

# Nonreciprocal units in a number field with an application to Oeljeklaus–Toma manifolds

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ABSTRACT. In this paper we show that if a number field  $K$  contains a nonreciprocal unit  $u$  of degree  $s + 2t$  with  $s$  positive conjugates and  $2t$  complex conjugates of equal moduli, where  $t \geq 2$ , then  $s = (2t+2m)q-2t$  for some integers  $m \geq 0$  and  $q \geq 2$ . On the other hand, for any  $s$  and  $t \geq 2$  related as above we construct a number field  $K$  with  $s$  real and  $2t$  complex embeddings that contains a nonreciprocal unit  $u$  of degree  $s + 2t$  with  $s$  positive conjugates and  $2t$  complex conjugates of equal moduli. From this, for any pair of integers  $s \geq 1$ ,  $t \geq 2$  satisfying  $s \neq (2t+2m)q-2t$  we deduce that the rank of the subgroup of units  $U$  whose  $2t$  complex conjugates have equal moduli is smaller than  $s$  and, therefore, for any choice of an admissible subgroup  $A$  of  $K$  the corresponding Oeljeklaus–Toma manifold  $X(K, A)$  admits no locally conformal Kähler metric.

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## 1. Introduction

Let throughout  $K$  be a number field of degree  $d = [K : \mathbb{Q}]$  with  $s \geq 0$  real embeddings  $\sigma_1, \dots, \sigma_s$  into  $\mathbb{C}$  and  $2t$  complex embeddings

$$\sigma_{s+1}, \bar{\sigma}_{s+1}, \dots, \sigma_{s+t}, \bar{\sigma}_{s+t}$$

into  $\mathbb{C}$ , so that  $d = s + 2t$ . Here, for each  $i = 1, \dots, t$  the embedding  $\bar{\sigma}_{s+i} : K \rightarrow \mathbb{C}$  is defined as  $\bar{\sigma}_{s+i}(a) = \overline{\sigma_{s+i}(a)}$  for every  $a \in K$ , where  $\bar{z}$  stands for the complex conjugate of  $z \in \mathbb{C}$ . Let  $\mathcal{O}_K^*$  be the group of units in the ring of integers of  $K$ . Put

$$\mathcal{U}_K := \{u \in \mathcal{O}_K^* : \sigma_i(u) > 0 \text{ for every } i = 1, \dots, s\}$$

for a subgroup of  $\mathcal{O}_K^*$  consisting of units whose real conjugates are all positive.

Consider the logarithmic representation of units  $l : \mathcal{O}_K^* \rightarrow \mathbb{R}^{s+t}$  given by

$$l(u) := (\log |\sigma_1(u)|, \dots, \log |\sigma_s(u)|, 2 \log |\sigma_{s+1}(u)|, \dots, 2 \log |\sigma_{s+t}(u)|).$$

By the Dirichlet's unit theorem,  $l(\mathcal{U}_K)$  is a full discrete lattice in the subspace

$$\mathcal{S} := \left\{ (x_1, \dots, x_{s+t}) \in \mathbb{R}^{s+t} : \sum_{i=1}^{s+t} x_i = 0 \right\}$$

of  $\mathbb{R}^{s+t}$ . Equivalently (see, e.g., [13] and [20]), one can choose  $s + t - 1$  multiplicatively independent units in  $\mathcal{U}_K$ , say  $u_1, \dots, u_{s+t-1}$ , such that every other unit in  $\mathcal{U}_K$  can be written as  $wu_1^{k_1} \dots u_{s+t-1}^{k_{s+t-1}}$  with a root of unity  $w \in K$  and some  $k_1, \dots, k_{s+t-1} \in \mathbb{Z}$ . From now on, assume that  $s \geq 1$ . Then the projection  $\mathcal{P} : \mathcal{S} \rightarrow \mathbb{R}^s$  given by the first  $s$  coordinates is surjective. Thus, there are subgroups  $A$  of rank  $s$  in  $\mathcal{U}_K$  such that  $\mathcal{P}(l(A))$  is a full discrete lattice in  $\mathbb{R}^s$ . Throughout, such a subgroup  $A$  will be called *admissible* for  $K$ . An admissible subgroup  $A$  for  $K$  is generated by  $s$  units  $u_1, \dots, u_s \in \mathcal{U}_K$  such that the matrix

$$M(u_1, \dots, u_s) := (\log |\sigma_j(u_i)|)_{1 \leq i, j \leq s}$$

has rank  $s$ , so that these units are multiplicatively independent.

The results of this paper are motivated by some applications to the so-called locally conformal Kähler complex manifolds  $X$  (according to Vaisman [29], such a manifold is defined as a Hermitian manifold whose metric is conformal to a Kähler metric in some neighborhood of every point) and the corresponding study of locally conformal Kähler metrics (LCK metrics) on  $X$  (see, e.g., [3], [4], [17], [19], [28], [29], [30]). In [16], Oeljeklaus and Toma introduced some compact complex manifold  $X(K, A)$  associated to a number field  $K$  and to an admissible subgroup  $A$  for  $K$ . These manifolds were named as *Oeljeklaus–Toma manifolds* and have many interesting properties (see the recent papers of Battisti and Oeljeklaus [1], Kasuya [14], Ornea and Verbitsky [18], Verbitsky [32], Vuletescu [33], etc.). In particular, it is

known that if an Oeljeklaus–Toma manifold  $X(K, A)$  admits an LCK metric then for all  $u \in A$  we have

$$|\sigma_{s+1}(u)| = \cdots = |\sigma_{s+t}(u)|$$

(see the proof of Proposition 2.9 in [16]). Since the numbers  $\sigma_{s+i}(u)$  and  $\bar{\sigma}_{s+i}(u)$  are complex conjugate, the previous condition can be written in the form

$$(1) \quad |\sigma_{s+1}(u)| = |\bar{\sigma}_{s+1}(u)| = \cdots = |\sigma_{s+t}(u)| = |\bar{\sigma}_{s+t}(u)|.$$

In the appendix of this paper (written by Laurent Battisti), it is shown (see Theorem 8) that the Oeljeklaus–Toma manifold  $X(K, A)$  admits an LCK metric if and only if for all  $u \in A$  the condition (1) holds (which is stronger than just the ‘only if’ condition that was proved in the previous result in [16]).

This raises the following natural question: are there  $s$  multiplicatively independent units  $u_1, \dots, u_s$  in  $\mathcal{U}_K$  such that (1) holds for each  $u = u_1, \dots, u_s$ ? Such units would generate an admissible subgroup  $A$  for  $K$  and a corresponding manifold  $X(K, A)$  with LCK metric. The answer is ‘yes’ for  $s \geq 1$  and  $t = 1$  (so far this is the only known case with a positive answer) and ‘no’ for  $s = 1$  and  $t \geq 2$  (see Proposition 2.9 in [16]). It is not clear whether or not there are some cases with  $s, t \geq 2$  when the answer is positive. Vuletescu [33] has shown recently that the answer is ‘no’ for  $1 < s < t$ . Below, we will show that the answer is ‘no’ for any  $s$  that is not of the form (2) below. In particular, for  $t \geq 2$  this implies a negative answer for  $1 < s < 2t$  and also for  $s$  odd. Unfortunately, the second statement of Theorem 1 shows that for all other  $s$  the field  $K$  may contain a nonreciprocal unit. This leaves the problem open for some special pairs  $s, t \geq 2$  satisfying (2), since our construction gives only one nonreciprocal unit in  $\mathcal{U}_K$  instead of  $s$  multiplicatively independent units.

## 2. Main results

Recall that an algebraic number  $\alpha$  is called *reciprocal* if  $\alpha^{-1}$  is its conjugate over  $\mathbb{Q}$  and *nonreciprocal* otherwise. The main result of this paper is the following:

**Theorem 1.** *If a number field  $K$  of degree  $d = s + 2t$  over  $\mathbb{Q}$  with  $s$  real and  $2t$  complex embeddings, where  $t \geq 2$ , contains a nonreciprocal unit  $u \in \mathcal{U}_K$  of degree  $d$  whose  $2t$  nonreal algebraic conjugates satisfy (1) then for some integers  $m \geq 0$  and  $q \geq 2$  we have*

$$(2) \quad s = (2t + 2m)q - 2t.$$

*On the other hand, if  $s$  and  $t \geq 2$  satisfy (2) with some integers  $m \geq 0$  and  $q \geq 2$  then there is a number field  $K$  with  $s$  real and  $2t$  complex embeddings that contains a nonreciprocal unit  $u \in \mathcal{U}_K$  of degree  $d = s + 2t$  satisfying (1).*

In general, the situation when a number field  $K$  contains a nonreciprocal unit  $u \in \mathcal{U}_K$  as described in Theorem 1 happens very rarely. If, for instance, the Galois group  $\text{Gal}(F/\mathbb{Q})$ , where  $F$  is the normal closure of  $K$  over  $\mathbb{Q}$ , is ‘large’ (say the group  $\text{Gal}(F/\mathbb{Q})$  acts on  $d$  conjugates of  $\alpha \in K$  as a full symmetric group  $S_d$  which is the ‘generic’ situation, by an old result of van der Waerden ([31]), then the equality (5) below cannot hold (see, e.g., [27]). Hence, such fields  $K$  do not contain units with the required properties.

From Theorem 1 we shall derive the following:

**Theorem 2.** *Let  $K$  be a number field of degree  $d = s + 2t$  over  $\mathbb{Q}$  with  $s$  real and  $2t$  complex embeddings, where  $s \geq 1$  and  $t \geq 2$  are not of the form (2). Then the rank of the subgroup  $U$  of  $\mathcal{U}_K$  of units satisfying (1) is smaller than  $s$  and, therefore, for any choice of an admissible subgroup  $A$  for  $K$  the Oeljeklaus–Toma manifold  $X(K, A)$  has no LCK metric.*

This implies the main result of [33], where the same conclusion as that of Theorem 2 has been proved under the assumption  $1 < s < t$ .

In the next section we shall give some auxiliary results. The proof of Theorem 1 is then given in Sections 4 and 5. In Section 5 one can also find an explicit example corresponding to the case  $s = 4$ ,  $t = 2$ ,  $m = 0$  and  $q = 2$  of Theorem 1. In Section 6 we shall give the proof of Theorem 2. Finally, in an appendix Laurent Battisti gives the proof of his Theorem 8 and using an alternative (geometrical) approach derives Theorem 2 from Theorem 1 as well.

### 3. Auxiliary results

An algebraic integer  $\alpha > 1$  is called a *Perron number* if all of its conjugates over  $\mathbb{Q}$  are less than  $\alpha$  in absolute value. In particular, a Perron number is a *Pisot number* if its conjugates over  $\mathbb{Q}$  (if any) are less than 1 in absolute value. We shall use *totally positive Pisot units* (Pisot numbers that are units whose algebraic conjugates are all positive) in Lemma 5 and subsequently in the proof of Theorem 1.

A version of the next lemma appears in [26]. Its proof is based on the argument of applying an automorphism of the Galois group that maps an algebraic number to its maximal (or minimal) conjugate which leads to a contradiction. This simple argument also plays a crucial role in the papers [7], [9], [27]. Below, we shall give a proof of the next lemma, since a similar argument appears several times in this paper as well.

**Lemma 3.** *Let  $\alpha$  or  $\alpha^{-1}$  be a Perron number of degree  $d \geq 3$ , and let  $\alpha_1, \alpha_2, \alpha_3$  be any three distinct conjugates of  $\alpha$ . Then  $\alpha_1^2 \neq \alpha_2\alpha_3$ .*

**Proof.** Assume that  $\alpha_1^2 = \alpha_2\alpha_3$ . Let  $F$  be the normal closure of  $\mathbb{Q}(\alpha)$  over  $\mathbb{Q}$ . Take an automorphism of the Galois group of  $F/\mathbb{Q}$  which maps  $\alpha_1$  to  $\alpha$ . It maps  $\alpha_2, \alpha_3$  to some conjugates  $\alpha_i \neq \alpha_j$  of  $\alpha$ , different from  $\alpha$ , and so it maps the equality  $\alpha_1^2 = \alpha_2\alpha_3$  into the equality  $\alpha^2 = \alpha_i\alpha_j$ . However,

the modulus of its left hand side is greater than the modulus of its right hand side if  $\alpha$  is Perron number (resp. smaller if  $\alpha^{-1}$  is a Perron number), a contradiction.  $\square$

**Corollary 4.** *Suppose that a unit  $u \in \mathcal{U}_K$  satisfying (1) has  $2t' > 0$  distinct complex conjugates and  $s$  distinct real ones. Then none of its real conjugates has the same modulus as the complex (nonreal) one.*

**Proof.** There is nothing to prove if  $s = 0$ . Assume that  $s > 0$ . If one of the real conjugates of  $u$ , say,  $\alpha_1 > 0$  has the same modulus as the complex (nonreal) conjugate  $\alpha_2$  then  $\alpha_1^2 = \alpha_2 \bar{\alpha}_2$ . Here,  $\alpha_1, \alpha_2, \bar{\alpha}_2$  are distinct. By Lemma 3, none of the conjugates of  $u$  (and of  $u^{-1}$ ) is a Perron number. This happens only if there are no other positive conjugates of  $u$  except for  $\alpha_1$ . Thus,  $s = 1$  and all the conjugates of  $u$  lie on the circle  $|z| = \alpha_1$ . Since  $u$  is a unit, the radius of the circle must be 1, so that  $u = \alpha_1 = 1$ . Hence,  $\deg u = 1$ , contrary to  $\deg u = s + 2t' \geq 2$ .  $\square$

We remark that an alternative proof of Corollary 4 can be given by applying the results of Boyd [2] and Ferguson [12].

A standard construction of Pisot numbers in a real field gives Pisot numbers but not Pisot units [23]; see also [11] for a construction of a dense set of Pisot numbers in a given field with very small conjugates and [6] for a construction of Pisot units. In the proof of Theorem 1 we shall need the following result (which is also of independent interest):

**Lemma 5.** *For each number field  $L$ , each constant  $c > 1$  and each integer  $q \geq 2$  there is a totally positive Pisot unit  $\beta > c$  of degree  $q$  whose minimal polynomial over  $\mathbb{Q}$  is irreducible in the ring  $L[x]$ .*

**Proof.** Consider the polynomial

$$(3) \quad H(x) := (-x)^q + k_{q-1}(-x)^{q-1} + \cdots + k_{q-1}k_{q-2} \cdots k_1(-x) + 1,$$

where  $k_1 < k_2 < \cdots < k_{q-1}$  is a rapidly increasing sequence of positive integers; for example,  $k_{j+1} > k_j^2$  for  $j = 1, \dots, q-2$  and  $k_1$  is large enough. (This construction is similar in spirit to that of Lemma 3 in [6].) Then  $H(0) = 1 > 0$  and  $H(1/k_1) < 0$ . Also, it is easy to see that the sign of  $H(2k_j)$  is the same as that of  $(-1)^{j+1}$ . Indeed, inserting  $x = 2k_j$  into  $H(x)$  we see that among the two largest terms  $k_{q-1} \cdots k_{j+1}(-2k_j)^{j+1}$  and  $k_{q-1} \cdots k_{j+1}k_j(-2k_j)^j$  the first one is greater in absolute value. Similarly, the sign of  $H(k_j/2)$  is the same as that of  $(-1)^j$ . So in each of the  $q$  intervals  $(0, 1/k_1)$  and  $(k_j/2, 2k_j)$ , where  $j = 1, \dots, q-1$ , there is a root of the polynomial  $H$ . Consequently, the polynomial  $G(x) = x^q H(1/x)$  reciprocal to  $H$  has  $q-1$  roots in the interval  $(0, 1)$  and one root  $\beta$  in  $(k_1, \infty)$ . As  $\deg G = q$ , this polynomial must be irreducible in  $\mathbb{Z}[x]$ , since the product of any number of its roots without  $\beta$  is of modulus smaller than 1. Therefore,  $\beta > k_1$  is a totally positive Pisot unit of degree  $q$ .

Note that the polynomial (3) is linear in  $k_{q-1}$ , so the polynomial (3), as a polynomial in two variables  $H(x, k_{q-1})$ , is irreducible in the ring  $L[x, a_{d-1}]$ . Indeed, otherwise the polynomials  $(-x)^q + 1$  and

$$H_1(x) := \frac{H(x) - (-x)^q - 1}{k_{q-1}} = (-x)^{q-1} + k_{q-2}(-x)^{q-2} + \cdots + k_{q-2} \cdots k_1(-x)$$

must have a common factor in  $L[x]$ . Hence,  $(-x)^q + 1$  and  $H_1(x)$  must have a common root. However, this is not the case, since the roots of  $(-x)^q + 1$  are roots of unity whereas the modulus of lowest term in  $H_1$  is greater than the sum of the moduli of the other terms for any  $x$  of modulus 1. Thus, by Hilbert's irreducibility theorem (see Theorem 46 on p. 298 in [24]), for some positive integer  $k_{q-1}^* \geq k_{q-1}$  the polynomial  $H(x, k_{q-1}^*)$  is irreducible in the ring  $L[x]$ , and so the polynomial  $G(x, k_{q-1}^*) = x^q H(1/x, k_{q-1}^*)$  is irreducible in  $L[x]$  too.  $\square$

For any  $u_1, \dots, u_s \in \mathcal{U}_K$  we write

$$S(u_1, \dots, u_s) := \{u_1^{k_1} \cdots u_s^{k_s} : k_1, \dots, k_s \in \mathbb{N} \cup \{0\}\}$$

for the multiplicative semigroup generated by  $u_1, \dots, u_s$ .

**Lemma 6.** *Let  $u_1, \dots, u_s$  be some  $s \geq 1$  multiplicatively independent units in  $\mathcal{U}_K$  satisfying (1). Then either  $\mathbb{Q}(u_1, \dots, u_s)$  is a proper subfield of  $K$  or  $\mathcal{U}_K$  contains  $s$  multiplicatively independent units  $v_1, \dots, v_s \in S(u_1, \dots, u_s)$ , each of degree  $d = [K : \mathbb{Q}]$ .*

**Proof.** Assume that  $\mathbb{Q}(u_1, \dots, u_s) = K$  (otherwise there is nothing to prove). Suppose  $S(u_1, \dots, u_s)$  does not contain  $s$  multiplicatively independent units of degree  $d$  each. Choose multiplicatively independent units  $v_1, \dots, v_s \in S(u_1, \dots, u_s)$  satisfying  $\mathbb{Q}(v_1, \dots, v_s) = K$  for which the sum  $D := \deg v_1 + \cdots + \deg v_s$  is the largest possible. If  $D = sd$  we are done. In case  $D < sd$ , we will show that  $D$  can be increased, and so arrive to a contradiction.

Without restriction of generality we may assume that  $h := \deg v_1 < d$ . Then  $s > 1$  and for some  $v_j$  with  $j \geq 2$ , say for  $v_2$ , we have  $v_2 \notin \mathbb{Q}(v_1)$ , since otherwise  $\mathbb{Q}(v_1, \dots, v_s) = \mathbb{Q}(v_1)$  is a proper subfield of  $K$ . Now, replace the set  $v_1, v_2, \dots, v_s$  by the set  $v_1 v_2^p, v_2, \dots, v_s$ , where  $p$  is an integer that will be chosen later. The latter set is also multiplicatively independent, all of its elements belong to  $S(u_1, \dots, u_s)$  and also

$$\mathbb{Q}(v_1 v_2^p, v_2, \dots, v_s) = \mathbb{Q}(v_1, v_2, \dots, v_s) = K.$$

In order to complete the proof it remains to show that

$$(4) \quad \deg v_1 v_2^p > h = \deg v_1$$

for some large positive integer  $p$ .

It is clear that  $v_1, v_2 > 0$ , since  $v_1, v_2 \in S(u_1, \dots, u_s)$  and  $u_1, \dots, u_s > 0$ . If all the conjugates of  $v_2$  are of equal moduli then, as  $v_2$  is a unit, they all lie on the circle  $|z| = 1$ . Hence,  $v_2 = 1$ , which is a contradiction to

$v_2 \notin \mathbb{Q}(v_1)$ . It follows that not all conjugates of  $v_2$  have the same modulus. Since  $v_2 \in S(u_1, \dots, u_s)$ , and the units  $u_1, \dots, u_s$  satisfy the condition (1), the unit  $v_2$  satisfies (1) as well. Consequently, either the largest positive conjugate of  $v_2$  (it can be  $v_2$  itself) is a Perron number or a reciprocal of the smallest positive conjugate of  $v_2$  is a Perron number.

Select the smallest  $\ell \in \mathbb{N}$  for which  $v_2^\ell \in \mathbb{Q}(v_1)$ , if such an  $\ell$  exists. Take  $p$  of the form  $\ell k + 1$  with large  $k \in \mathbb{N}$  if  $\ell \in \mathbb{N}$  as above exists and take any large  $p$  otherwise. For such  $p$  we have  $v_2^p \notin \mathbb{Q}(v_1)$ . Thus,  $v_2^p$  has a conjugate over the field  $\mathbb{Q}(v_1)$  distinct from  $v_2^p$ . Assume that  $w_2^p$  is such a conjugate, where  $w_2 \neq v_2$  are conjugate over  $\mathbb{Q}$ . It follows that the numbers  $v_1 v_2^p \neq v_1 w_2^p$  are conjugate over  $\mathbb{Q}$ . Now, consider some  $h$  automorphisms of the Galois group of  $\mathbb{Q}(v_1, v_2)/\mathbb{Q}$  that map  $v_1$  into its  $h$  conjugates over  $\mathbb{Q}$ . These map  $v_2$  and  $w_2$  to some of their conjugates over  $\mathbb{Q}$  and the pair  $v_1 v_2^p, v_1 w_2^p$  into some  $h$  pairs of two distinct conjugates of  $v_1 v_2^p$ . Therefore, either  $\deg v_1 v_2^p \geq 2h$  (which finishes the proof of (4)) or the list of  $2h$  conjugates contains some equal elements. This means that for some two distinct conjugates of  $v_1$ , say for  $v_1$  itself and  $w_1 \neq v_1$ , we have  $v_1 v_2^p = w_1 (w_2^*)^p$ , where  $w_2^*$  is a conjugate of  $v_2$  over  $\mathbb{Q}$ . Then  $w_2^* \neq v_2$ .

Now, take an automorphism  $\sigma$  of the Galois group of  $\mathbb{Q}(v_1, v_2)/\mathbb{Q}$  that maps  $w_2^*$  into  $v$ . (Recall that  $v$  is a conjugate of  $v_2$  which is a Perron number, or  $v^{-1}$  is a Perron number.) This maps the equality  $v_1 v_2^p = w_1 (w_2^*)^p$  into  $\sigma(v_1) \sigma(v_2)^p = \sigma(w_1) v^p$ , where  $\sigma(v_1) \neq \sigma(w_1)$  and  $\sigma(v_2) \neq v$ . However, this is impossible, since  $|\sigma(v_2)| \neq v$  and so the modulus of the right hand side,  $\sigma(w_1) v^p$ , is greater (resp. smaller) than that of the left hand side,  $\sigma(v_1) \sigma(v_2)^p$ , if  $v$  is a Perron number (resp.  $v^{-1}$  is a Perron number) and  $p$  is large enough. □

Finally, in the proof of Theorem 2 we shall use the next lemma (which is Lemma 1.6 in [16]):

**Lemma 7.** *Let  $K'$  be a proper subfield of  $K$  and a proper extension of  $\mathbb{Q}$ , i.e.,  $\mathbb{Q} \subset K' \subset K$ , and let  $A \subset \mathcal{U}_{K'}$  be an admissible subgroup for  $K$ . Suppose that  $s'$  and  $2t'$  are the numbers of distinct real and complex embeddings of  $K'$ , respectively. Then  $t'$  is positive,  $s = s'$  and  $A$  is admissible for  $K'$ .*

#### 4. The restriction on the number of real embeddings in Theorem 1

The aim of this section is to prove (2). Take a nonreciprocal unit in  $\mathcal{U}_K$  of degree  $d$  with conjugates

$$\alpha_1, \dots, \alpha_s, \alpha_{s+1}, \overline{\alpha_{s+1}}, \dots, \alpha_{s+t}, \overline{\alpha_{s+t}},$$

satisfying (1). Then

$$(5) \quad \alpha_{s+1} \overline{\alpha_{s+1}} = \dots = \alpha_{s+t} \overline{\alpha_{s+t}} = \beta$$

for some  $\beta > 0$ . Here,  $\beta \neq 1$ , since otherwise the unit  $\alpha_1$  is reciprocal. Thus,  $s > 0$ . We claim that the set  $\{\alpha_1, \dots, \alpha_s, \alpha_1^{-1}, \dots, \alpha_s^{-1}\}$  contains a Perron number. As the conjugates  $\alpha_1, \dots, \alpha_s$  are positive, at most one of them can lie on the circle  $|z| = \sqrt{\beta}$ . If  $s = 1$  then we are done, unless all the conjugates of  $\alpha_1$  lie on the circle  $|z| = \sqrt{\beta}$ . However, then the norm of  $\alpha_1$  is  $\beta^{d/2}$ . In view of  $\alpha_1 \in \mathcal{U}_K$  we obtain  $\beta = 1$ , a contradiction. In the alternative case,  $s \geq 2$ , we take  $\alpha$  to be the largest number in the set  $\{\alpha_1, \dots, \alpha_s\}$  if it is greater than  $\sqrt{\beta}$ , and the smallest one if all the conjugates of  $\alpha_1$  lie in  $|z| \leq \sqrt{\beta}$ . Then  $\alpha$  (resp.  $\alpha^{-1}$ ) is a Perron number.

Obviously,  $\beta$  cannot be written as a product of two complex conjugates of  $\alpha$  other than given in (5), and it is not a product of a real conjugate and a complex (nonreal) conjugate. Assume that among the real conjugates of  $\alpha$  there are  $m \geq 0$  pairs of conjugates that multiply to  $\beta$ , where  $m = 0$  if there are no such pairs. Then, without restriction of generality (5) can be extended to

$$(6) \quad \beta = \alpha_{s-2m+1}\alpha_{s-2m+2} = \cdots = \alpha_{s-1}\alpha_s = \alpha_{s+1}\overline{\alpha_{s+1}} = \cdots = \alpha_{s+t}\overline{\alpha_{s+t}}.$$

Note that  $s > 2m$ , since otherwise the norm of  $\alpha$  is equal to  $\beta^{d/2} \neq 1$  and  $\alpha$  is not a unit.

Assume that the degree of  $\beta$  over  $\mathbb{Q}$  is  $q$ , and the conjugates of  $\beta$  are  $\beta_1 = \beta, \beta_2, \dots, \beta_q$ . If  $q = 1$  then mapping  $\alpha_{s-2m+1}$  to  $\alpha_1$ , we find that  $\alpha_1\alpha' = \beta$  for some conjugate  $\alpha' \neq \alpha_1$  of  $\alpha$ , since  $\beta \mapsto \beta$ . But the pair  $\alpha_1, \alpha'$  does not appear in (6), a contradiction. Hence,  $q \geq 2$ .

Take any automorphism  $\sigma = \sigma_j$  of the Galois group  $\text{Gal}(F/\mathbb{Q})$ , where  $F$  is the normal closure of  $\mathbb{Q}(\alpha)$  over  $\mathbb{Q}$ , that maps  $\beta$  to  $\beta_j$ . Then (6) (which corresponds to  $\sigma = \text{id}$ ) maps to

$$(7) \quad \begin{aligned} \beta_j &= \sigma(\alpha_{s-2m+1})\sigma(\alpha_{s-2m+2}) = \cdots = \sigma(\alpha_{s-1})\sigma(\alpha_s) \\ &= \sigma(\alpha_{s+1})\sigma(\overline{\alpha_{s+1}}) = \cdots = \sigma(\alpha_{s+t})\sigma(\overline{\alpha_{s+t}}). \end{aligned}$$

Here,  $\sigma$  acts as a permutation of the set  $\mathcal{C} := \{\alpha_1, \alpha_2, \dots, \alpha_{s+t}, \overline{\alpha_{s+t}}\}$ . Consider  $q$  multiple equalities (7), where  $j = 1, \dots, q$ . Evidently, each of the  $q$  sets

$$\mathcal{C}_\sigma := \{\sigma(\alpha_{s-2m+1}), \sigma(\alpha_{s-2m+2}), \dots, \sigma(\alpha_{s-1}), \sigma(\alpha_s), \\ \sigma(\alpha_{s+1}), \sigma(\overline{\alpha_{s+1}}), \dots, \sigma(\alpha_{s+t}), \sigma(\overline{\alpha_{s+t}})\}$$

contains  $2t + 2m$  distinct elements. We will show that they are disjoint, so that  $\cup_\sigma \mathcal{C}_\sigma = \mathcal{C}$ .

Suppose first that some set  $\mathcal{C}_\sigma$ , where  $\sigma \neq \text{id}$ , contains a complex (nonreal) number. Then  $\alpha_i\alpha_j = \alpha_k\alpha_l = \beta_j \neq \beta$ , where the indices  $i, j, k, l$  are distinct and

$$\mathcal{I} := \{\alpha_i, \alpha_j, \alpha_k, \alpha_l\} \cap \{\alpha_{s+1}, \overline{\alpha_{s+1}}, \dots, \alpha_{s+t}, \overline{\alpha_{s+t}}\} \neq \emptyset.$$

If  $|\mathcal{I}| = 1$ , then one side of the equality

$$(8) \quad \alpha_i\alpha_j = \alpha_k\alpha_l$$

is real and the other side is nonreal, a contradiction. Suppose next that  $|\mathcal{I}| = 2$ . If both complex numbers are on one side of (8), say on its right hand side, then  $|\alpha_i \alpha_j| = |\alpha_k| \cdot |\alpha_l| = \sqrt{\beta} \cdot \sqrt{\beta} = \beta$ , so  $\alpha_i \alpha_j = \beta$ , contrary to  $\alpha_i \alpha_j = \beta_J \neq \beta$ . If the two complex numbers are on different sides of (8), say  $\alpha_j$  and  $\alpha_l$ , then  $|\alpha_i/\alpha_k| = |\alpha_l|/|\alpha_j| = \sqrt{\beta}/\sqrt{\beta} = 1$ . Thus,  $\alpha_i = \pm \alpha_k$ , which is impossible in view of  $i \neq k$  and  $\alpha_i, \alpha_k > 0$ . Next, if  $|\mathcal{I}| = 3$  then, assuming that the remaining real conjugate in (8) is  $\alpha_i$ , we obtain  $|\alpha_i| = |\alpha_k| |\alpha_l|/|\alpha_j| = \sqrt{\beta}$ . Thus,  $\alpha_i = \sqrt{\beta}$ . Then  $\beta = \alpha_i^2 = \alpha_{s+1} \overline{\alpha_{s+1}}$ , which contradicts Lemma 3. Finally, if  $|\mathcal{I}| = 4$ , then all 4 conjugates of  $\alpha$  in (8) are complex,  $\alpha_j \neq \overline{\alpha_i}$  and  $\alpha_l \neq \overline{\alpha_k}$ . We have already proved that the product of such  $\alpha_i$  and  $\alpha_j$  cannot be the product of two real conjugates or a real and a complex conjugate. Hence, the set  $\mathcal{C}_\sigma$  corresponding to  $\beta_J$  (which is equal to  $\alpha_i \alpha_j$ ) consists entirely of complex (nonreal) numbers. As  $|\mathcal{C}_\sigma| = 2t + 2m \geq 2t$ , all the complex conjugates of  $\alpha$  must belong to  $\mathcal{C}_\sigma$ . Thus,  $\overline{\alpha_i} \in \mathcal{C}_\sigma$ , and so  $\alpha_i \alpha_j = \overline{\alpha_i} \alpha_\ell$  with some complex (nonreal) conjugate  $\alpha_\ell$ . Multiplying both sides by  $\alpha_i \overline{\alpha_j}/\beta$ , we deduce that

$$\alpha_i^2 = \alpha_i^2 \alpha_j \overline{\alpha_j} / \beta = \overline{\alpha_i} \alpha_\ell \alpha_i \overline{\alpha_j} / \beta = \alpha_\ell \overline{\alpha_j}.$$

Now, if  $\overline{\alpha_j} = \alpha_\ell$  then  $\alpha_i = \alpha_\ell$ , which is not the case. If otherwise  $\overline{\alpha_j} \neq \alpha_\ell$  then the conjugates  $\alpha_i, \alpha_\ell$  and  $\overline{\alpha_j}$  are distinct. Then equality  $\alpha_i^2 = \alpha_\ell \overline{\alpha_j}$  is impossible, by Lemma 3. Hence, the set  $\mathcal{I}$  is empty.

We have thus proved that all the numbers in (8) are distinct positive numbers. Hence, for each  $\sigma \neq \text{id}$  the set  $\mathcal{C}_\sigma$  consists of  $2t + 2m$  positive conjugates of  $\alpha$ . Assume that some positive conjugate, say  $\alpha_1$ , appears in  $b > 1$  sets  $\mathcal{C}_\sigma$ . Then an automorphism of  $\text{Gal}(F/\mathbb{Q})$  that maps  $\alpha_1$  to  $\alpha_{s+1}$  acts as a permutation of the set  $\mathcal{C}$  and as a permutation of the set  $\{\beta_1, \dots, \beta_q\}$ . In this way we will obtain  $q$  equalities of the type (7), where the complex conjugate  $\alpha_{s+1}$  appears  $b$  times. As  $b > 1$ , this contradicts the fact that  $\beta_J = \alpha_i \alpha_j$  with complex (nonreal)  $\alpha_i, \alpha_j$  only happens once when  $J = 1$  and  $\alpha_j = \overline{\alpha_i}$ . By the same argument, a conjugate of  $\alpha$  cannot appear  $b = 0$  times in the sets  $\mathcal{C}_\sigma$ . Consequently, every conjugate of  $\alpha$  appears exactly once in the union of  $q$  sets  $\mathcal{C}_\sigma$ . Hence,

$$s + 2t = d = |\mathcal{C}| = |\mathcal{C}_{\text{id}}|q = (2t + 2m)q.$$

As  $q \geq 2$ , this finishes the proof of (2).

## 5. The construction of a nontrivial unit in Theorem 1

Let  $I(n)$  be the infimum among all positive numbers  $I_n$  with the following property: any closed real interval of length at least  $I_n$  contains a full set of conjugates of an algebraic integer of degree  $n$ . By a result of Robinson [21], every interval of length greater than 4 contains infinitely many full sets of conjugates of algebraic integers (see also [22]). Later, Ennola [10] proved that such an interval contains full sets of conjugates of algebraic integers of degree  $n$  for all  $n$  sufficiently large. Hence, for each positive  $\varepsilon$  we have  $I(n) <$

$4 + \varepsilon$  for every  $n > n(\varepsilon)$ . From [5] we know that  $I(2) = (1 + \sqrt{5})/2 + \sqrt{2}$  and it is evident that  $I(1) = 1$ . It seems very likely that every interval of length, say 5, or even smaller (although greater than 4, by an old result of Schur [25]) contains a full set of conjugates of an algebraic integer of degree  $n$  for every  $n \in \mathbb{N}$ . However, since no result of such type is given explicitly in the literature, we simply put

$$I := \max\{5, \sup_{n \in \mathbb{N}} I(n)\}.$$

Therefore, every interval of length  $I$  contains a full set of conjugates of an algebraic integer of degree  $n$  for every  $n \in \mathbb{N}$ .

We claim that for any integers  $t \geq 1$  and  $m \geq 0$  there is an algebraic integer  $\gamma$  of degree  $t + m$  with  $t$  conjugates in the interval  $[1, I + 1]$  and  $m$  conjugates in the interval  $(2I, \infty)$ , say

$$(9) \quad 1 \leq \gamma = \gamma_1 < \cdots < \gamma_t \leq I + 1 < 2I < \gamma_{t+1} < \cdots < \gamma_{t+m}.$$

Indeed, by the definition of  $I$ , such an algebraic integer  $\gamma$  exists for  $m = 0$ . To show the existence of such  $\gamma$  for  $m \geq 1$  we can use a theorem of Motzkin [15]. Let us take, for instance, arbitrary  $t$  points  $\lambda_1 < \cdots < \lambda_t$  in the interval  $(1, I + 1)$  and arbitrary  $m - 1$  points  $\lambda_{t+1} < \cdots < \lambda_{t+m-1}$  in the interval  $(2I, 2I + 1)$ . Then, by the main result of [8], for each  $\varepsilon > 0$  there is a constant  $c(\varepsilon, \lambda_1, \dots, \lambda_{t+m-1})$  and a totally positive algebraic integer

$$\gamma_{t+m} > \max\{c(\varepsilon, \lambda_1, \dots, \lambda_{t+m-1}), 2I + 1\}$$

of degree  $t + m$  such that the numbers  $\gamma_1, \dots, \gamma_{t+m-1}$  conjugate to  $\gamma_{t+m}$  over  $\mathbb{Q}$  lie in the  $\varepsilon$ -neighborhoods of the points  $\lambda_1, \dots, \lambda_{t+m-1}$ , respectively. By taking a sufficiently small  $\varepsilon > 0$ , we see that this algebraic integer  $\gamma_{t+m}$  of degree  $t + m$  with conjugates  $\gamma_1 = \gamma, \dots, \gamma_{t+m}$  satisfies (9).

By Lemma 5, we can take a totally positive Pisot unit  $\beta$  of degree  $q \geq 2$  such that, firstly,

$$(10) \quad \beta = \beta_1 > \frac{I(I+1)}{I-1} > 1 > \beta_2 > \cdots > \beta_q$$

and, secondly, the minimal polynomial of  $\beta$  over  $\mathbb{Q}$  is irreducible in the ring  $\mathbb{Q}(\gamma_1, \dots, \gamma_{t+m})[x]$ .

Set

$$(11) \quad k := \lceil (1 + I^{-1})\beta \rceil \geq (1 + I^{-1})\beta > (1 + I^{-1})\frac{I(I+1)}{I-1} > I + 1 \geq 6.$$

Consider the polynomial

$$H(x, \beta_j) := \prod_{i=1}^{t+m} (x^2 - \gamma_i(k - \beta_j)x + \beta_j^2).$$

If  $j > 1$  then the discriminant of each quadratic factor in  $H(x, \beta_j)$  is positive. Indeed, using (9), (10) and (11), we obtain

$$(\gamma_i(k - \beta_j))^2 - 4\beta_j^2 \geq (k - \beta_j)^2 - 4\beta_j^2 > (6 - 1)^2 - 4 > 0.$$

Since  $\gamma_i(k - \beta_j) > 0$ , the factor  $x^2 - \gamma_i(k - \beta_j)x + \beta_j^2$  has two positive roots. Hence, the polynomial  $H(x, \beta_j)$  has  $2t + 2m$  positive roots.

We claim that for  $j = 1$  the polynomial

$$H(x, \beta_1) = \prod_{i=1}^{t+m} (x^2 - \gamma_i(k - \beta)x + \beta^2)$$

has  $2m$  positive roots and  $2t$  complex roots lying on the circle  $|z| = \beta$ . Indeed, this time the discriminant

$$\Delta_i := (\gamma_i(k - \beta))^2 - 4\beta^2$$

is positive for  $i = t + 1, \dots, t + m$ . To see this, we use  $k - \beta \geq \beta/I$  and  $\gamma_i > 2I$  which gives

$$(\gamma_i(k - \beta))^2 - 4\beta^2 \geq \gamma_i^2(\beta/I)^2 - 4\beta^2 > 4I^2(\beta/I)^2 - 4\beta^2 = 0.$$

Thus, the quadratic polynomial  $x^2 - \gamma_i(k - \beta)x + \beta^2$  has two positive roots for every  $i = t + 1, \dots, t + m$ .

Similarly, we may check that  $\Delta_i$  is negative for  $i = 1, \dots, t$ . Indeed, by (9), (10) and (11),

$$\begin{aligned} (\gamma_i(k - \beta))^2 - 4\beta^2 &\leq (I + 1)^2([\!(1 + I^{-1})\beta] - \beta)^2 - 4\beta^2 \\ &< (I + 1)^2(\beta/I + 1)^2 - 4\beta^2 \\ &< (I + 1)^2(2\beta/(I + 1))^2 - 4\beta^2 = 4\beta^2 - 4\beta^2 = 0, \end{aligned}$$

where the inequality  $\beta/I + 1 < 2\beta/(I + 1)$  follows from (10). Consequently, for each  $i = 1, \dots, t$  the roots of  $x^2 - \gamma_i(k - \beta)x + \beta^2$  are

$$\frac{\gamma_i(k - \beta) \pm \sqrt{(\gamma_i(k - \beta))^2 - 4\beta^2}}{2}.$$

These are complex conjugate numbers lying on the circle  $|z| = \beta$ . Thus,  $H(x, \beta_1)$  has  $2m$  positive roots and  $2t$  complex roots all lying on the circle  $|z| = \beta$ .

Summarizing, we conclude that the polynomial

$$(12) \quad P(x) := \prod_{j=1}^q H(x, \beta_j) = \prod_{j=1}^q \prod_{i=1}^{t+m} (x^2 - \gamma_i(k - \beta_j)x + \beta_j^2) \in \mathbb{Z}[x]$$

has  $(2t + 2m)(q - 1) + 2m = (2t + 2m)q - 2t$  positive roots and  $2t$  complex roots.

We next show that the polynomial  $P(x)$  of (12), of degree  $d = s + 2t$ , where  $s = (2t + 2m)q - 2t$ , is irreducible in  $\mathbb{Z}[x]$ . Let  $\alpha$  be one of its complex roots, say

$$\alpha = \frac{\gamma(k - \beta) + i\sqrt{4\beta^2 - (\gamma(k - \beta))^2}}{2},$$

where  $i = \sqrt{-1}$ ,  $\beta = \beta_1$  and  $\gamma = \gamma_1$ . Assume that  $\ell := \deg \alpha < 2(t+m)q$  and consider the set of conjugates of  $\alpha$ , say  $\alpha_1 = \alpha, \alpha_2, \dots, \alpha_\ell$ . Evidently,

$$\bar{\alpha} = \frac{\gamma(k - \beta) - i\sqrt{4\beta^2 - (\gamma(k - \beta))^2}}{2}$$

is a conjugate of  $\alpha$  over  $\mathbb{Q}$ , so both  $\alpha$  and  $\bar{\alpha}$  belong to the set  $\{\alpha_1, \dots, \alpha_\ell\}$ .

Let  $F$  be the Galois closure of  $\mathbb{Q}(\beta, \gamma)$  over  $\mathbb{Q}$  and  $G := \text{Gal}(F/\mathbb{Q})$ . Note that the numbers  $\beta_j$ ,  $j = 1, \dots, q$ , are conjugate over  $\mathbb{Q}(\gamma)$ , since, by the choice of  $\beta$ , the minimal polynomial of  $\beta$  is irreducible in the ring  $\mathbb{Q}(\gamma)[x]$ . Thus, given any  $j$  in the range  $1 \leq j \leq q$ , there is an automorphism  $\sigma \in G$  that fixes  $\gamma$  and maps  $\beta \mapsto \beta_j$ . This automorphism maps the factor  $x^2 - \gamma(k - \beta)x + \beta^2$  to the factor  $x^2 - \gamma(k - \beta_j)x + \beta_j^2$ , so it takes the pair of roots of the first quadratic polynomial,  $\alpha = \alpha_1(\gamma, \beta), \bar{\alpha} = \alpha_2(\gamma, \beta)$ , to the pair of roots  $\alpha_1(\gamma, \beta_j), \alpha_2(\gamma, \beta_j)$  of the second quadratic polynomial. In particular, this implies that these four roots are conjugate over  $\mathbb{Q}$  for each  $j = 1, \dots, q$ .

Next, map  $\gamma$  to  $\gamma_i$ , where  $i$  is one of the indices  $1, \dots, t+m$ . This automorphism of  $G$  takes  $\beta$  to some  $\beta_J$  and  $x^2 - \gamma(k - \beta)x + \beta^2$  to  $x^2 - \gamma_i(k - \beta_J)x + \beta_J^2$ . Hence, their roots  $\alpha_1(\gamma, \beta), \alpha_2(\gamma, \beta)$  and  $\alpha_1(\gamma_i, \beta_J), \alpha_2(\gamma_i, \beta_J)$  are conjugate over  $\mathbb{Q}$ . Furthermore, by the same argument as above and the fact that the minimal polynomial of  $\beta_J$  is irreducible in  $\mathbb{Q}(\gamma_i)[x]$ , the roots of  $x^2 - \gamma_i(k - \beta_J)x + \beta_J^2$  (namely  $\alpha_1(\gamma_i, \beta_J), \alpha_2(\gamma_i, \beta_J)$ ) and the roots of  $x^2 - \gamma_i(k - \beta_r)x + \beta_r^2$  (say  $\alpha_1(\gamma_i, \beta_r), \alpha_2(\gamma_i, \beta_r)$ ) are conjugate over  $\mathbb{Q}$  for any indices  $J, r$  in the range  $1 \leq J, r \leq q$ . Thus, we conclude that all  $(2t+2m)q$  roots of the polynomial  $P$  defined in (12) are conjugate over  $\mathbb{Q}$ .

Hence,  $\ell = \deg \alpha$  can be smaller than  $\deg P = 2(t+m)q$  only if  $\alpha$  is a multiple root of  $P$ . However, if  $\alpha$  is equal to another complex root  $\alpha'$  of  $P$  corresponding, say to  $\gamma_i \neq \gamma$  and  $\beta$  (which is the only possibility to get a complex root), then

$$\alpha + \bar{\alpha} = \gamma(k - \beta) = \gamma_i(k - \beta) = \alpha' + \bar{\alpha}'.$$

This yields  $\gamma = \gamma_i$ , a contradiction. The proof of Theorem 1 is now completed.

We conclude this section with an example which shows that the unit

$$(13) \quad \alpha := 15 + 5\sqrt{2} + 6\sqrt{3} + 2\sqrt{6} + \sqrt{310 + 222\sqrt{2} + 276\sqrt{3} + 120\sqrt{6}} \\ = 74.724635 \dots$$

is a nonreciprocal unit of degree 8 with 4 real conjugates and two pairs of complex conjugates of equal moduli. This corresponds to the case  $K = \mathbb{Q}(\alpha)$  and  $s = 4$ ,  $t = 2$ ,  $m = 0$ ,  $q = 2$  in equality (2) of Theorem 1.

Take a quadratic algebraic integer  $\gamma = 3 - \sqrt{2}$  with conjugate  $\gamma' = 3 + \sqrt{2}$  and a quadratic Pisot unit  $\beta := 7 + 4\sqrt{3}$  with conjugate  $\beta' = 7 - 4\sqrt{3}$ . Then the conditions (9) and (10) are satisfied with  $I = 5$ . Evidently, the minimal

polynomial of  $\beta$  is irreducible in the ring  $\mathbb{Q}(\gamma, \gamma')[x] = \mathbb{Q}(\sqrt{2})[x]$ . By (11), we obtain  $k = 17$ . Hence,  $H(x, \beta)$  is the product of the polynomials

$$x^2 - (3 - \sqrt{2})(10 - 4\sqrt{3})x + 97 + 56\sqrt{3}$$

and

$$x^2 - (3 + \sqrt{2})(10 - 4\sqrt{3})x + 97 + 56\sqrt{3}.$$

Thus,  $H(x, \beta)$  is equal to

$$x^4 - (60 - 24\sqrt{3})x^3 + (1230 - 448\sqrt{3})x^2 - (1788 + 1032\sqrt{3})x + 18817 + 10864\sqrt{3}.$$

Similarly,  $H(x, \beta')$  equals

$$x^4 - (60 + 24\sqrt{3})x^3 + (1230 + 448\sqrt{3})x^2 - (1788 - 1032\sqrt{3})x + 18817 - 10864\sqrt{3}.$$

Now, calculating the product  $H(x, \beta)H(x, \beta')$  we find the polynomial (12)

$$P(x) = x^8 - 120x^7 + 4332x^6 - 86664x^5 + 1311590x^4 - 10994952x^3 + 75494124x^2 - 19704x + 1,$$

which is irreducible in  $\mathbb{Z}[x]$ . It has four positive roots

$$0.000068\dots, 0.000192\dots, 26.844323\dots, 74.724635\dots$$

where the last one is the root  $\alpha$  defined in (13), which is the larger of the roots of the quadratic factor  $x^2 - (3 + \sqrt{2})(10 + 4\sqrt{3})x + (7 - 4\sqrt{3})^2$  and is the largest positive root of  $P$ , and two pairs of complex conjugate roots

$$6.779783\dots \pm i12.166732\dots, 2.435606\dots \pm i13.713594\dots$$

on the circle  $|z| = \beta = 7 + 4\sqrt{3} = 13.928203\dots$

### 6. Proof of Theorem 2

Consider the subgroup  $U$  of  $\mathcal{U}_K$  of units satisfying (1). If  $U$  has rank at least  $s$  then it contains  $s$  multiplicatively independent units  $u_1, \dots, u_s$ . In particular,  $u_1 \notin \mathbb{Q}$ . Suppose first that  $K' := \mathbb{Q}(u_1, \dots, u_s)$  is a proper subfield of  $K$ . Note that  $K'$  is a proper extension of  $\mathbb{Q}$ , since  $u_1 \notin \mathbb{Q}$ . Applying Lemma 7 we find that  $K'$  has  $s$  real and  $2t' > 0$  complex embeddings. By Corollary 4, the conjugates of  $u_1$  have at least  $s + 1$  distinct moduli. Note that the restrictions of the embeddings  $\sigma_1, \dots, \sigma_s$  of  $K$  to  $K'$  are the real embeddings of  $K'$ . Hence, the list

$$\sigma_1(u_1), \dots, \sigma_s(u_1), \sigma_{s+1}(u_1), \bar{\sigma}_{s+1}(u_1), \dots, \sigma_{s+t}(u_1), \bar{\sigma}_{s+t}(u_1)$$

contains at least  $s + 1$  numbers with distinct moduli. Since the last  $2t$  numbers in this list have the same modulus, the first  $s$  must have distinct moduli. Now, as  $\sigma_1(u_1)$  appears in the list exactly  $k = (s + 2t)/(s + 2t') > 1$  times and  $k \in \mathbb{N}$ , it must appear at least once among the last  $2t$  numbers of the list. However, then the number of distinct moduli in the list is at most  $s$ , a contradiction.

It remains to consider the alternative case when  $\mathbb{Q}(u_1, \dots, u_s) = K$ . Then, by Lemma 6, the semigroup  $S(u_1, \dots, u_s)$  contains  $s$  multiplicatively independent units  $v_1, \dots, v_s$  of degree  $d$  each. Since  $v_1, \dots, v_s \in S(u_1, \dots, u_s)$  and the units  $u_1, \dots, u_s$  satisfy the condition (1), the units  $v_1, \dots, v_s$  must satisfy (1) as well. In particular, this implies that the matrix

$$M = M(v_1, \dots, v_s) := (\log |\sigma_j(v_i)|)_{1 \leq i, j \leq s}$$

has rank  $s$ . However, by Theorem 1, the units  $v_1, \dots, v_s$  of degree  $d$  must be reciprocal. Hence, for each  $i = 1, \dots, s$  the product over real embeddings  $\prod_{j=1}^s \sigma_j(v_i)$  is equal to 1. Thus, the columns of the matrix  $M$  are linearly dependent, which implies that the rank of  $M$  is smaller than  $s$ . (This is also true for  $s = 1$  when  $M$  is the  $1 \times 1$  matrix with entry 0.) Therefore, the rank of  $U$  is smaller than  $s$ . This completes the proof of Theorem 2, by the result of Oeljeklaus and Toma [16] stated in Section 1. (See also a stronger result given in Theorem 8 of the Appendix.)

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## Appendix (by Laurent Battisti<sup>1</sup>)

This appendix has two objectives. First, we prove a criterion for detecting OT-manifolds admitting a locally conformally Kähler metric and in the second part we give an alternative proof of Theorem 2 by using a geometric property of OT-manifolds (namely, their non-Kählerianity). In what follows, we keep the notation defined in the introduction of the main article.

A complex manifold  $X$  is said to *admit an LCK metric* if there exists a closed positive  $(1, 1)$ -form  $\tilde{\omega}$  on the universal cover  $\tilde{X}$  of  $X$  and a representation  $\rho : \pi_1(X) \rightarrow \mathbb{R}_{>0}$  such that for all  $g \in \pi_1(X)$ , one has  $g^*\tilde{\omega} = \rho(g)\tilde{\omega}$ . This notion was introduced by Vaisman in [28]. See also the introduction of the main article for further references on the subject.

In the case of an OT-manifold  $X(K, A)$ , its fundamental group is (up to isomorphism) the semi-direct product  $A \rtimes \mathcal{O}_K$  and its universal cover is  $\mathbb{H}^s \times \mathbb{C}^t$ . In [16] (proof of Proposition 2.9) and in [33] the authors prove that if an OT-manifold  $X(K, A)$  admits an LCK metric then one has  $\rho(g) = |\sigma_{s+1}(u)|^2 = \dots = |\sigma_{s+t}(u)|^2$  for all  $g = (u, a) \in A \rtimes \mathcal{O}_K$ . It turns out that this relation between the absolute values of the complex embeddings of the elements of  $A$  is in fact a characterization:

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**Theorem 8.** *An OT-manifold  $X(K, A)$  admits an LCK metric if and only if the following holds:*

$$(14) \quad \text{for all } u \in A, \quad |\sigma_{s+1}(u)| = \dots = |\sigma_{s+t}(u)|.$$

**Proof.** We only need to check that this condition is sufficient. Let  $X(K, A)$  be an OT-manifold with  $A$  satisfying condition (14) and define the following real function on  $\mathbb{H}^s \times \mathbb{C}^t$ :

$$\varphi(z) := \left( \prod_{j=1}^s \frac{i}{z_j - \bar{z}_j} \right)^{\frac{1}{t}} + \sum_{k=1}^t |z_{s+k}|^2.$$

This definition of  $\varphi$  is very natural: when  $t = 1$ , this function is the same as the function  $F$  defined in [16], example p. 169.

It is enough to prove that it is a Kähler potential on  $\mathbb{H}^s \times \mathbb{C}^t$ . For this, we will see that the matrix  $(\partial_{z_p} \partial_{\bar{z}_q} \varphi_1)$  is positive definite, where we set  $\varphi_1(z) = \left( \prod_{j=1}^s \frac{i}{z_j - \bar{z}_j} \right)^{\frac{1}{t}}$ . For all  $q \in \{1, \dots, s\}$ , one has:

$$\partial_{\bar{z}_q} \varphi_1(z) = \frac{1}{t} \frac{1}{z_q - \bar{z}_q} \varphi_1,$$

and for all  $p \in \{1, \dots, s\}$ , one has:

$$\partial_{z_p} \partial_{\bar{z}_q} \varphi_1(z) = \begin{cases} \frac{1}{t^2} \frac{-1}{(z_p - \bar{z}_p)(z_q - \bar{z}_q)} \varphi_1 & \text{if } p \neq q \\ \frac{1}{t^2} (1+t) \frac{-1}{(z_p - \bar{z}_p)^2} \varphi_1 & \text{if } p = q. \end{cases}$$

Hence,  $(\partial_{z_p} \partial_{\bar{z}_q} \varphi_1) = \frac{1}{t^2} \varphi_1 B$  where the matrix  $B$  is

$$B = \begin{pmatrix} \frac{(1+t)}{4y_1^2} & \frac{1}{4y_1 y_2} & \dots & \frac{1}{4y_1 y_s} \\ \frac{1}{4y_2 y_1} & \frac{(1+t)}{4y_2^2} & \dots & \frac{1}{4y_2 y_s} \\ \vdots & \vdots & \ddots & \vdots \\ \frac{1}{4y_s y_1} & \frac{1}{4y_s y_2} & \dots & \frac{(1+t)}{4y_s^2} \end{pmatrix},$$

and  $z_j = x_j + iy_j$  for all  $j \in \{1, \dots, s+t\}$ . As in [19], we notice that  $B$  is the sum of a diagonal positive definite matrix and a positive semidefinite one. Hence,  $B$  is positive definite.

Now, let  $\omega_0 := i\partial\bar{\partial}\varphi$  and for all  $g = (u, a) \in A \times \mathcal{O}_K$  set  $\rho(g) := |\sigma_{s+1}(u)|^2$ . First, notice that because  $u$  is a unit we have

$$(\sigma_1(u) \dots \sigma_s(u)) (|\sigma_{s+1}(u)|^2 \dots |\sigma_{s+t}(u)|^2) = 1.$$

Then, write

$$\begin{aligned} \partial\bar{\partial}(\varphi \circ g)(z) &= \frac{1}{(\sigma_1(u)\dots\sigma_s(u))^{\frac{1}{t}}}\partial\bar{\partial}\varphi_1(z) \\ &\quad + \partial\bar{\partial}\sum_{k=1}^t|\sigma_{s+k}(u)z_{s+k} + \sigma_{s+k}(a)|^2 \\ &= \rho(g)\partial\bar{\partial}\varphi_1(z) + \rho(g)\partial\bar{\partial}\sum_{k=1}^t|z_{s+k}|^2 \\ &= \rho(g)\partial\bar{\partial}\varphi(z). \end{aligned}$$

We now obtain the following equalities:

$$g^*\omega_0 = g^*(i\partial\bar{\partial}\varphi) = i\partial\bar{\partial}(\varphi \circ g) = i\rho(g)\partial\bar{\partial}(\varphi) = \rho(g)\omega_0.$$

This concludes the proof.  $\square$

Recall that in [16], the authors showed that no OT-manifold admits a Kähler structure (this is Proposition 2.5, loc. cit.). Using this fact, we now see how to prove Theorem 2.

Assume that  $K$  is a number field of degree  $d = s + 2t$  with  $t \geq 2$  and with  $s$  not being of the form (2). We now suppose that the rank of the subgroup  $U$  of  $\mathcal{U}_K$  of units satisfying equation (1) is at least (therefore, equal to)  $s$  and we want to show that this leads to a contradiction.

First, notice that  $l(U)$  has a trivial intersection with the kernel of the projecting map  $\mathcal{P} : \mathcal{S} \rightarrow \mathbb{R}^s$ , where  $l$  and  $\mathcal{P}$  are defined in the introduction of the main article. Thus,  $U$  is an admissible subgroup of  $\mathcal{U}_K$ . Now, consider the OT-manifold  $X(K, U)$ ; it admits an LCK metric by Theorem 8. As a consequence of Theorem 1, all the elements of  $U$  are reciprocal. In particular,  $|\sigma_{s+j}(u)| = 1$  for all  $u \in U$  and for all  $j \in \{1, \dots, t\}$ .

Let  $\omega$  be a Kähler form on  $\mathbb{H}^s \times \mathbb{C}^t$  giving rise to an LCK metric on  $X(K, U)$ . For all  $g = (u, a) \in U \times \mathcal{O}_K$ , one has  $g^*\omega = |\sigma_{s+1}(u)|^2\omega$  (see the paragraph before Theorem 8), which simplifies as  $g^*\omega = \omega$ . The form  $\omega$  being invariant under the action of  $A \times \mathcal{O}_K$ , it descends to a Kähler form on  $X(K, U)$ . This implies that  $X(K, U)$  is a Kähler manifold, which is the desired contradiction.

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