P_2 \times \dots \times P_n) = \max\{\dim(P_1), \dim(P_2), \dots, \dim(P_n)\}.$$

(Here  $P_1 \times P_2 \times \dots \times P_n$  is ordered by the product order, i.e.

$$(p_1, p_2, \dots, p_n) \leq (p'_1, p'_2, \dots, p'_n) \text{ iff } p_1 \leq_1 p'_1 \text{ } p_2 \leq_2 p'_2 \dots p_n \leq_n p'_n).$$

*Proof.* Assume  $n = 2$ . Then  $\dim(P_1 \times P_2) \geq \max\{\dim(P_1), \dim(P_2)\}$  follows immediately from Lemma 1, so we prove the reverse inequality. Actually, we show by induction on ordinals  $\alpha$  that  $\dim(P_1 \times P_2) \geq \alpha$  implies  $\max\{\dim(P_1), \dim(P_2)\} \geq \alpha$ . For  $\alpha = -1$  or  $0$  the claim is obvious, so we distinguish the following two cases:

*Case 1:*  $\alpha = \beta + 1$ . Assume that  $\dim(P_1 \times P_2) \geq \beta + 1$ . Then there is an infinite decreasing sequence  $(p_0, p'_0) > (p_1, p'_1) > (p_2, p'_2) > \dots$  such that for all  $i \in \omega$   $\dim([(p_{i+1}, p_i), (p'_{i+1}, p'_i)]_{P_1 \times P_2}) \geq \beta$ . By the induction hypothesis for each  $i \in \omega$  either  $\dim([p_{i+1}, p_i]_{P_1}) \geq \beta$  or  $\dim([p'_{i+1}, p'_i]_{P_2}) \geq \beta$  holds. Therefore either for infinitely many  $i \in \omega$   $\dim([p_{i+1}, p_i]_{P_1}) \geq \beta$  or for infinitely many  $i \in \omega$   $\dim([p'_{i+1}, p'_i]_{P_2}) \geq \beta$ . Thus either  $\dim(P_1) \geq \beta + 1$  or  $\dim(P_2) \geq \beta + 1$  holds.

*Case 2:*  $\alpha$  is a limit ordinal. Let  $\alpha = \cup\{\alpha_\xi \mid \xi < \varkappa\}$  where  $\varkappa = \text{cf}(\alpha)$ . By the induction hypothesis for each  $\xi < \varkappa$  at least one of  $\dim(P_1) \geq \alpha_\xi$  and  $\dim(P_2) \geq \alpha_\xi$  holds. Thus at least one of the sets  $\{\xi < \varkappa \mid \dim(P_1) \geq \alpha_\xi\}$  and  $\{\xi < \varkappa \mid \dim(P_2) \geq \alpha_\xi\}$  is cofinal in  $\varkappa$  and that means that either  $\dim(P_1) \geq \alpha$  or  $\dim(P_2) \geq \alpha$ .

Thus we proved the Lemma for  $n = 2$ . The general case follows rather easily from this one.

From now on we assume that  $T$  is stable,  $\mathcal{M}$  is a monster model of  $T$  and we operate in  $\mathcal{M}^{eq}$ . All the sets and tuples mentioned below are 'small' subsets of  $\mathcal{M}^{eq}$ ; models are elementary submodels of  $\mathcal{M}$ .

*Definition.* Let  $A \subseteq B$ ,  $p \in S(A)$  and  $p \subseteq q \in S(B)$ .

- (a)  $\dim(p|q) = \dim([\text{bnd}(q), \text{bnd}(p)]_{o(T)})$ .
- (b)  $U_\alpha(p|q) = U_\alpha([\text{bnd}(q), \text{bnd}(p)]_{o(T)})$ .
- (c)  $\dim(p) = \dim(p|r)$  where  $r$  is any algebraic extension of  $p$ , and  $U_\alpha(p) = U_\alpha(p|r)$ .

Further in the text, we will write  $\dim(\bar{a}/B)$  instead of  $\dim(\text{tp}(\bar{a}/B))$  and  $\dim(\bar{a})$  instead of  $\dim(\bar{a}/\emptyset)$ . Similarly we do for  $U_\alpha$ -rank.

If we allow infinitary types, not just types, in the previous definitions, then we get the notions of  $\dim$  and  $U_\alpha$ -rank of infinitary types as well.

Note that  $U_0$  is the usual  $U$ -rank and  $\dim(p) = 0$  means exactly that  $p$  has ordinal  $U$ -rank. Also,  $U_\alpha(p|q) = 0$  implies  $\dim(p|q) < \alpha$ .

LEMMA 4. *If  $A \subseteq B$  and  $C \subseteq \text{acl}(DA)$ , then*

$$\dim(C/A|C/B) \leq \dim(D/A|D/B) \quad \text{and} \quad U_\alpha(C/A|C/B) \leq U_\alpha(D/A|D/B).$$

*Proof.* By induction on  $\dim(C/A|C/B)$ . Suppose that  $\beta_1 > \beta_2 > \dots$  is an infinite descending chain between  $\text{bnd}(C/B)$  and  $\text{bnd}(C/A)$  such that  $\dim(C/A|C/B) > \dim([\beta_{i+1}, \beta_i]) = \xi_i$ . Pick a sequence  $A \subseteq M_1 \subseteq M_2 \subseteq \dots$  such that for all  $i < j$   $\text{bnd}(C/M_i) = \beta_i$ ,  $C \downarrow_{M_1} A$  and  $C \downarrow_{M_i} M_j$ . Moreover, assume that  $\cup M_i \downarrow_{C/A} DB$ . By the induction hypothesis for all  $j < i$ :

$$\dim(C/M_i|C/M_j) \leq \dim(D/M_i|D/M_j)$$

Hence  $\dim(D/M_i|D/M_j) \geq \xi_i$ . From the independence assumptions we derive  $D \downarrow_{M_0} A$ ,  $D \downarrow_{M_i} M_j$  and  $D \downarrow_B M_i$ , for all  $i < j$ . Therefore

$$\text{bnd}(D/A) \geq \text{bnd}(D/M_0) > \text{bnd}(D/M_1) > \dots \geq \text{bnd}(D/B).$$

If  $\dim(C/A|C/B) = \xi + 1$  then we could choose  $\beta'_i$ 's so that  $\xi_i = \xi$ , and if  $\dim(C/A|C/B)$  is a limit ordinal then it can be chosen so that  $\xi'_i$ 's form a co-final sequence. In both cases the conclusion follows.

A similar argument works for  $U_\alpha$ .

LEMMA 5. *If  $p \subseteq q \subseteq r$ , then  $U_\alpha(q|r) + U_\alpha(p|q) \leq U_\alpha(p|r)$ . If  $r$  is algebraic, then  $U_\alpha(q) + U_\alpha(p|q) \leq U_\alpha(p)$ .*

*Proof.* Follows from Lemma 1 (b).

*Definition.*  $T$  has no dense forking chains if the order type of the rationals can not be embedded into  $O(T)$ .

As an immediate consequence of Lemma 2 we have that if  $T$  has no dense forking chains and  $p \subseteq q$ , then  $\dim(p|q) < \infty$ .

THEOREM 6. *Suppose that  $T$  has no dense forking chains.*

(a) *For any  $a, b$  and  $A \subseteq B$  and  $\alpha \geq 0$ ,*

$$\dim(ab/A|ab/B) = \sup\{\dim(b/aA|b/aB), \dim(a/A|a/B)\}.$$

(b) *( $U_\alpha$ -rank inequalities)*

$$U_\alpha(b/aA) + U_\alpha(a/A) \leq U_\alpha(ab/A) \leq U_\alpha(b/aA) \oplus U_\alpha(a/A).$$

(c) *Every type decomposes into a product of regular types.*

*Proof.* The part (a) is Lemma 10, (b) is Proposition 11 and (c) is Theorem 14 from [2].

We note the following instance of Theorem 6 (a) and Lemma 4 that we will use often: if  $B \subseteq \text{acl}(C_1 C_2 \dots C_n A)$  and  $\dim(C_i/A) \leq \alpha$  for  $1 \leq i \leq n$ , then  $\dim(B/A) \leq \alpha$ .

PROPOSITION 7. *If  $A \subseteq B$ ,  $p \in S(A)$  and  $p \subseteq q \in S(B)$ , then*

$$\dim(p|q) \geq \sup\{\dim(\bar{c}/A) \mid \bar{c} \in Cb(q)\}.$$

*Proof.* Without loss of generality, assume that  $A = \emptyset$  and we operate in  $\mathcal{M}^{eq}$ . Let  $\bar{c} \in Cb(q)$  and let  $I = \bar{a}_1 \bar{a}_2 \dots \bar{a}_n$  be a Morley sequence in (a stationarization of)  $q$  long enough so that  $\bar{c} \in \text{dcl}(I)$ . Let  $C = \text{acl}(\bar{c})$  and we show that  $\dim(p|q) \geq \dim(C)$ ; since  $\dim(\bar{c}) \leq \dim(C)$  (by Lemma 4) this will imply the conclusion of the Proposition.

Let  $I_k = \bar{a}_1 \bar{a}_2 \dots \bar{a}_{k-1}$  for  $k \leq n$ , let  $P = \{\beta \in O(T) \mid \beta < \text{bnd}(C)\}$  and for  $\beta \in P$  let  $D_\beta$  be such that  $\text{bnd}(C/D_\beta) = \beta$ . For  $k \leq n$ ,  $\beta \in P$ , define  $p_\beta^k = \text{bnd}(\bar{a}_k/I_k E_\beta)$  where  $E_\beta$  satisfies  $\text{tp}(E_\beta/C) = \text{tp}(D_\beta/C)$  and  $E_\beta \downarrow_C I$ . We note that  $p_\beta^k$  does not depend on the particular choice of  $E_\beta$ . Actually, since  $C$  is algebraically closed,  $\text{tp}(D_\beta/C)$  is stationary so it has a unique nonforking extension over  $CI$ , thus  $\text{tp}(E_\beta/C)$  is uniquely determined and hence  $\text{tp}(I/CE_\beta)$  is uniquely determined, too.

For natural  $k \leq n$  let  $P_k = \{p_\beta^k \mid \beta \in P\}$  with the inherited order from  $O(T)$ . Now we show that  $P_k \subseteq [\text{bnd}(q), \text{bnd}(p)]_{O(T)}$ . From the definition of  $p_\beta^k$  we have  $p_\beta^k \leq \text{bnd}(p)$ , and  $\text{bnd}(q) \leq p_\beta^k$  follows from:

$$\text{bnd}(q) \leq \text{bnd}(\bar{a}_k/I_k C) = \text{bnd}(\bar{a}_k/I_k C E_\beta) \leq \text{bnd}(\bar{a}_k/I_k E_\beta) = p_\beta^k.$$

The first inequality above is true since  $I$  is a Morley sequence in  $q$ . From  $E_\beta \downarrow_C I$  we have  $E_\beta \downarrow_{I_k C} \bar{a}_k$  and the first equality follows. The second inequality is clear and hence  $P_k \subseteq [\text{bnd}(q), \text{bnd}(p)]_{O(T)}$ .

Further, order  $P_1 \times P_2 \times \dots \times P_n$  with the product order and define a mapping  $f: P \rightarrow P_1 \times P_2 \times \dots \times P_n$  by  $f(\beta) = (p_\beta^1, p_\beta^2, \dots, p_\beta^n)$ . We show that  $f$  is strictly increasing. Assume that  $\beta, \gamma \in P$  and  $\gamma \leq \beta$ . Choose  $E_\beta$  and  $E_\gamma$  such that:

$$\text{tp}(E_\beta/C) = \text{tp}(D_\beta/C), \quad \text{tp}(E_\gamma/C) = \text{tp}(D_\gamma/C), \quad E_\beta \downarrow_{E_\gamma} C \quad \text{and} \quad E_\beta E_\gamma \downarrow_C I.$$

Then  $p_\beta^k = \text{bnd}(\bar{a}_k/I_k E_\beta)$  and  $p_\gamma^k = \text{bnd}(\bar{a}_k/I_k E_\gamma)$ . From the independence assumptions we derive  $I \downarrow_{E_\gamma} E_\beta$ , and thus  $\bar{a}_k \downarrow_{I_k E_\gamma} E_\beta$ . We have:

$$(!)_k \quad p_\beta^k = \text{bnd}(\bar{a}_k/I_k E_\beta) \geq \text{bnd}(\bar{a}_k/I_k E_\beta E_\gamma) = \text{bnd}(\bar{a}_k/I_k E_\gamma) = p_\gamma^k.$$

Thus  $p_\beta^k \geq p_\gamma^k$  and  $f$  is increasing. Now, if  $\gamma < \beta$  then  $C \not\downarrow_{E_\beta} E_\gamma$  and since  $C \subseteq \text{acl}(I)$  we have  $I \not\downarrow_{E_\beta} E_\gamma$  so for some  $j \leq n$  we have  $\bar{a}_j \not\downarrow_{I_j E_\beta} E_\gamma$  and  $\text{bnd}(\bar{a}_j/I_j E_\beta E_\gamma) < \text{bnd}(\bar{a}_j/I_j E_\beta)$ . We conclude that in  $(!)_j$  the strict inequality holds and  $p_\beta^j < p_\gamma^j$ . This proves that  $f$  is strictly increasing.

By Lemma 1 we have  $\dim(P) \leq \dim(P_1 \times P_2 \times \dots \times P_n)$  and by Lemma 3 we have  $\dim(P_1 \times P_2 \times \dots \times P_n) = \dim(P_k)$ , for some  $k \leq n$ . Therefore  $\dim(P) \leq \dim(P_k)$ . But  $P_k \subseteq [\text{bnd}(q), \text{bnd}(p)]_{O(T)}$  thus  $\dim(P_k) \leq \dim(p|q)$  and we have:

$$\dim(C) = \dim(P) \leq \dim(P_k) \leq \dim(p|q)$$

completing the proof of the Proposition.

From now on we assume that  $T$  is strictly stable and has no dense forking chains. Consider all complete types whose domain is finite. Let  $\alpha \geq 1$  be the smallest ordinal such that at least one of the types considered has dimension  $\alpha$  and let  $\xi$  be the smallest possible  $U_\alpha$ -rank of such a type. We say that a type is an  $(\alpha, \xi)$ -type if its domain is finite, its dimension is  $\alpha$  and its  $U_\alpha$ -rank is  $\xi$ .

**LEMMA 8.** *If  $p = \text{tp}(\bar{a}/B)$  is an  $(\alpha, \xi)$ -type, then there is a  $\bar{c}$  such that  $\bar{c} \in \text{dcl}(\bar{a}B) \setminus \text{acl}(B)$  and  $\dim(\bar{c}/B) = 0$ . In particular, every  $(\alpha, \xi)$ -type is nonorthogonal to a type of dimension 0.*

*Proof.* Without loss of generality assume that  $B = \emptyset$ . Since  $\alpha > 0$ , there exists an infinite sequence  $\beta_1 > \beta_2 > \dots$  below  $\text{bnd}(p)$  in  $O(T)$ . Let  $r = \text{tp}(\bar{a}/C)$  be such that  $\text{bnd}(r) = \beta_2$ . Note that  $\beta_3 > \beta_4 > \dots$  is an infinite descending sequence below  $\text{bnd}(r)$  so that  $\dim(r) \geq 1$ . If we replace  $C$  by a large enough finite subset of  $Cb(r)$  we can assume that  $C$  is finite,  $r$  is a forking extension of  $p$  and  $\dim(r) \geq 1$ .

By the minimality assumptions on  $\alpha$  and  $\xi$  we have  $\dim(r) = \alpha$  and  $U_\alpha(r) = U_\alpha(p) = \xi$ . By Lemma 5  $U_\alpha(r) + U_\alpha(p|r) \leq U_\alpha(p)$  and it follows that  $U_\alpha(p|r) = 0$ . Thus,  $\dim(p|r) < \alpha$ . By Proposition 7 we have

$$\sup\{\dim(\bar{d}) \mid \bar{d} \in Cb(r)\} \leq \dim(p|r).$$

Therefore  $\sup\{\dim(\bar{d}) \mid \bar{d} \in Cb(r)\} < \alpha$  and by the minimality assumption on  $\alpha$  we have  $\dim(\bar{d}) = 0$  for all  $\bar{d} \in Cb(r)$ . Let  $\bar{d} \in Cb(r)$  be such that  $\bar{a} \not\perp \bar{d}$  and let  $\bar{c}' \in Cb(\bar{d}/\bar{a}) \setminus \text{acl}(\emptyset)$ .  $\bar{c}'$  is definable in a finite Morley sequence  $\bar{d}_1 \bar{d}_2 \dots \bar{d}_k$  in  $\text{stp}(\bar{d}/\bar{a})$ . Also  $\dim(\bar{d}_i) = 0$ , so  $\dim(\bar{d}_1 \bar{d}_2 \dots \bar{d}_k) = 0$  and  $\dim(\bar{c}') = 0$ . Let  $c$  be the name for the set of all  $\{\bar{a}\}$ -conjugates of  $\bar{c}'$ . Since  $\bar{c}' \in \text{acl}(\bar{a})$  this set is finite, so  $c \in \text{dcl}(\bar{a})$ ; also, every  $\{\bar{a}\}$ -conjugate of  $\bar{c}'$  has the dimension 0 so that  $\dim(c) = 0$ . Finally, from  $\bar{c}' \in \text{acl}(c) \setminus \text{acl}(\emptyset)$  we have  $c \notin \text{acl}(\emptyset)$  completing the proof of the Lemma.

**THEOREM.** *If  $T$  is a countable, complete, strictly stable theory with no dense forking chains then  $I(T, \aleph_0) \geq \aleph_0$ .*

*Proof.* Let  $B$  be finite, let  $p = \text{tp}(\bar{a}/B)$  be an  $(\alpha, \xi)$ -type, let  $A = \{\bar{d} \in \text{dcl}(\bar{a}B) \mid \dim(\bar{d}/B) = 0\}$  and let  $q = \text{tp}(\bar{a}/AB)$ . We claim that  $q$  is nonisolated.

Suppose, on the contrary, that  $\varphi(\bar{x}, \bar{b})$  is a formula over  $AB$  which isolates  $q$ ; here  $\varphi(\bar{x}, \bar{y})$  is an  $L$ -formula  $\bar{b} \subseteq AB$  and without any loss of generality we assume that  $B \subseteq \bar{b}$ . Clearly  $\dim(\bar{b}/B) = 0$  holds, so that  $\dim(\bar{a}/\bar{b}) > 0$  by Theorem 6 (a). By the minimality assumptions on  $\alpha$  and  $\xi$  we must have  $\dim(\bar{a}/\bar{b}) = \alpha$  and

$U_\alpha(\bar{a}/\bar{b}) = \xi$ . By Lemma 8 there exists  $\bar{c} \in A \setminus \text{acl}(\bar{b})$ . Choose  $\bar{a}_1 \vdash \text{stp}(\bar{a}/\bar{b})$  such that  $\bar{a}_1 \downarrow_b \bar{c}$ . From  $\vdash \varphi(\bar{a}_1, \bar{b})$  we get  $\text{tp}(\bar{a}_1/AB) = q$ , and from the independence assumption on  $\bar{a}_1$  we get  $\bar{c} \notin \text{acl}(\bar{a}_1\bar{b})$ ; on the other hand  $\bar{c} \in \text{dcl}(\bar{a}\bar{b})$ , so that  $\text{tp}(\bar{a}_1/\bar{c}B) \neq \text{tp}(\bar{a}/\bar{c}B)$  and  $\text{tp}(\bar{a}_1/AB) \neq \text{tp}(\bar{a}/AB) = q$ . This is a contradiction and the *claim* is proved.

Continuing the proof of the Theorem, let  $r \in S(\text{dcl}(\bar{a}B))$  be a nonforking extension of  $q$ . Then, by the Open Mapping Theorem  $r$  must be nonisolated too, and  $r|B\bar{a}$  is nonisolated as well. We have found a nonisolated type over a finite domain, hence there exists a nonisolated type over  $\emptyset$ . To complete the proof of the Theorem, we repeat the proof from the superstable case:

Suppose that  $T$  is small, otherwise  $I(T, \aleph_0) = 2^{\aleph_0}$ . Let  $\text{tp}(\bar{d})$  be nonisolated and let  $\bar{d}_1\bar{d}_2 \dots$  be an infinite Morley sequence in  $\text{tp}(\bar{d})$ . For each  $n$  let  $M_n$  be prime over  $\bar{d}_1\bar{d}_2 \dots \bar{d}_n$ . By Theorem 6 (c)  $m = \text{wt}(\bar{d}) < \omega$ . We show that in  $M_n$  there is no Morley sequence in  $\text{tp}(\bar{d})$  of length  $m \cdot n + 1$ , which clearly implies the conclusion of the Theorem.

If  $\bar{e} \vdash \text{tp}(\bar{d})$  and  $\bar{e} \in M_n$  then  $\text{tp}(\bar{e}/\bar{d}_1\bar{d}_2 \dots \bar{d}_n)$  is isolated, hence by the Open Mapping Theorem  $\bar{e}$  forks with  $\bar{d}_1\bar{d}_2 \dots \bar{d}_n$ . On the other hand  $\text{wt}(\bar{d}_1\bar{d}_2 \dots \bar{d}_n) = m \cdot n$ , hence there is no independent set of realizations of  $\text{tp}(\bar{d})$  of size  $m \cdot n + 1$  in  $M_n$ .

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(Received 27 04 1995)