

## S-extremal strongly modular lattices

par GABRIELE NEBE et KRISTINA SCHINDELAR

RÉSUMÉ. Un réseau fortement modulaire est dit s-extrémal, s'il maximise le minimum du réseau et son ombre simultanément. La dimension des réseaux s-extrémaux dont le minimum est pair peut être bornée par la théorie des formes modulaires. En particulier de tels réseaux sont extrémaux.

ABSTRACT. S-extremal strongly modular lattices maximize the minimum of the lattice and its shadow simultaneously. They are a direct generalization of the s-extremal unimodular lattices defined in [6]. If the minimum of the lattice is even, then the dimension of an s-extremal lattices can be bounded by the theory of modular forms. This shows that such lattices are also extremal and that there are only finitely many s-extremal strongly modular lattices of even minimum.

### 1. Introduction.

Strongly modular lattices have been defined in [11] to generalize the notion of unimodular lattices. For square-free  $N \in \mathbb{N}$  a lattice  $L \subset (\mathbb{R}^n, (\cdot, \cdot))$  in Euclidean space is called *strongly N-modular*, if  $L$  is integral, i.e. contained in its dual lattice

$$L^* = \{x \in \mathbb{R}^n \mid (x, \ell) \in \mathbb{Z} \forall \ell \in L\}$$

and isometric to its rescaled partial dual lattices  $\sqrt{d}(L^* \cap \frac{1}{d}L)$  for all  $d \mid N$ . The simplest strongly modular lattice is

$$C_N := \perp_{d \mid N} \sqrt{d}\mathbb{Z}$$

of dimension  $\sigma_0(N)$ , the number of divisors of  $N$ . For

$$N \in \mathcal{L} = \{1, 2, 3, 5, 6, 7, 11, 14, 15, 23\}$$

which is the set of square-free numbers such that  $\sigma_1(N) = \sum_{d \mid N} d$  divides 24, Theorems 1 and 2 in [13] bound the minimum  $\min(L) := \min\{(\ell, \ell) \mid \ell \in L\}$

$0 \neq \ell \in L$  of a strongly  $N$ -modular lattice that is rational equivalent to  $C_N^k$  by

$$(1.1) \quad \min(L) \leq 2 + 2 \lfloor \frac{k}{s(N)} \rfloor, \text{ where } s(N) = \frac{24}{\sigma_1(N)}.$$

For  $N \in \{1, 3, 5, 7, 11\}$  there is one exception to this bound:  $k = s(N) - 1$  and  $L = S^{(N)}$  of minimum 3 (see [13, Table 1]). Lattices achieving this bound are called *extremal*.

For an odd strongly  $N$ -modular lattice  $L$  let

$$S(L) = L_0^* \setminus L^*$$

denote the shadow of  $L$ , where  $L_0 = \{\ell \in L \mid (\ell, \ell) \in 2\mathbb{Z}\}$  is the even sublattice of  $L$ . For even strongly  $N$ -modular lattices  $L$  let  $S(L) := L^*$ . Then the *shadow-minimum* of an  $N$ -modular lattice is defined as

$$\text{smin}(L) := \min\{N(x, x) \mid x \in S(L)\}.$$

In particular  $\text{smin}(L) = 0$  for even lattices  $L$ . In this paper we show that for all  $N \in \mathcal{L}$  and for all strongly  $N$ -modular lattices  $L$  that are rational equivalent to  $C_N^k$

$$\begin{aligned} 2 \min(L) + \text{smin}(L) &\leq k \frac{\sigma_1(N)}{4} + 2 && \text{if } N \text{ is odd and} \\ \min(L) + \text{smin}(L) &\leq k \frac{\sigma_1(N/2)}{2} + 1 && \text{if } N \text{ is even} \end{aligned}$$

with the exceptions  $L = S^{(N)}$ ,  $k = s(N) - 1$  ( $N \neq 23, 15$  odd) where the bound has to be increased by 2 and  $L = O^{(N)}$ ,  $k = s(N)$  and  $N$  even, where the bound has to be increased by 1 (see [13, Table 1] for the definition of the lattices  $S^{(N)}$ ,  $O^{(N)}$  and also  $E^{(N)}$ ). Lattices achieving this bound are called *s-extremal*. The theory of modular forms allows us to bound the dimension  $\sigma_0(N)k$  of an s-extremal lattice of even minimum  $\mu$  by

$$2k < \mu s(N).$$

In particular *s-extremal* lattices of even minimum are automatically *extremal* and hence by [12] there are only finitely many strongly  $N$ -modular *s-extremal* lattices of even minimum. This is also proven in Section 3, where explicit bounds on the dimension of such *s-extremal* lattices and some classifications are obtained. It would be interesting to have a similar bound for odd minimum  $\mu \geq 3$ . Of course for  $\mu = 1$ , the lattices  $C_N^k$  are *s-extremal* strongly  $N$ -modular lattices of minimum 1 for arbitrary  $k \in \mathbb{N}$  (see [9]), but already for  $\mu = 3$  there are only finitely many *s-extremal* unimodular lattices of minimum 3 (see [10]). The *s-extremal* strongly  $N$ -modular lattices of minimum  $\mu = 2$  are classified in [9] and some *s-extremal* lattices of minimum 3 are constructed in [15]. For all calculations we used the computer algebra system MAGMA [2].

**2. S-extremal lattices.**

For a subset  $S \subset \mathbb{R}^n$ , which is a finite union of cosets of an integral lattice we put its *theta series*

$$\Theta_S(z) := \sum_{v \in S} q^{(v,v)}, \quad q = \exp(\pi iz).$$

The theta series of strongly  $N$ -modular lattices are modular forms for a certain discrete subgroup  $\Gamma_N$  of  $SL_2(\mathbb{R})$  (see [13]). Fix  $N \in \mathcal{L}$  and put

$$g_1^{(N)}(z) := \Theta_{C_N}(z) = \prod_{d|N} \Theta_{\mathbb{Z}}(dz) = \prod_{d|N} \prod_{j=1}^{\infty} (1 - q^{2dj})(1 + q^{d(2j-1)})^2$$

(see [4, Section 4.4]). Let  $\eta$  be the Dedekind eta-function

$$\eta(z) := q^{\frac{1}{24}} \prod_{j=1}^{\infty} (1 - q^{2j}) \text{ and put } \eta^{(N)}(z) := \prod_{d|N} \eta(dz).$$

If  $N$  is odd define

$$g_2^{(N)}(z) := \left( \frac{\eta^{(N)}(z/2)\eta^{(N)}(2z)}{\eta^{(N)}(z)^2} \right)^{s(N)}$$

and if  $N$  is even then

$$g_2^{(N)}(z) := \left( \frac{\eta^{(N/2)}(z/2)\eta^{(N/2)}(4z)}{\eta^{(N/2)}(z)\eta^{(N/2)}(2z)} \right)^{s(N)}.$$

The meromorphic function  $g_2^{(N)}$  generates the field of modular functions of  $\Gamma_N$ . It is a power series in  $q$  starting with

$$g_2^{(N)}(z) = q - s(N)q^2 + \dots$$

Using the product expansion of the  $\eta$ -function we find that

$$q^{-1}g_2^{(N)}(z) = \prod_{d|N} \prod_{j=1}^{\infty} (1 + q^{d(2j-1)})^{-s(N)}.$$

For even  $N$  one has to note that

$$\begin{aligned} q^{-1}g_2^{(N)}(z) &= \prod_{d|\frac{N}{2}} \prod_{j=1}^{\infty} \left( \frac{1 + q^{4dj}}{1 + q^{dj}} \right)^{s(N)} \\ &= \prod_{d|\frac{N}{2}} \prod_{j=1}^{\infty} (1 + q^{2d(2j-1)})^{-s(N)} (1 + q^{d(2j-1)})^{-s(N)}. \end{aligned}$$

By [13, Theorem 9, Corollary 3] the theta series of a strongly  $N$ -modular lattice  $L$  that is rational equivalent to  $C_N^k$  is of the form

$$(2.1) \quad \Theta_L(z) = g_1^{(N)}(z)^k \sum_{i=0}^b c_i g_2^{(N)}(z)^i$$

for  $c_i \in \mathbb{R}$  and some explicit  $b$  depending on  $k$  and  $N$ . The theta series of the rescaled shadow  $S := \sqrt{N}S(L)$  of  $L$  is

$$(2.2) \quad \Theta_S(z) = s_1^{(N)}(z)^k \sum_{i=0}^b c_i s_2^{(N)}(z)^i$$

where  $s_1^{(N)}$  and  $s_2^{(N)}$  are the corresponding “shadows” of  $g_1^{(N)}$  and  $g_2^{(N)}$  as defined in [13] (see also [9]).

If  $N$  is odd, then

$$s_1^{(N)} = 2^{\sigma_0(N)} q^{\sigma_1(N)/4} (1 + q^2 + \dots)$$

and

$$s_2^{(N)} = 2^{-s(N)\sigma_0(N)/2} (-q^{-2} + s(N) + \dots).$$

If  $N$  is even, then

$$s_1^{(N)} = 2^{\sigma_0(N)/2} q^{\sigma_1(N/2)/2} (1 + 2q + \dots),$$

$$s_2^{(N)} = 2^{-s(N)\sigma_0(N/2)/2} (-q^{-1} + s(N) + \dots).$$

**Theorem 2.1.** *Let  $N \in \mathcal{L}$  be odd and let  $L$  be a strongly  $N$ -modular lattice in the genus of  $C_N^k$ . Let  $\sigma := \text{smin}(L)$  and let  $\mu := \text{min}(L)$ . Then*

$$\sigma + 2\mu \leq k \frac{\sigma_1(N)}{4} + 2$$

unless  $k = s(N) - 1$  and  $\mu = 3$ . In the latter case the lattice  $S^{(N)}$  is the only exception (with  $\text{min}(S^{(N)}) = 3$  and  $\text{smin}(S^{(N)}) = 4 - \sigma_1(N)/4$ ).

*Proof.* The proof is a straightforward generalization of the one given in [6]. We always assume that  $L \neq S^{(N)}$  and put  $g_1 := g_1^{(N)}$  and  $g_2 := g_2^{(N)}$ . Let  $m := \mu - 1$  and assume that  $\sigma + 2\mu \geq k \frac{\sigma_1(N)}{4} + 2$ . Then from the expansion of

$$\Theta_S = \sum_{j=\sigma}^{\infty} b_j q^j = s_1^{(N)}(z)^k \sum_{i=0}^b c_i s_2^{(N)}(z)^i$$

in formula (2.2) above we see that  $c_i = 0$  for  $i > m$  and (2.1) determines the remaining coefficients  $c_0 = 1, c_1, \dots, c_m$  uniquely from the fact that

$$\Theta_L = 1 + \sum_{j=\mu}^{\infty} a_j q^j \equiv 1 \pmod{q^{m+1}}.$$

The number of vectors of norm  $k\frac{\sigma_1(N)}{4} + 2 - 2\mu$  in  $S = \sqrt{N}S(L)$  is

$$c_m(-1)^m 2^{-m\sigma_0(N)s(N)/2+k\sigma_0(N)}$$

and nonzero, iff  $c_m \neq 0$ . The expansion of  $g_1^{-k}$  in a power series in  $g_2$  is given by

$$(2.3) \quad g_1^{-k} = \sum_{i=0}^m c_i g_2^i - a_{m+1} q^{m+1} g_1^{-k} + \star q^{m+2} + \dots = \sum_{i=0}^{\infty} \tilde{c}_i g_2^i$$

with  $\tilde{c}_i = c_i$  ( $i = 0, \dots, m$ ) and  $\tilde{c}_{m+1} = -a_{m+1}$ . Hence Bürmann-Lagrange (see for instance [16]) yields that

$$c_m = \frac{1}{m!} \frac{\partial^{m-1}}{\partial q^{m-1}} \left( \frac{\partial}{\partial q} (g_1^{-k})(qg_2^{-1})^m \right)_{q=0} = \frac{-k}{m} (\text{coeff. of } q^{m-1} \text{ in } (g'_1/g_1)/f_1)$$

with  $f_1 = (q^{-1}g_2)^m g_1^k$ . Using the product expansion of  $g_1$  and  $g_2$  above we get

$$f_1 = \prod_{d|N} \prod_{j=1}^{\infty} (1 - q^{2dj})^k (1 + q^{d(2j-1)})^{2k-s(N)m}.$$

Since

$$g'_1/g_1 = \sum_{d|N} \frac{\frac{\partial}{\partial q} \theta_3(dz)}{\theta_3(dz)}$$

is alternating as a sum of alternating power series, the series  $P := g'_1/g_1/f_1$  is alternating, if  $2k - s(N)m \geq 0$ . In this case all coefficients of  $P$  are nonzero, since all even powers of  $q$  occur in  $(1 - q^2)^{-1}$  and  $g'_1/g_1$  has a non-zero coefficient at  $q^1$ . Otherwise write

$$P = g'_1 \prod_{d|N} \prod_{j=1}^{\infty} \frac{(1 + q^{d(2j-1)})^{s(N)m-2k-2}}{(1 - q^{2dj})^{k+1}}.$$

If  $2k - s(N)m < -2$  then  $P$  is a positive power series in which all  $q$ -powers occur. Hence  $c_m < 0$  in this case. If the minimum  $\mu$  is odd then this implies that  $b_\sigma < 0$  and hence the nonexistence of an s-extremal lattice of odd minimum for  $s(N)m - 2 > 2k$ . Assume now that  $2k - s(N)m = -2$ , i.e.  $k = s(N)m/2 - 1$ . By the bound in [13] one has

$$m + 1 \leq 2 \lfloor \frac{k}{s(N)} \rfloor + 2 = 2 \lfloor \frac{m}{2} - \frac{1}{s(N)} \rfloor + 2.$$

This is only possible if  $m$  is odd. Since  $g'_1$  has a non-zero constant term,  $P$  contains all even powers of  $q$ . In particular the coefficient of  $q^{m-1}$  is

positive. The last case is  $2k - s(N)m = -1$ . Then clearly  $m$  and  $s(N)$  are odd and  $P = GH^{(m-1)/2}$  where

$$G = g'_1 \prod_{d|N} \prod_{j=1}^{\infty} (1 + q^{d(2j-1)})^{-1} (1 - q^{2dj})^{-(s(N)+1)/2}$$

and

$$H = \prod_{d|N} \prod_{j=1}^{\infty} (1 - q^{2dj})^{-s(N)}.$$

If  $m$  is odd then the coefficient of  $P$  at  $q^{m-1}$  is

$$\int_{1+iy_0}^{-1+iy_0} e^{-(m-1)\pi iz} G(e^{\pi iz}) H(e^{\pi iz})^{(m-1)/2} dz$$

which may be estimated by the saddle point method as illustrated in [8, Lemma 1]. In particular this coefficient grows like a constant times

$$\frac{c^{(m-1)/2}}{m^{1/2}}$$

where  $c = F(y_0)$ ,  $F(y) = e^{2\pi y} H(e^{-2\pi y})$  and  $y_0$  is the first positive zero of  $F'$ . Since  $c > 0$  and also  $F''(y_0) > 0$  and the coefficient of  $P$  at  $q^{m-1}$  is positive for the first few values of  $m$  (we checked 10000 values), this proves that  $b_\sigma > 0$  also in this case.  $\square$

To treat the even  $N \in \mathcal{L}$ , we need two easy (probably well known) observations:

**Lemma 2.1.** *Let*

$$f(q) := \prod_{j=1}^{\infty} (1 + q^{2j-1})(1 + q^{2(2j-1)}).$$

*Then the  $q$ -series expansion of  $1/f$  is alternating with non zero coefficients at  $q^a$  for  $a \neq 2$ .*

*Proof.*

$$1/f = \prod_{j=1}^{\infty} (1 + q^{2j-1} + q^{2(2j-1)} + q^{3(2j-1)})^{-1} = \prod_{j=1}^{\infty} \sum_{\ell=0}^{\infty} q^{4\ell(2j-1)} - q^{(4\ell+1)(2j-1)}$$

is alternating as a product of alternating series. The coefficient of  $q^a$  is non-zero, if and only if  $a$  is a sum of numbers of the form  $4\ell(2j - 1)$  and  $(4\ell + 1)(2j - 1)$  with distinct  $\ell$ . One obtains 0 and 1 with  $\ell = 0$  and  $j = 1$  and  $3 = 1(2 \cdot 2 - 1)$  and  $6 = 1 + 5$ . Since one may add arbitrary multiples of 4, this shows that the coefficients are all non-zero except for the case that  $a = 2$ .  $\square$

**Lemma 2.2.** *Let  $g_1 := g_1^{(N)}$  for even  $N$  such that  $N/2$  is odd and denote by  $g'_1$  the derivative of  $g_1$  with respect to  $q$ . Then  $\frac{g'_1}{g_1}$  is an alternating series with non-zero coefficients for all  $q^a$  with  $a \not\equiv 1 \pmod{4}$ . The coefficients for  $q^a$  with  $a \equiv 1 \pmod{4}$  are zero.*

*Proof.* Using the product expansion

$$g_1 = \prod_{d|N} \prod_{j=1}^{\infty} (1 - q^{2jd})(1 + q^{(2j-1)d})^2$$

we calculate

$$\begin{aligned} g'_1/g_1 &= \sum_{d|\frac{N}{2}} \sum_{j=1}^{\infty} \frac{2(2j-1)dq^{d(2j-1)-1}}{1 - q^{d(2j-1)}} - \frac{2dj q^{2dj-1}}{1 - q^{2dj}} - \frac{4dj q^{4dj-1}}{1 - q^{4dj}} \\ &\quad + \frac{2(4j-2)dq^{d(4j-2)-1}}{1 - q^{d(4j-2)}} \\ &= \sum_{d|\frac{N}{2}} \sum_{j=1}^{\infty} \frac{(4j-2)dq^{(2j-1)d-1}}{1 + q^{(2j-1)d}} - \frac{8dj q^{4dj-1}}{1 - q^{4dj}} \\ &\quad + \frac{(4j-2)d(q^{(4j-2)d-1} - 3q^{(8j-4)d-1})}{1 - q^{(8j-4)d}} \\ &= \sum_{d|\frac{N}{2}} \sum_{j=1}^{\infty} \sum_{\ell=1}^{\infty} -8jdq^{4j d \ell - 1} - 3(4j-2)dq^{(8j-4)d \ell - 1} \\ &\quad + (4j-2)dq^{(2j-1)d(4\ell-2)-1} - (-1)^\ell (4j-2)dq^{(2j-1)d \ell - 1} \end{aligned}$$

Hence the coefficient of  $q^a$  is positive if  $a$  is even and negative if  $a \equiv -1 \pmod{4}$ . The only cancellation that occurs is for  $a \equiv 1 \pmod{4}$ . In this case the coefficient of  $q^a$  is zero.  $\square$

**Theorem 2.2.** *Let  $N \in \mathcal{L}$  be even and let  $L$  be a strongly  $N$ -modular lattice in the genus of  $C_N^k$ . Let  $\sigma := \text{smin}(L)$  and let  $\mu := \text{min}(L)$ . Then*

$$\sigma + \mu \leq k \frac{\sigma_1(N/2)}{2} + 1$$

*unless  $k = s(N)$  and  $\mu = 3$  where this bound has to be increased by 1. In these cases  $L$  is the unique lattice  $L = O^{(N)}$  (from [13, Table 1]) of minimum 3 described in [9, Theorem 3].*

*Proof.* As in the proof of Theorem 2.1 let  $g_1 := g_1^{(N)}$  and  $g_2 := g_2^{(N)}$ ,  $m := \mu - 1$  and assume that  $\sigma + \mu \geq k \frac{\sigma_1(N/2)}{2} + 1$ . Again all coefficients  $c_i$  in (2.2) and (2.1) are uniquely determined by the conditions that  $\text{smin}(L) \geq k \frac{\sigma_1(N/2)}{4} - m$  and  $\Theta_L \equiv 1 \pmod{q^{m+1}}$ . The number of vectors of norm

$k \frac{\sigma_1(N/2)}{2} - m$  in  $S = \sqrt{N}S(L)$  is  $c_m(-1)^m 2^{\sigma_0(N)k/2 - ms(N)}$ . As in the proof of Theorem 2.1 the formula of Bürmann-Lagrange yields that

$$c_m = \frac{-k}{m} (\text{coeff. of } q^{m-1} \text{ in } (g'_1/g_1)/f_1)$$

with  $f_1$  as in the proof of Theorem 2.1. We have

$$f_1 = \prod_{d|\frac{N}{2}} f(dq)^{2k-s(N)m} \prod_{j=1}^{\infty} (1 - q^{2dj})^k (1 - q^{4dj})^k$$

where  $f$  is as in Lemma 2.1. If  $2k - s(N)m > 0$  then  $1/f_1$  is alternating by Lemma 2.1 and  $\frac{g'_1}{g_1}$  is alternating (with a non-zero coefficient at  $q^3$ ) by Lemma 2.2 and we can argue as in the proof of Theorem 2.1. Since  $k > 0$  all even coefficients occur in the product

$$\prod_{j=1}^{\infty} (1 - q^{2j})^{-k}$$

hence all coefficients in  $(g'_1/g_1)/f_1$  are non-zero. If  $2k - s(N)m = 0$  similarly the only zero coefficient in  $(g'_1/g_1)/f_1$  is at  $q^1$  yielding the exception stated in the Theorem. Now assume that  $2k - s(N)m < 0$  and write

$$P = (g'_1/g_1)/f_1 = g'_1 \prod_{d|\frac{N}{2}} \frac{f(dq)^{s(N)m-2k-2}}{\prod_{j=1}^{\infty} ((1 - q^{2dj})(1 - q^{4dj}))^{k+1}}.$$

If  $2k - s(N)m < -2$  then  $P$  is a positive power series in which all  $q$ -powers occur and hence  $c_m < 0$ . If the minimum  $\mu$  is odd then this implies that  $b_\sigma < 0$  and hence the nonexistence of an  $s$ -extremal lattice of odd minimum for  $s(N)m - 2 > 2k$ . Assume now that  $2k - s(N)m = -2$ , i.e.  $k = s(N)m/2 - 1$ . Then again  $m$  is odd and since  $g'_1$  has a non-zero constant term  $P$  contains all even powers of  $q$ . In particular the coefficient of  $q^{m-1}$  is positive. The last case is  $2k - s(N)m = -1$  and dealt with as in the proof of Theorem 2.1. □

From the proof of Theorem 2.1 and 2.2 we obtain the following bound on the minimum of an  $s$ -extremal lattice which is sometimes a slight improvement of the bound (1.1).

**Corollary 2.1.** *Let  $L$  be an  $s$ -extremal strongly  $N$ -modular lattice in the genus of  $C_N^k$  with odd minimum  $\mu := \min(L)$ . Then*

$$\mu < \frac{2k + 2}{s(N)} + 1.$$



**3. S-extremal lattices of even minimum.**

In this section we use the methods of [8] to show that there are only finitely many s-extremal lattices of even minimum. The first result generalizes the bound on the dimension of an s-extremal lattice of even minimum that is obtained in [6] for unimodular lattices. In particular such s-extremal lattices are automatically extremal. Now [12, Theorem 5.2] shows that there are only finitely many extremal strongly  $N$ -modular lattices which also implies that there are only finitely many such s-extremal lattices with even minimum. To get a good upper bound on the maximal dimension of an s-extremal strongly  $N$ -modular lattice, we show that the second (resp. third) coefficient in the shadow theta series becomes eventually negative.

**Theorem 3.1.** *Let  $N \in \mathcal{L}$  and let  $L$  be an s-extremal strongly  $N$ -modular lattice in the genus of  $C_N^k$ . Assume that  $\mu := \min(L)$  is even. Then*

$$s(N)(\mu - 2) \leq 2k < \mu s(N).$$

*Proof.* The lower bound follows from (1.1). As in the proof of Theorem 2.1 we obtain the number  $a_\mu$  of minimal vectors of  $L$  as

$$a_\mu = \frac{k}{\mu - 1} (\text{coeff. of } q^{\mu-1} \text{ in } (g'_1/g_1)/f_2)$$

with

$$f_2 = (q^{-1}g_2)^\mu g_1^k.$$

If  $N$  is odd, then

$$f_2 = \prod_{d|N} \prod_{j=1}^{\infty} (1 - q^{2dj})^k (1 + q^{d(2j-1)})^{2k-s(N)\mu}$$

and for even  $N$  we obtain

$$f_2 = \prod_{d|\frac{N}{2}} f(dq)^{2k-s(N)\mu} \prod_{j=1}^{\infty} (1 - q^{2dj})^k (1 + q^{4dj})^k$$

where  $f$  is as in Lemma 2.1. If  $2k - s(N)\mu \geq 0$  then in both cases  $(g'_1/g_1)/f_2$  is an alternating series and since  $\mu - 1$  is odd the coefficient of  $q^{\mu-1}$  in this series is negative. Therefore  $a_\mu$  is negative which is a contradiction.  $\square$

We now proceed as in [8] and express the first coefficients of the shadow theta series of an s-extremal  $N$ -modular lattice.

**Lemma 3.1.** *Let  $N \in \mathcal{L}$ ,  $s_1 := s_1^{(N)}$  and  $s_2 := s_2^{(N)}$ . Then  $s_1^k \sum_{i=0}^m c_i s_2^i$  starts with  $(-1)^m 2^{\sigma_0(N)(k-ms(N)/2)} q^{k\sigma_1(N)/4-2m}$  times*

$$c_m - (2^{s(N)\sigma_0(N)/2} c_{m-1} + (s(N)m - k)c_m)q^2$$

if  $N$  is odd, and with  $(-1)^m 2^{\sigma_0(N)k/2 - ms(N)\sigma_0(N)/4} q^{k\sigma_1(N/2)/2 - m}$  times

$$c_m - (2^{s(N)\sigma_0(N)/4} c_{m-1} + (s(N)m - 2k)c_m)q + \left( 2^{s(N)\sigma_0(N)/2} c_{m-2} + 2^{s(N)\sigma_0(N)/4} (s(N)(m-1) - 2k)c_{m-1} + (s(N)^2 \frac{m(m-1)}{2} - 2km s(N) + 2k(k-1) + 2^{s(N)\sigma_0(N)/4} \frac{m(s(N)+1)}{4}) c_m \right) q^2$$

if  $N$  is even.

*Proof.* If  $N$  is odd then

$$s_1 = 2^{\sigma_0(N)} q^{\sigma_1(N)/4} (1 + q^2) + \dots$$

$$s_2 = 2^{-s(N)\sigma_0(N)/2} (-q^{-2} + s(N)) + \dots$$

and for even  $N$

$$s_1 = 2^{\sigma_0(N)/2} q^{\sigma_1(N/2)/2} (1 + 2q + 0q^2 + \dots)$$

$$s_2 = 2^{-s(N)\sigma_0(N)/4} (-q^{-1} + s(N)) - \frac{s(N)+1}{4} q + \dots$$

Explicit calculations prove the lemma. □

We now want to use [8, Lemma 1] to show that the coefficients  $c_m$  and  $c_{m-1}$  determined in the proof of Theorem 2.1 for the theta series of an  $s$ -extremal lattice satisfy  $(-1)^j c_j > 0$  and  $c_m/c_{m-1}$  is bounded.

If  $L$  is an  $s$ -extremal lattice of even minimum  $\mu = m + 1$  in the genus of  $C_N^k$ , then Theorem 3.1 yields that

$$k = \frac{s(N)}{2}(m - 1) + b \text{ for some } 0 \leq b < s(N).$$

Let

$$\psi := \psi^{(N)} := \prod_{j=1}^{\infty} \prod_{d|N} (1 - q^{2jd}) \text{ and } \varphi := \varphi^{(N)} := \prod_{j=1}^{\infty} \prod_{d|N} (1 + q^{(2j-1)d}).$$

Then

$$c_{m-\ell} = \frac{-k}{m-\ell} \text{ coeff. of } q^{m-\ell-1} \text{ in } g'_1 \psi^{-k-1} \varphi^{s(N)(m-\ell)-2(k+1)}$$

$$= \frac{-k}{m-\ell} \text{ coeff. of } q^{m-\ell-1} \text{ in } G_\ell^{(b)} H^{m-\ell-1}$$

where

$$G_\ell^{(b)} = g'_1 \psi^{-b-1-\ell s(N)/2} \varphi^{-2b-2+(1-\ell)s(N)} = G_\ell^{(0)} (\psi^{-1} \phi^{-2})^b$$

and

$$H = \psi^{-s(N)/2} = 1 + \frac{s(N)}{2} q^2 + \dots$$

In particular the first two coefficients of  $H$  are positive and the remaining coefficients are nonnegative. Since also odd powers of  $q$  arise in  $G_\ell^{(b)}$  the coefficient  $\beta_{m-\ell-1}$  of  $q^{m-\ell-1}$  in  $G_\ell^{(b)} H^{m-\ell-1}$  is by Cauchy's formula

$$\beta_{m-\ell-1} = \frac{1}{2} \int_{-1+iy}^{1+iy} e^{-\pi i(m-\ell-1)z} G_\ell^{(b)}(e^{\pi iz}) H^{m-\ell-1}(e^{\pi iz}) dz$$

for arbitrary  $y > 0$ .

Put  $F(y) := e^{\pi y}H(e^{-\pi y})$  and let  $y_0$  be the first positive zero of  $F'$ . Then we check that  $d_1 := F(y_0) > 0$  and  $d_2 := F''(y_0)/F(y_0) > 0$ . Now  $H$  has two saddle points in  $[-1 + iy_0, 1 + iy_0]$  namely at  $\pm 1 + iy_0$  and  $iy_0$ . By the saddle point method (see [1, (5.7.2)]) we obtain

$$\beta_{m-\ell-1} \sim d_1^{m-\ell-1}(G_\ell^{(b)}(e^{-\pi y_0}) + (-1)^{m-\ell-1}G_\ell^{(b)}(-e^{-\pi y_0})) \times (2\pi(m-\ell-1)d_2)^{-1/2}$$

as  $m$  tends to infinity. In particular

$$c_m \sim d_1 \frac{G_0^{(b)}(e^{-\pi y_0}) + (-1)^{m-1}G_0^{(b)}(-e^{-\pi y_0})}{G_1^{(b)}(e^{-\pi y_0}) + (-1)^m G_1^{(b)}(-e^{-\pi y_0})} c_{m-1}.$$

**Lemma 3.2.** For  $N \in \mathcal{L}$  and  $b \in \{0, \dots, s(N)-1\}$  let  $k := \frac{s(N)}{2}(m-1)+b = js(N) + b$ ,  $G_\ell^{(b)}$ ,  $d_1, d_2, y_0$  be as above where  $m = 2j + 1$  is odd. Then  $c_{2j+1}/c_{2j}$  tends to

$$Q(N, b) := d_1 \frac{G_0^{(b)}(e^{-\pi y_0}) + G_0^{(b)}(-e^{-\pi y_0})}{G_1^{(b)}(e^{-\pi y_0}) - G_1^{(b)}(-e^{-\pi y_0})} \in \mathbb{R}_{<0}$$

if  $j$  goes to infinity.

By Lemma 3.1 the second coefficient  $b_{\sigma+2}$  in the shadow theta series of a putative s-extremal strongly  $N$ -modular lattice of even minimum  $\mu = m + 1$  in the genus of  $C_N^k$  ( $k = \frac{s(N)}{2}(m-1) + b$  as above) is a positive multiple of

$$2^{s(N)\frac{\sigma_0(N)}{2}} c_{m-1} + (s(N)m - k)c_m \sim (2^{s(N)\frac{\sigma_0(N)}{2}} + Q(N, b)\frac{s(N)(m+1) - 2b}{2})c_{m-1}$$

when  $m$  tends to infinity. In particular this coefficient is expected to be negative if

$$\mu = m + 1 > B(N, b) := \frac{2}{s(N)}(b + \frac{2^{s(N)\sigma_0(N)/2}}{-Q(N, b)}).$$

Since all these are asymptotic values, the actual value  $\mu_-(N, b)$  of the first even minimum  $\mu$  where  $b_{\sigma+2}$  becomes negative may be different. In all cases, the second coefficient of the relevant shadow theta series seems to remain negative for even minimum  $\mu \geq \mu_-(N, b)$ .

For odd  $N \in \mathcal{L}$  the values of  $B(N, b)$  and  $\mu_-(N, b)$  are given in the following tables:

$N = 1$	$b = 0$	$b = 1$	$b = 2$	$b = 3$	$b = 4$	$b = 5$	$b = 6$	$b = 7$	$b = 8$
$Q(1,b)$	-380	-113	-43.8	-18.4	-8	-3.53	-1.57	-0.71	-0.33
$B(1,b)$	0.9	3.1	7.96	18.8	43	97.1	217.4	480.4	1036.6
$\mu_-(1,b)$	6	6	12	20	44	96	216	478	1032
$k_-(1,b)$	48	49	122	219	508	1133	2574	5719	12368

$N = 1$	$b = 9$	$b = 10$	$b = 11$	$b = 12$	$b = 13$	$b = 14$	$b = 15$
$Q(1,b)$	-0.16	-0.08	-0.05	-0.04	-0.03	-0.027	-0.026
$B(1,b)$	2131.3	4012.4	6597.4	9240.4	11239.4	12433.6	13049.1

$N = 1$	$b = 16$	$b = 17$	$b = 18$	$b = 19$	$b = 20$	$b = 21$	$b = 22$	$b = 23$
$Q(1,b)$	-0.026	-0.025	-0.025	-0.025	-0.025	-0.025	-0.025	-0.025
$B(1,b)$	13342	13477	13538	13565	13577	13582	13585	13586

$N = 3$	$b = 0$	$b = 1$	$b = 2$	$b = 3$	$b = 4$	$b = 5$
$Q(3,b)$	-15.6	-2	-0.45	-0.2	-0.16	-0.15
$B(3,b)$	1.36	11	47.6	107.13	137.07	144.34
$\mu_-(3,b)$	6	12	44	100	126	130
$k_-(3,b)$	12	31	128	297	376	389

$N = 5$	$b = 0$	$b = 1$	$b = 2$	$b = 3$	$N = 7$	$b = 0$	$b = 1$	$b = 2$
$Q(5,b)$	-5	-0.73	-0.31	-0.25	$Q(7,b)$	-2.88	-0.51	-0.32
$B(5,b)$	1.6	11	27	33.5	$B(7,b)$	1.85	11	17.8
$\mu_-(5,b)$	6	12	22	24	$\mu_-(7,b)$	6	10	12
$k_-(5,b)$	8	21	42	47	$k_-(7,b)$	6	13	17

$N = 11$	$b = 0$	$b = 1$	$N = 15$	$b = 0$	$N = 23$	$b = 0$
$Q(11,b)$	-1.72	-0.45	$Q(15,b)$	-2.03	$Q(23,b)$	-1.08
$B(11,b)$	2.33	9.8	$B(15,b)$	3.93	$B(23,b)$	3.69
$\mu_-(11,b)$	6	6	$\mu_-(15,b)$	6	$\mu_-(23,b)$	6
$k_-(11,b)$	4	5	$k_-(15,b)$	2	$k_-(23,b)$	2

For even  $N \in \mathcal{L}$  the situation is slightly different. Again  $k = b + \frac{s(N)}{2}(m - 1)$  for some  $0 \leq b < s(N)$ . From Lemma 3.1 the second coefficient  $b_{\sigma+1}$  in the s-extremal shadow theta series is a nonzero multiple of  $2^{s(N)\sigma_0(N)/4}c_{m-1} + (s(N) - 2b)c_m$  and in particular its sign is asymptotically independent of  $m$ . Therefore we need to consider the third coefficient  $b_{\sigma+2}$ , which is by Lemma 3.1 for odd  $m$  a positive multiple of

$$-a^2c_{m-2} + a(2k - s(m - 1))c_{m-1} + (2kms - s^2\frac{m(m - 1)}{2} - 2k(k - 1) - am\frac{s + 1}{4})c_m$$

where for short  $a := 2^{s\sigma_0(N)/4}$  and  $s := s(N)$ . For  $k = \frac{s(N)}{2}(m - 1) + b$  this becomes

$$-a^2c_{m-2} + 2abc_{m-1} + (m(2b(b - 1 - s) - a\frac{s + 1}{4} + s\frac{s + 2}{2}) + \frac{2s + s^2}{2})c_m.$$

Since the quotients  $c_{m-1}/c_{m-2}$  and  $c_m/c_{m-2}$  are bounded, there is an explicit asymptotic bound  $B(N, b)$  for  $\mu = m + 1$  after which this coefficient should become negative. Again, the true values  $\mu_-(N, b)$  differ and the results are displayed in the following table.

$N = 2$	$b = 0$	$b = 1$	$b = 2$	$b = 3$	$b = 4$	$b = 5$	$b = 6$	$b = 7$
$B(2, b)$	-4.9	10	52.5	170.1	382.6	575.9	677.7	725.7
$\mu_-(2, b)$	16	22	54	166	374	564	666	716
$k_-(2, b)$	56	81	210	659	1492	2253	2662	2863

$N = 6$	$b = 0$	$b = 1$	$N = 14$	$b = 0$
$B(6, b)$	1	33.58	$B(14, b)$	2
$\mu_-(6, b)$	10	28	$\mu_-(14, b)$	10
$k_-(6, b)$	8	27	$k_-(14, b)$	4

**3.1. Explicit classifications.** In this section we classify the s-extremal strongly  $N$ -modular lattices  $L_N(\mu, k)$  rational equivalent to  $C_N^k$  for certain  $N$  and even minimum  $\mu$ . For  $N \in \{11, 14, 15, 23\}$  a complete classification is obtained. For convenience we denote the uniquely determined modular form that should be the theta series of  $L_N(\mu, k)$  by  $\theta_N(\mu, k)$  and its shadow by  $\sigma_N(\mu, k)$ .

Important examples are the unique extremal even strongly  $N$ -modular lattices  $E^{(N)}$  of minimum 4 and with  $k = s(N)$  from [13, Table 1]. For odd

$N$ , these lattices are  $s$ -extremal since  $2\mu + \sigma = 8 = s(N)\sigma_1(N)/4 + 2$  and hence  $E^{(N)} = L_N(4, s(N))$ .

Theorem 3.1 suggests to write  $k = \frac{s(N)(\mu-2)}{2} + b$  for some  $0 \leq b \leq s(N) - 1$  and we will organize the classification according to the possible  $b$ . Note that for every  $b$  the maximal minimum  $\mu$  is bounded by  $\mu_-(N, b)$  above.

If  $N = 14, 15$  or  $23$ , then  $s(N) = 1$  and hence Theorem 3.1 implies that  $k = \frac{\mu-2}{2}$ . For  $N = 15, 23$  the only possibility is  $k = 1$  and  $\mu = 4$  and  $L_N(4, 1) = E^{(N)}$ . The second coefficient of  $\sigma_{14}(4, 1)$  and  $\sigma_{14}(8, 3)$  is negative, hence the only  $s$ -extremal strongly 14-modular lattice with even minimum is  $L_{14}(6, 2)$  of minimum 6. The series  $\sigma_{14}(6, 2)$  starts with  $8q^3 + 8q^5 + 16q^6 + \dots$ . Therefore the even neighbour of  $L_{14}(6, 2)$  in the sense of [13, Theorem 8] is the unique even extremal strongly 14-modular lattice of dimension 8 (see [14, p. 160]). Constructing all odd 2-neighbours of this lattice, it turns out that there is a unique such lattice  $L_{14}(6, 2)$ . Note that  $L_{14}(6, 2)$  is an odd extremal strongly modular lattice in a jump dimension and hence the first counterexample to conjecture (3) in the Remark after [13, Theorem 2].

For  $N = 11$  and  $b = 0$  the only possibility is  $\mu = 4$  and  $k = 2 = s(N)$  whence  $L_{11}(4, 2) = E^{(11)}$ . If  $b = 1$  then either  $\mu = 2$  and  $L_{11}(2, 1) = \begin{pmatrix} 21 \\ 16 \end{pmatrix}$  or  $\mu = 4$ . An explicit enumeration of the genus of  $C_{11}^3$  with the Kneser neighbouring method [7] shows that there is a unique lattice  $L_{11}(4, 3)$ .

Now let  $N = 7$ . For  $b = 0$  again the only possibility is  $k = s(N)$  and  $L_7(4, 3) = E^{(7)}$ . For  $b = 1$  and  $b = 2$  one obtains unique lattices  $L_7(2, 1)$  (with Grammatrix  $\begin{pmatrix} 21 \\ 14 \end{pmatrix}$ )  $L_7(4, 4)$  and  $L_7(4, 5)$ . There is no contradiction for the existence of lattices  $L_7(6, 7)$ ,  $L_7(6, 8)$ ,  $L_7(8, 10)$ ,  $L_7(8, 11)$ , though a complete classification of the relevant genera seems to be difficult. For the lattice  $L_7(6, 8)$  we tried the following: Both even neighbours of such a lattice are extremal even 7-modular lattices. Starting from the extremal 7-modular lattice constructed from the structure over  $\mathbb{Z}[\sqrt{2}]$  of the Barnes-Wall lattice as described in [14], we calculated the part of the Kneser 2-neighbouring graph consisting only of even lattices of minimum 6 and therewith found 126 such even lattices 120 of which are 7-modular. None of the edges between such lattices gave rise to an  $s$ -extremal lattice. The lattice  $L_7(10, 14)$  does not exist because  $\theta_7(10, 14)$  has a negative coefficient at  $q^{13}$ .

Now let  $N := 6$ . For  $k = \mu - 2$  the second coefficient in the shadow theta series is negative, hence there are no lattices  $L_6(\mu, \mu - 2)$  of even minimum  $\mu$ . For  $k = \mu - 1 < 27$  the modular forms  $\theta_6(\mu, \mu - 1)$  and  $\sigma_6(\mu, \mu - 1)$  seem to have nonnegative integral coefficients. The lattice  $L_6(2, 1)$  is unique and already given in [9]. For  $\mu = 4$  the even neighbour of any lattice  $L_6(4, 3)$  (as defined in [13, Theorem 8]) is one of the five even extremal strongly

6-modular lattices given in [14]. Constructing all odd 2-neighbours of these lattices we find a unique lattice  $L_6(4, 3)$  as displayed below.

For  $N = 5$  the lattice  $L_5(4, 4) = E^{(5)}$  is the only s-extremal lattice of even minimum  $\mu$  for  $k = 2(\mu - 2)$ , because  $\mu_-(5, 0) = 6$ . For  $k = 2(\mu - 2) + 1$  the shadow series  $\sigma_5(2, 1)$ ,  $\sigma_5(4, 5)$  and  $\sigma_5(6, 9)$  have non integral respectively odd coefficients so the only lattices that might exist here are  $L_5(8, 13)$  and  $L_5(10, 17)$ . The s-extremal lattice  $L_5(2, 2) = \begin{pmatrix} 21 \\ 13 \end{pmatrix} \perp \begin{pmatrix} 21 \\ 13 \end{pmatrix}$  is unique. The theta series  $\theta_5(2, 3)$  starts with  $1 + 20q^3 + \dots$ , hence  $L_5(2, 3) = S^{(5)}$  has minimum 3. The genus of  $C_5^6$  contains 1161 isometry classes, 3 of which represent s-extremal lattices of minimum 4 and whose Grammatrices  $L_5(4, 6)_{a,b,c}$  are displayed below. For  $k = 7$  a complete classification of the genus of  $C_5^k$  seems to be out of range. A search for lattices in this genus that have minimum 4 constructs the example  $L_5(4, 7)_a$  displayed below of which we do not know whether it is unique. For the remaining even minima  $\mu < \mu_-(5, b)$  we do not find a contradiction against the existence of such s-extremal lattices.

For  $N = 3$  and  $b = 0$  again  $E^{(3)} = L_3(4, 6)$  is the unique s-extremal lattice. For  $k = 3(\mu - 2) + 1$ , the theta series  $\theta_3(8, 19)$  and  $\theta_3(10, 25)$  as well as their shadows seem to have integral non-negative coefficients, whereas  $\sigma_3(4, 7)$  and  $\sigma_3(6, 13)$  have non-integral coefficients. The remaining theta-series and their shadows again seem to have integral non-negative coefficients. The lattices of minimum 2 are already classified in [9]. In all cases  $L_3(2, b)$  ( $2 \leq b \leq 5$ ) is unique but  $L_3(2, 5) = S^{(3)}$  has minimum 3.

Now let  $N := 2$ . For  $b = 0$  and  $b = 1$  the second coefficient in  $\sigma_2(\mu, 4(\mu - 2) + b)$  is always negative, proving the non-existence of such s-extremal lattices. The lattices of minimum 2 are already classified in [9]. There is a unique lattice  $L_2(2, 2) \cong D_4$ , no lattice  $L_2(2, 3)$  since the first coefficient of  $\sigma_2(2, 3)$  is 3, unique lattices  $L_2(2, b)$  for  $b = 4, 5$  and 7 and two such lattices  $L_2(2, 6)$ .

For  $N = 1$  we also refer to the paper [6] for the known classifications. Again for  $b = 0$ , the Leech lattice  $L_1(4, 24) = E^{(1)}$  is the unique s-extremal lattice. For  $\mu = 2$ , these lattices are already classified in [5]. The possibilities for  $b = k$  are  $8, 12, 14 \leq b \leq 22$ . For  $\mu = 4$ , the possibilities are either  $b = 0$  and  $k = 24$  or  $8 \leq b \leq 23$  whence  $32 \leq k \leq 47$  since the other shadow series have non-integral coefficients. The lattices  $L_1(4, 32)$  are classified in [3]. For  $\mu = 6$  no such lattices are known. The first possible dimension is 56, since the other shadow series have non-integral coefficients.

Since for odd  $N$  the value  $\mu_-(N, 0) = 6$  and the s-extremal lattices of minimum 4 with  $k = s(N)$  are even and hence isometric to  $E^{(N)}$  we obtain the following theorem.

**Theorem 3.2.** *Let  $L$  be an extremal and  $s$ -extremal lattice rational equivalent to  $C_N^k$  for some  $N \in \mathcal{L}$  such that  $k$  is a multiple of  $s(N)$ . Then  $\mu := \min(L)$  is even and  $k = s(N)(\mu - 2)/2$  and either  $\mu = 4$ ,  $N$  is odd and  $L = E^{(N)}$  or  $\mu = 6$ ,  $N = 14$  and  $L = L_{14}(6, 2)$ .*

For  $N \in \{11, 14, 15, 23\}$  the complete classification of  $s$ -extremal strongly  $N$ -modular lattices in the genus of  $C_N^k$  is as follows:

N	23	15	14	11	11	11
min	4	4	6	2	4	4
k	1	1	2	1	2	3
lattice	$E^{(23)}$	$E^{(15)}$	$E^{(14)}$	$L_{11}(2, 1)$	$E^{(11)}$	$L_{11}(4, 3)$

For the remaining  $N \in \mathcal{L}$ , the results are summarized in the following tables. The last line, labelled with # displays the number of lattices, where we display – if there is no such lattice, ? if we do not know such a lattice, + if there is a lattice, but the lattices are not classified. We always write  $k = \ell s(N) + b$  with  $0 \leq b \leq s(N) - 1$  such that  $\mu = \min(L) = 2\ell + 2$  by Theorem 3.1 and  $\dim(L) = k\sigma_0(N)$ .

$$N = 7, s(N) = 3, k = \ell s(N) + b$$

b	0	1					2					
$\ell$	1	$\geq 2$	0	1	2	3	$\geq 4$	0	1	2	3	$\geq 4$
min	4	$\geq 6$	2	4	6	8	$\geq 10$	3	4	6	8	$\geq 10$
#	1	-	1	1	?	?	-	1	1	?	?	-

$$N = 6, s(N) = 2, k = \ell s(N) + b$$

b	0	1						
$\ell$	$\geq 1$	0	1	$2 \leq \ell \leq 12$			$\geq 13$	
min	$\geq 4$	2	4	$6 \leq \mu \leq 26$			$\geq 28$	
#	-	1	1	?			-	

$$N = 5, s(N) = 4, k = \ell s(N) + b$$

b	0	1							
$\ell$	1	$\geq 2$	0	1	2	3	4	$\geq 5$	
min	4	$\geq 6$	2	4	6	8	10	$\geq 12$	
#	1	-	-	-	-	?	?	-	

b	2					3				
$\ell$	0	1	$2 \leq \ell \leq 9$		$\geq 10$	0	1	$2 \leq \ell \leq 10$		$\geq 11$
min	2	4	$6 \leq \mu \leq 20$		$\geq 22$	3	4	$6 \leq \mu \leq 22$		$\geq 24$
#	1	3	?		-	1	+	?		-



$$N = 3, s(N) = 6, k = \ell s(N) + b$$

b	0		1					2				3		
$\ell$	$\geq 1$	$\geq 2$	1	2	3	4	$\geq 5$	0	$1 \leq \ell \leq 20$	$\geq 21$	0	$1 \leq \ell \leq 48$		
min	4	$\geq 6$	4	6	8	10	$\geq 12$	2	$4 \leq \ell \leq 42$	$\geq 44$	2	$4 \leq \mu \leq 98$		
#	1	-	-	-	?	?	-	1	?	-	1	?		

b	3		4					5					
$\ell$	$\geq 49$	0	$1 \leq \ell \leq 61$	$\geq 62$	0	$1 \leq \ell \leq 63$	$\geq 64$						
min	$\geq 100$	2	$4 \leq \mu \leq 124$	$\geq 126$	3	$4 \leq \mu \leq 128$	$\geq 130$						
#	-	1	?	-	1	?	-						

$$N = 2, s(N) = 8, k = \ell s(N) + b$$

b	0		1		2				3				
$\ell$	$\geq 1$	$\geq 1$	0	$1 \leq \ell \leq 25$	$\geq 26$	0	$1 \leq \ell \leq 81$	$\geq 82$					
min	$\geq 4$	$\geq 4$	2	$4 \leq \mu \leq 52$	$\geq 54$	2	$4 \leq \mu \leq 164$	$\geq 166$					
#	-	-	1	?	-	-	?	-					

b	4							5					
$\ell$	0	$1 \leq \ell \leq 185$	$\geq 186$	0	$1 \leq \ell \leq 280$	$\geq 281$							
min	2	$4 \leq \mu \leq 372$	$\geq 374$	2	$4 \leq \mu \leq 562$	$\geq 564$							
#	1	?	-	1	?	-							

b	6							7					
$\ell$	0	$1 \leq \ell \leq 331$	$\geq 332$	0	$1 \leq \ell \leq 356$	$\geq 357$							
min	2	$4 \leq \mu \leq 664$	$\geq 666$	2	$4 \leq \mu \leq 714$	$\geq 716$							
#	2	?	-	1	?	-							

Grammatrices of the new s-extremal lattices:

$$L_{14}(6, 2) = \begin{pmatrix} 6 & 3 & 0 & 2 & -3 & 3 & -1 & -2 \\ 3 & 6 & 3 & 2 & -3 & 3 & -3 & -2 \\ 0 & 3 & 6 & 0 & -3 & 2 & -2 & -3 \\ 2 & 2 & 0 & 6 & -2 & -1 & 1 & -3 \\ -3 & -3 & -3 & -2 & 6 & -3 & 3 & 3 \\ 3 & 3 & 2 & -1 & -3 & 7 & -4 & -2 \\ -1 & -3 & -2 & 1 & 3 & -4 & 7 & -1 \\ -2 & -2 & -3 & -3 & 3 & -2 & -1 & 7 \end{pmatrix}, L_{11}(4, 3) = \begin{pmatrix} 4 & 0 & 0 & 2 & -2 & -1 \\ 0 & 4 & 0 & -1 & 2 & 2 \\ 0 & 0 & 4 & -2 & -1 & -2 \\ 2 & -1 & -2 & 5 & -1 & 0 \\ -2 & 2 & -1 & -1 & 5 & 2 \\ -1 & 2 & -2 & 0 & 2 & 5 \end{pmatrix}$$

$$L_7(4, 4) = \begin{pmatrix} 4 & 0 & 0 & 2 & 2 & 2 & -2 & -1 \\ 0 & 4 & 0 & 2 & 2 & -1 & -2 & 2 \\ 0 & 0 & 4 & -1 & 2 & 2 & 1 & 2 \\ 2 & 2 & -1 & 5 & 2 & 1 & -3 & 1 \\ 2 & 2 & 2 & 2 & 5 & 2 & -1 & 2 \\ 2 & -1 & 2 & 1 & 2 & 5 & 1 & 1 \\ -2 & -2 & 1 & -3 & -1 & 1 & 5 & 1 \\ -1 & 2 & 2 & 1 & 2 & 1 & 1 & 5 \end{pmatrix}, L_7(4, 5) = \begin{pmatrix} 4 & 0 & 0 & -2 & 1 & 1 & 1 & 2 & 1 & -1 \\ 0 & 4 & 0 & -2 & 1 & -2 & -1 & 2 & 2 & 2 \\ 0 & 0 & 4 & -2 & -2 & 0 & 2 & 2 & 2 & -1 \\ -2 & -2 & -2 & 5 & 0 & 0 & -1 & -3 & -2 & 1 \\ 1 & 1 & -2 & 0 & 5 & -2 & -1 & 0 & -2 & -1 \\ 1 & -2 & 0 & 0 & -2 & 5 & -1 & 0 & 1 & 0 \\ 1 & -1 & 2 & -1 & -1 & -1 & 5 & 1 & -1 & -3 \\ 2 & 2 & 2 & -3 & 0 & 0 & 1 & 5 & 3 & -1 \\ 1 & 2 & 2 & -2 & -2 & 1 & -1 & 3 & 6 & 2 \\ -1 & 2 & -1 & 1 & -1 & 0 & -3 & -1 & 2 & 6 \end{pmatrix}$$

$$L_6(4,3) = \begin{pmatrix} 4 & 1 & -2 & 1 & 0 & 1 & 1 & 1 & -2 & 2 & 0 & -1 \\ 1 & 4 & -2 & 0 & 1 & -1 & 1 & 0 & 2 & 0 & 1 \\ -2 & -2 & 4 & -1 & -1 & 0 & -1 & 0 & 1 & -2 & -1 & -1 \\ 1 & 0 & -1 & 4 & -1 & 2 & -1 & 1 & 1 & -1 & 1 & 1 \\ 0 & 1 & -1 & -1 & 4 & -2 & 1 & 1 & 1 & 1 & -1 & -1 \\ 1 & -1 & 0 & 2 & -2 & 4 & -1 & 0 & -1 & 0 & 1 & 1 \\ 1 & 1 & -1 & -1 & -1 & -1 & 4 & 2 & -2 & 0 & -1 & 1 \\ 1 & 1 & 0 & -1 & 1 & 0 & 2 & 4 & -2 & 0 & -2 & 0 \\ -2 & 0 & 1 & 1 & 1 & -1 & -2 & -2 & 5 & -1 & 1 & 0 \\ 2 & 2 & -2 & -1 & 1 & 0 & 0 & 0 & -1 & 5 & 0 & -1 \\ 0 & 0 & -1 & 1 & -1 & -1 & -1 & -2 & 1 & 0 & 4 & 2 \\ -1 & -1 & -1 & -1 & -1 & 1 & 0 & 0 & -1 & 2 & 5 & 0 \end{pmatrix}, L_5(4,6)_a = \begin{pmatrix} 4 & -1 & -1 & 1 & 1 & 0 & -1 & -1 & 0 & 0 & -2 & -1 \\ -1 & 4 & 0 & 0 & -2 & -2 & 0 & 2 & -2 & 0 & 1 & -1 \\ -1 & 0 & 4 & 0 & 0 & 0 & 2 & 1 & -2 & -2 & 1 & 1 \\ 1 & 0 & 0 & 4 & -1 & 1 & 1 & 2 & -1 & -2 & 2 & 2 \\ 1 & -2 & 0 & -1 & 4 & 1 & 0 & -2 & 2 & 2 & 1 & -1 \\ 0 & -2 & 0 & 1 & 1 & 4 & 0 & 0 & 0 & -1 & -1 & 2 \\ -1 & 0 & 2 & 1 & 0 & 0 & 4 & 1 & 0 & -2 & -1 & 1 \\ -1 & 2 & 1 & 2 & -2 & 0 & 1 & 5 & -3 & -1 & 1 & 0 \\ 0 & -2 & -2 & -1 & 2 & 0 & 0 & -3 & 5 & 2 & 0 & 0 \\ 0 & 0 & -2 & -2 & 2 & -1 & -2 & -1 & 2 & 5 & 2 & -3 \\ -2 & 1 & 1 & -2 & 1 & -1 & -1 & 0 & 2 & 5 & 0 \\ -1 & -1 & 1 & 2 & -1 & 2 & 1 & 0 & 0 & -3 & 0 & 5 \end{pmatrix}$$

$$L_5(4,6)_b = \begin{pmatrix} 4 & 1 & 1 & 0 & 2 & 0 & -1 & 0 & 1 & -1 & 1 & 2 \\ 1 & 4 & -1 & 2 & 1 & 1 & -1 & 1 & 0 & 1 & 0 & 2 \\ 1 & -1 & 4 & 0 & 1 & -2 & 2 & 2 & 2 & 1 & 1 & -1 \\ 0 & 2 & 0 & 4 & 0 & -1 & 1 & 2 & 2 & 0 & 2 & 2 \\ 2 & 1 & 1 & 0 & 4 & 0 & -1 & 2 & 1 & 1 & 1 & 1 \\ 0 & 1 & -2 & -1 & 0 & 4 & 1 & -1 & -2 & 1 & -1 & 0 \\ -1 & -1 & -2 & -1 & -1 & 1 & 4 & -2 & -1 & -2 & -1 & 0 \\ 0 & 1 & 2 & 2 & 2 & -1 & -2 & 5 & 2 & 1 & 3 & 0 \\ 1 & 0 & 2 & 2 & 1 & -2 & -1 & 2 & 5 & -1 & 2 & 2 \\ -1 & 1 & 1 & 0 & 1 & 1 & -2 & 1 & 1 & 5 & 2 & -2 \\ -1 & 0 & 1 & 2 & 1 & -1 & 1 & 3 & 2 & 2 & 5 & -1 \\ 2 & 2 & -1 & 2 & 1 & 0 & 0 & 0 & 2 & -2 & 1 & 5 \end{pmatrix}, L_5(4,6)_c = \begin{pmatrix} 4 & -2 & 0 & 0 & 1 & 2 & 0 & -2 & 2 & 2 & 2 & 2 \\ -2 & 4 & 0 & 0 & 0 & -1 & & 0 & 0 & -2 & 0 & 0 \\ 0 & 0 & 4 & 2 & 0 & 0 & 1 & 2 & 2 & 1 & 1 & 0 \\ 0 & 0 & 2 & 4 & 0 & 0 & 2 & 2 & 2 & 1 & 1 & -1 \\ 1 & 0 & 0 & 0 & 4 & -1 & & 0 & 1 & 2 & 0 & 1 \\ 2 & -1 & 0 & -1 & 4 & 0 & -2 & 0 & 0 & 2 & 0 & 2 \\ 0 & 0 & 1 & 2 & 0 & 0 & 4 & 0 & 0 & 1 & 0 & 0 \\ 2 & 0 & 2 & 2 & 1 & 2 & 0 & 5 & 0 & 1 & 0 & 2 \\ -2 & 0 & 2 & 2 & -1 & -2 & 0 & 0 & 5 & -1 & 1 & -3 \\ 2 & 0 & 1 & 1 & 2 & 0 & 0 & -1 & 5 & 0 & 2 & 0 \\ 2 & -2 & 1 & 0 & 0 & 1 & 0 & 1 & 0 & 5 & -1 & 0 \\ 2 & 0 & 0 & -1 & 1 & 2 & 0 & -3 & 2 & -1 & 5 & 0 \end{pmatrix}$$

$$L_5(4,7)_a = \begin{pmatrix} 4 & 0 & 0 & 0 & 0 & 0 & 0 & -2 & 0 & -2 & 0 & -2 & 0 & -1 \\ 0 & 4 & 0 & -1 & -2 & 0 & -2 & 2 & 0 & 0 & -2 & 0 & 2 & 0 \\ 0 & 0 & 4 & 0 & -2 & 0 & -2 & 0 & 1 & 1 & 0 & 0 & -1 & -2 \\ 0 & -1 & 0 & 5 & 2 & 0 & -1 & 1 & 2 & -1 & 0 & 2 & 0 & -1 \\ 0 & -2 & -2 & 2 & 5 & -2 & 2 & 0 & 0 & -2 & 0 & 2 & -1 & 2 \\ 0 & 0 & 0 & 0 & -2 & 5 & -1 & 1 & -1 & 2 & 2 & 0 & -1 & -2 \\ 0 & -2 & -2 & -1 & 2 & -1 & 5 & -2 & -1 & -2 & 0 & -1 & -1 & 2 \\ -2 & 2 & 0 & 1 & 0 & 1 & -2 & 5 & 1 & 1 & -2 & 2 & 1 & 0 \\ 0 & 0 & 1 & 2 & 0 & -1 & 1 & 5 & -2 & -2 & 1 & -1 & -1 & -1 \\ -2 & 0 & 1 & -1 & -2 & 2 & -2 & 1 & -2 & 5 & 2 & 0 & 1 & -1 \\ 0 & -2 & 0 & 0 & 0 & 2 & 0 & -2 & -2 & 2 & 5 & 0 & -2 & -2 \\ -2 & 0 & 0 & 2 & 2 & 0 & -1 & 2 & 1 & 0 & 0 & 5 & -2 & 0 \\ 0 & 2 & -1 & 0 & -1 & -1 & -1 & 1 & -1 & 1 & -2 & -2 & 5 & 1 \\ -1 & 0 & -2 & -1 & 2 & -2 & 2 & 0 & -1 & -1 & -2 & 0 & 1 & 5 \end{pmatrix}$$

## References

- [1] N.G. DE BRUIJN, *Asymptotic methods in analysis*. 2nd edition, North Holland (1961).
- [2] J. CANNON ET AL., *The Magma Computational Algebra System for Algebra, Number Theory and Geometry*. Published electronically at <http://magma.maths.usyd.edu.au/magma/>.
- [3] J. H. CONWAY, N. J. A. SLOANE, *A note on optimal unimodular lattices*. *J. Number Theory* **72** (1998), no. 2, 357–362.
- [4] J. H. CONWAY, N. J. A. SLOANE, *Sphere packings, lattices and groups*. Springer, 3. edition, 1998.

- [5] N. D. ELKIES, *Lattices and codes with long shadows*. Math. Res. Lett. **2** (1995), no. 5, 643–651.
- [6] P. GABORIT, *A bound for certain s-extremal lattices and codes*. Archiv der Mathematik **89** (2007), 143–151.
- [7] M. KNESER, *Klassenzahlen definiter quadratischer Formen*. Archiv der Math. **8** (1957), 241–250.
- [8] C. L. MALLOWS, A. M. ODLYSKO, N. J. A. SLOANE, *Upper bounds for modular forms, lattices and codes*. J. Alg. **36** (1975), 68–76.
- [9] G. NEBE, *Strongly modular lattices with long shadow*. J. T. Nombres Bordeaux **16** (2004), 187–196.
- [10] G. NEBE, B. VENKOV, *Unimodular lattices with long shadow*. J. Number Theory **99** (2003), 307–317.
- [11] H.-G. QUEBBEMANN, *Atkin-Lehner eigenforms and strongly modular lattices*. L'Ens. Math. **43** (1997), 55–65.
- [12] E.M. RAINS, *New asymptotic bounds for self-dual codes and lattices*. IEEE Trans. Inform. Theory **49** (2003), no. 5, 1261–1274.
- [13] E.M. RAINS, N.J.A. SLOANE, *The shadow theory of modular and unimodular lattices*. J. Number Th. **73** (1998), 359–389.
- [14] R. SCHARLAU, R. SCHULZE-PILLOT, *Extremal lattices*. In Algorithmic algebra and number theory, Herausgegeben von B. H. Matzat, G. M. Greuel, G. Hiss. Springer, 1999, 139–170.
- [15] K. SCHINDELAR, *Stark modulare Gitter mit langem Schatten*. Diplomarbeit, Lehrstuhl D für Mathematik, RWTH Aachen (2006).
- [16] E.T. WHITTAKER, G.N. WATSON, *A course of modern analysis (4th edition)* Cambridge University Press, 1963.

Gabriele NEBE  
Lehrstuhl D für Mathematik  
RWTH Aachen  
52056 Aachen, Germany  
E-mail : [nebe@math.rwth-aachen.de](mailto:nebe@math.rwth-aachen.de)  
URL: <http://www.math.rwth-aachen.de/~Gabriele.Nebe/>

Kristina SCHINDELAR  
Lehrstuhl D für Mathematik  
RWTH Aachen  
52056 Aachen, Germany  
E-mail : [schindelar@math.rwth-aachen.de](mailto:schindelar@math.rwth-aachen.de)