

## On the generalized principal ideal theorem of complex multiplication

par REINHARD SCHERTZ

*Dedicated to Michael Pohst on his 60th birthday*

RÉSUMÉ. Dans le  $p^n$ -ième corps cyclotomique  $\mathbb{Q}_{p^n}$ ,  $p$  un nombre premier,  $n \in \mathbb{N}$ , le premier  $p$  est totalement ramifié, l'idéal au dessus de  $p$  dans  $\mathbb{Q}_{p^n}$  étant engendré par  $\omega_n = \zeta_{p^n} - 1$  avec une racine primitive  $p^n$ -ième de l'unité  $\zeta_{p^n} = e^{\frac{2\pi i}{p^n}}$ . De plus ces nombres constituent un ensemble qui vérifie la relation de norme  $\mathbf{N}_{\mathbb{Q}_{p^{n+1}}/\mathbb{Q}_{p^n}}(\omega_{n+1}) = \omega_n$ . Le but de cet article est d'établir un résultat analogue pour les corps de classes de rayon  $K_{\mathfrak{p}^n}$  de conducteur  $\mathfrak{p}^n$  d'un corps quadratique imaginaire  $K$ , où  $\mathfrak{p}^n$  est une puissance d'un idéal premier dans  $K$ . Un tel résultat est obtenu en remplaçant la fonction exponentielle par une fonction elliptique convenable.

ABSTRACT. In the  $p^n$ -th cyclotomic field  $\mathbb{Q}_{p^n}$ ,  $p$  a prime number,  $n \in \mathbb{N}$ , the prime  $p$  is totally ramified and the only ideal above  $p$  is generated by  $\omega_n = \zeta_{p^n} - 1$ , with the primitive  $p^n$ -th root of unity  $\zeta_{p^n} = e^{\frac{2\pi i}{p^n}}$ . Moreover these numbers represent a norm coherent set, i.e.  $\mathbf{N}_{\mathbb{Q}_{p^{n+1}}/\mathbb{Q}_{p^n}}(\omega_{n+1}) = \omega_n$ . It is the aim of this article to establish a similar result for the ray class field  $K_{\mathfrak{p}^n}$  of conductor  $\mathfrak{p}^n$  over an imaginary quadratic number field  $K$  where  $\mathfrak{p}^n$  is the power of a prime ideal in  $K$ . Therefore the exponential function has to be replaced by a suitable elliptic function.

### 1. Introduction and results

Let  $K$  be an imaginary quadratic number field,  $\mathfrak{f}$  an integral ideal in  $K$  and  $K_{\mathfrak{f}}$  the ray class field modulo  $\mathfrak{f}$  over  $K$ . In particular  $K_{(1)}$  is the Hilbert class field of  $K$ . The generalized Principle Ideal Theorem [Sch2], [Sch3]<sup>1</sup> says that for any power of a prime ideal  $\mathfrak{p}^n$  there is an element  $\pi_n \in K_{\mathfrak{p}^n}$

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<sup>1</sup>In [Sch3] the following has to be corrected:

1) The prime ideal  $\mathfrak{q}$  in the definition of  $H_{\mathfrak{q}}(z)$  must have the additional property  $\gcd(\mathfrak{q}, \bar{\mathfrak{q}}) = 1$ ,  
2)  $H_{\mathfrak{q}}(1)$  has to be replaced by  $H_{\mathfrak{q}}(\omega)$  with  $\omega \equiv 1 \pmod{\mathfrak{q}}$ ,  $\omega \equiv 0 \pmod{\bar{\mathfrak{q}}}$ .

associated to  $\mathfrak{p}^{\left[ \frac{1}{K_{\mathfrak{p}^n:K(1)}} \right]}$ :

$$\pi_n \sim \mathfrak{p}^{\left[ \frac{1}{K_{\mathfrak{p}^n:K(1)}} \right]}.$$

The element  $\pi_n$  can be viewed as the elliptic analogue of the cyclotomic unit

$$\omega_n = e^{\frac{2\pi i}{p^n}} - 1$$

for the power  $p^n$  of a prime  $p$ . As an element of the  $p^n$ -th cyclotomic field  $\mathbb{Q}_{p^n}$  the element  $\omega_n$  has the factorisation

$$\omega_n \sim (p)^{\frac{1}{[\mathbb{Q}_{p^n}:\mathbb{Q}]}}.$$

Moreover  $\omega_n$  has the following nice properties that can easily be verified:

- $\omega_n = e_n(1)$  with the  $p^n$  periodic function  $e_n(z) = 1 - e^{\frac{2\pi i}{p^n}z}$ .
- Let  $\mathbb{C}_{p^n\mathbb{Z}}$  denote the field of  $p^n$  periodic meromorphic functions on  $\mathbb{C}$ , then we have the norm relation for  $n \geq 0$

$$e_n(z) = N_{\mathbb{C}_{p^{n+1}\mathbb{Z}}/\mathbb{C}_{p^n\mathbb{Z}}}(e_{n+1}(z)) = \prod_{\xi \in p^n\mathbb{Z} \bmod p^{n+1}\mathbb{Z}} e_{n+1}(z + \xi).$$

- For  $z = 1$  the last relation becomes a norm relation between number fields, if  $n \geq 1$ :

$$\omega_n = N_{\mathbb{Q}_{p^{n+1}}/\mathbb{Q}_{p^n}}(\omega_{n+1}) = \prod_{\xi \in p^n\mathbb{Z} \bmod p^{n+1}\mathbb{Z}} e_{n+1}(1 + \xi)$$

- and

$$\left. \frac{e_0(z)}{e_1(z-1)} \right|_{z=1} = p.$$

It is the aim of this article to give a construction of  $\pi_n$  having the same nice properties. For a complex lattice  $\Gamma$  we therefore consider the Klein normalization of the Weierstrass  $\sigma$ -function

$$\varphi(z|\Gamma) = e^{-\frac{zz^*}{2}} \sigma(z|\Gamma) \sqrt[12]{\Delta(\Gamma)},$$

where  $\Delta(\Gamma)$  is the discriminant of the theory of elliptic functions. Herein  $z^*$  is defined for a complex number  $z$  by

$$z^* = z_1\omega_1^* + z_2\omega_2^*,$$

with the real coordinates  $z_1, z_2$  from the representation  $z = z_1\omega_1 + z_2\omega_2$  by a basis  $\omega_1, \omega_2$  of  $\Gamma$  and the quasiperiods  $\omega_i^* = 2\zeta(\frac{\omega_i}{2}|\Gamma)$  of the Weierstrass  $\zeta$ -function. The first factor  $e^{-\frac{zz^*}{2}} \sigma(z|\Gamma)$  in the definition of  $\varphi(z|\Gamma)$  is clearly independent of the choice of basis  $\omega_1, \omega_2$  of  $\Gamma$ . To fix the 12-th root  $\sqrt[12]{\Delta(\Gamma)}$  we use the identity

$$\Delta(\Gamma) = \left( \frac{2\pi i}{\omega_2} \right)^{12} \eta \left( \frac{\omega_1}{\omega_2} \right)^{24}$$

for a basis of  $\Gamma$  oriented by  $\Im\left(\frac{\omega_1}{\omega_2}\right) > 0$  and set

$$\sqrt[12]{\Delta(\Gamma)} = \left(\frac{2\pi i}{\omega_2}\right) \eta\left(\frac{\omega_1}{\omega_2}\right)^2.$$

So the value  $\varphi(z|\Gamma)$  is only well defined up to a 12-th root of unity depending on the basis chosen for its definition. However products where all the  $\sqrt[12]{\Delta(\Gamma)}$ -factors cancel out are independent of the choice of basis choosing the same basis for each factor.

We fix an arbitrary prime ideal  $\mathfrak{p}$  in  $K$  and an integral auxiliary ideal  $\mathfrak{q} \nmid 2$  that is prime to  $\mathfrak{p}$  and satisfies

$$\gcd(\mathfrak{q}, \bar{\mathfrak{q}}) = 1.$$

For  $n \in \mathbb{N}$  we define

$$E_n(z) := \frac{\varphi(z - \gamma_n | \mathfrak{q}\mathfrak{p}^n) \varphi(z + \gamma_n | \mathfrak{q}\mathfrak{p}^n)}{\varphi^2(z | \mathfrak{q}\mathfrak{p}^n)}$$

with a solution  $\gamma_n$  of the congruences

$$\begin{aligned} \gamma_n &\equiv 0 \pmod{\mathfrak{p}^n}, \\ \gamma_n &\equiv 1 \pmod{\mathfrak{q}}, \\ \gamma_n &\equiv 0 \pmod{\bar{\mathfrak{q}}}. \end{aligned}$$

Note that  $E_n(z)$  is well defined because all  $\Delta$ -factors are canceling out if we choose the same basis of  $\mathfrak{q}\mathfrak{p}^n$  for every  $\varphi$ -value. Using the identity  $\wp(u) - \wp(v) = -\frac{\sigma(u-v)\sigma(u+v)}{\sigma^2(u)\sigma^2(v)}$ , we can express  $E_n$  by the Weierstrass  $\wp$ -function:

$$E_n(z) = -\varphi^2(\gamma_n | \mathfrak{q}\mathfrak{p}^n) \left( \frac{\wp(z | \mathfrak{q}\mathfrak{p}^n)}{\sqrt[6]{\Delta(\mathfrak{q}\mathfrak{p}^n)}} - \frac{\wp(\gamma_n | \mathfrak{q}\mathfrak{p}^n)}{\sqrt[6]{\Delta(\mathfrak{q}\mathfrak{p}^n)}} \right)$$

and we can conclude that  $E_n$  is elliptic with respect to the lattice  $\mathfrak{q}\mathfrak{p}^n$ . Moreover  $E_n$  satisfies the following norm relation:

**Theorem 1.** *Let  $\mathbb{C}_{\mathfrak{q}\mathfrak{p}^n}$  denote the field of elliptic functions with respect to  $\mathfrak{q}\mathfrak{p}^n$ ,  $n \geq 0$ . Then  $\mathbb{C}_{\mathfrak{q}\mathfrak{p}^{n+1}}/\mathbb{C}_{\mathfrak{q}\mathfrak{p}^n}$  is a Galois extension, the Galois group consisting of all substitutions*

$$g(z) \mapsto g(z + \xi), \quad \xi \in \mathfrak{q}\mathfrak{p}^n \pmod{\mathfrak{q}\mathfrak{p}^{n+1}}$$

for  $g \in \mathbb{C}_{\mathfrak{q}\mathfrak{p}^{n+1}}$  and we have the norm relation

$$E_n(z) = \mathbf{N}_{\mathbb{C}_{\mathfrak{q}\mathfrak{p}^{n+1}}/\mathbb{C}_{\mathfrak{q}\mathfrak{p}^n}}(E_{n+1}(z)) = \prod_{\xi \in \mathfrak{q}\mathfrak{p}^n \pmod{\mathfrak{q}\mathfrak{p}^{n+1}}} E_{n+1}(z + \xi).$$

For the singular values  $E_n(1)$  we obtain:

**Theorem 2.** *Let  $\mathfrak{p}$  and  $\mathfrak{q}$  be as above and let  $\Phi$  denote the Euler function in  $K$ . Then*

- (1)  $E_n(1) \in K_{\mathfrak{qp}^n}$  for  $n \geq 0$ ,
- (2)  $E_n(1) \sim \mathfrak{p}^{\frac{1}{\Phi(\mathfrak{p}^n)}}$  for  $n \geq 1$ ,
- (3)  $E_n(1) = \mathbf{N}_{K_{\mathfrak{qp}^{n+1}}/K_{\mathfrak{qp}^n}}(E_{n+1}(1)) = \prod_{\xi \in \mathfrak{qp}^n \bmod \mathfrak{qp}^{n+1}} E_{n+1}(1 + \xi)$  for  $n \geq 1$ ,
- (4)  $\left. \frac{E_0(z)}{E_1(z-1+\gamma_1)} \right|_{z=1} = \mathbf{N}_{K_{\mathfrak{qp}}/K_{\mathfrak{q}}}(E_1(1)) = \frac{\varphi(1+\gamma_1|\mathfrak{q})\varphi(\gamma_1|\mathfrak{qp})^2}{\varphi(2\gamma_1|\mathfrak{qp})\varphi(1|\mathfrak{q})^2} \sqrt[12]{\frac{\Delta(\mathfrak{q})}{\Delta(\mathfrak{qp})}} \sim \mathfrak{p}$ .

To obtain the analogous result for the extension  $K_{\mathfrak{p}^{n+1}}/K_{\mathfrak{p}^n}$  that we were aiming at, we have to get rid of the auxiliary ideal  $\mathfrak{q}$ . Therefore we need the following (well known)

**Lemma.** *For any integral ideal  $\mathfrak{a}$  in  $K$*

$$\gcd\{N(\mathfrak{q}) - 1 \mid \mathfrak{q} \text{ prime ideal in } K, \mathfrak{q} \nmid 2\bar{\mathfrak{q}} \mathfrak{a}\} = w_K,$$

where  $w_K$  denotes the number of roots of unity in  $K$ .

So we can choose finitely many prime ideals  $\mathfrak{q}_i$ ,  $i = 1, \dots, s$  of degree 1 that are prime to  $N(\mathfrak{p})$  and integers  $x_i \in \mathbb{Z}$  so that

$$x_1(N(\mathfrak{q}_1) - 1) + \dots + x_s(N(\mathfrak{q}_s) - 1) = w_K.$$

For each  $\mathfrak{q}_i$  we define a set of functions  $E_{n,i}(z)$  as above with parameters  $\gamma_{n,i}$ . Taking relative norms we obtain

$$\mathbf{N}_{K_{\mathfrak{q}_i\mathfrak{p}^n}/K_{\mathfrak{p}^n}}(E_{n,i}(1)) \sim \mathfrak{p}^{\frac{N(\mathfrak{q}_i)-1}{\Phi(\mathfrak{p}^n)}}$$

for  $n \geq 1$ . Hence

$$\pi_n := \prod_{i=1}^s \left( \mathbf{N}_{K_{\mathfrak{q}_i\mathfrak{p}^n}/K_{\mathfrak{p}^n}}(E_{n,i}(1)) \right)^{x_i}$$

is an element in  $K_{\mathfrak{p}^n}$  having the factorisation

$$\pi_n \sim \mathfrak{p}^{\frac{w_K}{\Phi(\mathfrak{p}^n)}}.$$

This is what we were aiming at because

$$[K_{\mathfrak{p}^n} : K_{(1)}] = \frac{w(\mathfrak{p}^n)}{w_K} \Phi(\mathfrak{p}^n),$$

where  $w(\mathfrak{p}^n)$  denotes the number of roots of unity in  $K$  that are congruent to 1 mod  $\mathfrak{p}^n$ . This implies

$$\pi_n \sim \mathfrak{p}^{\frac{w(\mathfrak{p}^n)}{[K_{\mathfrak{p}^n} : K_{(1)}]}}$$

where

$$w(\mathfrak{p}^n) = 1$$

except for the cases

- (i)  $\mathfrak{p} \mid 2, n \leq 2$ , where  $w(\mathfrak{p}^n) \in \{1, 2\}$ , if  $d_K \neq -4$  and  $w(\mathfrak{p}^n) \in \{1, 2, 4\}$  if  $d_K = -4$ ;
- (ii)  $\mathfrak{p} \mid 3, n = 1, d_K = -3$ , where  $w(\mathfrak{p}^n) = 2$ .

Moreover we will show now that this element can be written analogously to the cyclotomic case. We therefore observe that by reciprocity law the conjugates of the singular values  $E_{n,i}(1)$  over  $K_{\mathfrak{p}^n}$  are given by

$$E_{n,i}(1)^{\sigma(\lambda)} = \frac{\varphi(\lambda - \gamma_{n,i}\lambda \mid \mathfrak{q}_i \mathfrak{p}^n) \varphi(\lambda + \gamma_{n,i}\lambda \mid \mathfrak{q}_i \mathfrak{p}^n)}{\varphi^2(\lambda \mid \mathfrak{q}_i \mathfrak{p}^n)},$$

where  $\sigma(\lambda)$  denotes the Frobenius automorphism of  $K_{\mathfrak{q}\mathfrak{p}^n}/K_{\mathfrak{p}^n}$  of the ideal  $(\lambda), \lambda \equiv 1 \pmod{\mathfrak{p}^n}$ . So we define the function

$$E_n^*(z) := \prod_{i=1}^s \prod_{j=1}^{N(\mathfrak{q}_i)-1} \left( \frac{\varphi(z + (\lambda_{i,j}^{(n)} - 1) - \gamma_{n,i}\lambda_{i,j}^{(n)} \mid \mathfrak{q}_i \mathfrak{p}^n) \varphi(z + (\lambda_{i,j}^{(n)} - 1) + \gamma_{n,i}\lambda_{i,j}^{(n)} \mid \mathfrak{q}_i \mathfrak{p}^n)}{\varphi^2(z + (\lambda_{i,j}^{(n)} - 1) \mid \mathfrak{q}_i \mathfrak{p}^n)} \right)^{x_i}$$

where for fixed  $i$  and  $n$  the numbers

$$\lambda_{i,j}^{(n)}, \quad j = 1, \dots, N(\mathfrak{q}_i) - 1$$

are a complete system of prime residue classen mod  $\mathfrak{q}_i$  satisfying

$$\lambda_{i,j}^{(n)} \equiv 1 \pmod{\mathfrak{p}^n}.$$

Herewith we can prove the following two Theorems:

**Theorem 3.** *Let  $\mathfrak{p}$  and  $\mathfrak{q} = \mathfrak{q}_1 \cdots \mathfrak{q}_s$  with  $\mathfrak{q}_i$  as above. Then the functions  $E_n^*(z)$  are in  $\mathbb{C}_{\mathfrak{q}\mathfrak{p}^n}$  for  $n \geq 0$  and satisfy the Normrelation*

$$E_n^*(z) = \mathbf{N}_{\mathbb{C}_{\mathfrak{q}\mathfrak{p}^{n+1}}/\mathbb{C}_{\mathfrak{q}\mathfrak{p}^n}}(E_{n+1}^*(z)) = \prod_{\xi \in \mathfrak{q}\mathfrak{p}^n \pmod{\mathfrak{q}\mathfrak{p}^{n+1}}} E_{n+1}^*(z + \xi).$$

**Theorem 4.** *Let  $\mathfrak{p}$  and  $\mathfrak{q} = \mathfrak{q}_1 \cdots \mathfrak{q}_s$  with  $\mathfrak{q}_i$  as above and let  $\Phi$  denote the Euler function in  $K$ . Then*

- (1)  $E_n^*(1) \in K_{\mathfrak{p}^n}$  for  $n \geq 0$ ,
  - (2)  $E_n^*(1) \sim \mathfrak{p}^{\left[ \frac{w(\mathfrak{p}^n)}{K_{\mathfrak{p}^n}:K(1)} \right]}$  for  $n \geq 1$ ,
  - (3)  $E_n^*(1) = \mathbf{N}_{K_{\mathfrak{p}^{n+1}}/K_{\mathfrak{p}^n}}(E_{n+1}^*(1))^{\frac{w(\mathfrak{p}^n)}{w(\mathfrak{p}^{n+1})}} = \prod_{\substack{\xi \in \mathfrak{q}\mathfrak{p}^n \\ \pmod{\mathfrak{q}\mathfrak{p}^{n+1}}} E_{n+1}^*(1 + \xi)$
- for  $n \geq 1$ ,
- (4)  $\mathbf{N}_{K_{\mathfrak{p}^n}/K(1)}(E_n^*(1)) \sim \mathfrak{p}^{w(\mathfrak{p}^n)}$ .

**Remark:** The constructions of the above theorems can clearly be generalized to any integral ideal  $\mathfrak{a}$  prime to  $\mathfrak{q}$  instead of  $\mathfrak{p}^n$  with obvious norm relations for two ideals  $\mathfrak{a}, \mathfrak{b}$  with  $\mathfrak{a} \mid \mathfrak{b}$ . Of course for a composite ideal  $\mathfrak{a}$  the singular values will be units.

**2. Proofs**

**Proposition.** Let  $\Gamma = [\omega, 1]$ ,  $\hat{\Gamma} = [\frac{\omega}{n_1}, \frac{1}{n_2}]$  be complex lattices,  $\Im(\omega) > 0$ ,  $n_1, n_2 \in \mathbb{N}$ . We consider the following system of representatives for  $\hat{\Gamma}/\Gamma$ :

$$\xi = \frac{x\omega}{n_1} + \frac{y}{n_2}, \quad x = 0, \dots, n_1 - 1, \quad y = 0, \dots, n_2 - 1.$$

Expressing  $\Delta$  by the  $\eta$ -function,  $\Delta = (2\pi i)^{12} \eta^{24}$ , we define the 12-th roots of  $\Delta(\Gamma)$  and  $\Delta(\hat{\Gamma})$  by

$$\sqrt[12]{\Delta(\Gamma)} := (2\pi i) \eta(\omega)^2, \quad \sqrt[12]{\Delta(\hat{\Gamma})} := (2\pi i) \eta(\frac{n_1\omega}{n_2})^2 n_2$$

and we set

$$l_\Gamma(z, \xi) = 2\pi i(z_1\xi_2 - z_2\xi_1).$$

Then

$$\prod_{\xi} e^{-\frac{1}{2}l_\Gamma(z, \xi)} \varphi(z + \xi|\Gamma) = \zeta \varphi(z|\hat{\Gamma})$$

with

$$\zeta = -\zeta_4^{n_1 n_2 + n_1} \zeta_8^{(n_1 - 1)(n_2 - 1)}$$

( $\zeta_n := e^{\frac{2\pi i}{n}}$ ). Furthermore, dividing both sides of the product formula by  $\varphi(z|\Gamma)$ , the limit for  $z \rightarrow 0$  yields

$$\prod_{\xi \neq 0} \varphi(\xi|\Gamma) = \zeta \frac{\sqrt[12]{\Delta(\hat{\Gamma})}}{\sqrt[12]{\Delta(\Gamma)}}.$$

*Proof.* The assertion of the Proposition is obtained by multiplying the  $q$ -expansions of the functions involved. Using the notations

$$Q_w = e^{2\pi i w}, \quad Q_{\frac{1}{w}} = e^{\pi i w}, \quad q = Q_\omega, \quad \hat{q} = Q_{\frac{n_2\omega}{n_1}}$$

the  $q$ -expansions of  $\varphi(w|\Gamma)$  and  $\varphi(z|\hat{\Gamma})$  are given by

$$\begin{aligned} \varphi(z + \xi|\Gamma) &= Q_{z+\xi}^{\frac{1}{2}(z_1+\xi_1)} (Q_{z+\xi}^{\frac{1}{2}} - Q_{z+\xi}^{-\frac{1}{2}}) q^{\frac{1}{12}} \prod_{n=1}^{\infty} (1 - q^n Q_{z+\xi}) (1 - q^n Q_{z+\xi}^{-1}), \\ \varphi(z|\hat{\Gamma}) &= Q_{n_2 z}^{\frac{1}{2} n_1 z_1} (Q_{n_2 z}^{\frac{1}{2}} - Q_{n_2 z}^{-\frac{1}{2}}) \hat{q}^{\frac{1}{12}} \prod_{n=1}^{\infty} (1 - \hat{q}^n Q_{n_2 z}) (1 - \hat{q}^n Q_{n_2 z}^{-1}). \end{aligned}$$

So the product in the Proposition is of the form

$$\prod_{\xi} e^{-\frac{1}{2}l_\Gamma(z, \xi)} \varphi(z + \xi|\Gamma) = f_1 f_2 f_3$$

with

$$\begin{aligned}
 f_1 &= e^{\frac{2\pi i}{2} \sum_{x,y} (z+\xi)(z_1+\xi_1) - z_1\xi_2 + z_2\xi_1}, \\
 f_2 &= \prod_{x,y} q^{\frac{1}{12}} (Q_{z+\xi}^{\frac{1}{2}} - Q_{z+\xi}^{-\frac{1}{2}}), \\
 f_3 &= \prod_{n=1}^{\infty} \prod_{x,y} (1 - q^n Q_{z+\xi}) (1 - q^n Q_{z+\xi}^{-1}).
 \end{aligned}$$

Using the formulas  $\sum_{k=1}^{m-1} k = \frac{m(m-1)}{2}$  and  $\sum_{k=1}^{m-1} k^2 = \frac{m(m-1)(2m-1)}{6}$  we then obtain

$$f_1 = \zeta_8^{(n_1-1)(n_2-1)} Q_{n_2 z}^{\frac{n_1 z_1}{2}} Q_{n_2 z}^{\frac{n_1-1}{2}} \hat{q}^{\frac{(n_1-1)(2n_1-1)}{12}}, \quad \hat{q} = q^{\frac{n_1}{n_2}}.$$

Further, using the identity  $\prod_{y=0}^{n_2-1} (a - b\zeta_{n_2}^y) = a^{n_2} - b^{n_2}$  we can write  $f_2$  in the form

$$f_2 = -\zeta_4^{n_1 n_2 + n_1} Q_{n_2 z}^{-\frac{n_1-1}{2}} \hat{q}^{n_1 n_2 - \frac{n_1(n_1-1)}{4}} \prod_{x=1}^{n_1-1} (1 - \hat{q}^x Q_{n_2 z})$$

and in the same way

$$f_3 = \left( \prod_{k=n_1}^{\infty} (1 - \hat{q}^k Q_{n_2 z}) \right) \left( \prod_{k=1}^{\infty} (1 - \hat{q}^k Q_{n_2 z}^{-1}) \right).$$

Now, putting together the identities for  $f_1, f_2, f_3$  we can easily derive our assertion. □

*Proof of Theorem 1.* First we observe that the assertion of the Proposition is also valid for arbitrary lattices  $\Gamma \subset \hat{\Gamma}$ , arbitrary systems  $\{\xi\}$  of representatives and other normalization of the 12-th root of  $\Delta$ , with possibly another constant  $\zeta$ . This follows from the homogeneity and the transformation formula of the  $\varphi$ -function:

$$\varphi(\lambda z | \lambda \Gamma) = \varphi(z | \Gamma),$$

$$\varphi(z + \tau | \Gamma) = \psi(\tau) e^{\frac{1}{2} l_{\Gamma}(\tau, z)} \varphi(z | \Gamma) \quad \text{for } \tau \in \Gamma$$

with

$$\psi(\tau) = \begin{cases} 1, & \text{if } \tau \in 2\Gamma, \\ -1, & \text{if } \tau \in \Gamma \setminus 2\Gamma. \end{cases}$$

Considering the fact that  $l_\Gamma(z, \xi)$  is linear in  $z$  we obtain from the generalized version of the Proposition just explained:

$$\prod_{\xi \in \mathfrak{qp}^n \bmod \mathfrak{qp}^{n+1}} E_{n+1}(z + \xi) = \frac{\varphi(z - \gamma_{n+1} | \mathfrak{qp}^n) \varphi(z + \gamma_{n+1} | \mathfrak{qp}^n)}{\varphi^2(z | \mathfrak{qp}^n)}.$$

Herein on the right  $\gamma_{n+1}$  can be replaced by  $\gamma_n$  using the transformation law of  $\varphi$ , because  $\gamma_{n+1} \equiv \gamma_n \bmod \mathfrak{qp}^n$ . This proves the formula of Theorem 1. □

*Proof of Theorem 2.* By reciprocity law of complex multiplication we know

$$\varphi(\delta | \mathfrak{qp}^n) \in K_{12N(\mathfrak{qp}^n)^2} \text{ for } \delta \in \mathfrak{D}_K$$

for every choice of basis in  $\mathfrak{qp}^n$ . Further, as can be found in [B-Sch], the action of a Frobenius automorphism  $\sigma(\lambda)$  of  $K_{12N(\mathfrak{qp}^n)^2}$  belonging to an integral principal ideal  $(\lambda)$  of  $\mathfrak{D}_K$  prime to  $12N(\mathfrak{qp}^n)$  is of the form

$$\varphi(\delta | \mathfrak{qp}^n)^{\sigma(\lambda)} = \epsilon \varphi(\delta \lambda | \mathfrak{qp}^n)$$

with a root of unity  $\epsilon$  independent of  $\delta$ . This implies

$$E_n(\delta) \in K_{12N(\mathfrak{qp}^n)^2}$$

and

$$E_n(\delta)^{\sigma(\lambda)} = \frac{\varphi(\delta \lambda - \gamma_n \lambda | \mathfrak{qp}^n) \varphi(\delta \lambda + \gamma_n \lambda | \mathfrak{qp}^n)}{\varphi^2(\delta \lambda | \mathfrak{qp}^n)} \text{ for } \delta \in \mathfrak{D}_K \setminus \{0\}$$

with  $\lambda$  having the above properties. For  $\lambda = 1 + \tau$ ,  $\tau \in \mathfrak{qp}^n$ , the  $\varphi$ -values in the numerator on the right side can be simplified by the transformation law of  $\varphi$ :

$$\begin{aligned} \varphi(\delta \lambda \pm \gamma_n \lambda | \mathfrak{qp}^n) &= \varphi(\delta \lambda \pm \gamma_n \pm \gamma_n \tau | \mathfrak{qp}^n) \\ &= \psi(\tau \gamma_n) e^{\frac{1}{2}l(\delta \lambda \pm \gamma_n, \pm \gamma_n \tau)} \varphi(\delta \lambda \pm \gamma_n | \mathfrak{qp}^n) \end{aligned}$$

with  $l = l_{\mathfrak{qp}^n}$ . So

$$E_n(\delta)^{\sigma(\lambda)} = e^{l(\gamma_n, \gamma_n \tau)} E_n(\delta \lambda).$$

Herein, using the rule  $l(a, bc) = l(a\bar{b}, c)$ ,

$$l(\gamma_n, \gamma_n \tau) = l(\gamma_n \bar{\gamma}_n, \tau) \in 2\pi i\mathbb{Z}$$

because  $\gamma_n \bar{\gamma}_n, \tau \in \mathfrak{qp}^n$ , whence

$$E_n(\delta)^{\sigma(\lambda)} = E_n(\delta \lambda).$$

Now, considering the fact that  $E_n$  is elliptic with respect to  $\mathfrak{qp}^n$ , it follows

$$E_n(1)^{\sigma(\lambda)} = E_n(1) \text{ for } \lambda \equiv 1 \bmod \mathfrak{qp}^n$$

and we can conclude that  $E_n(1)$  is in  $K_{\mathfrak{qp}^n}$ , because

$$\text{Gal}(K_{12N(\mathfrak{qp}^n)^2}/K_{\mathfrak{qp}^n}) = \{\sigma(\lambda) \mid \lambda \equiv 1 \bmod \mathfrak{qp}^n \text{ and prime to } N(\mathfrak{qp})\}.$$



The third assertion of Theorem 2 is obtained similarly: We have

$$\text{Gal}(K_{\mathfrak{qp}^{n+1}}/K_{\mathfrak{qp}^n}) = \{\sigma(1 + \xi) \mid \xi \in \mathfrak{qp}^n \text{ mod } \mathfrak{qp}^{n+1}\}$$

and

$$E_{n+1}(1)^{\sigma(1+\xi)} = e^{l(\gamma_{n+1}\overline{\gamma_{n+1}}, \xi)} E_{n+1}(1 + \xi)$$

with  $l = l_{\mathfrak{qp}^{n+1}}$ , where of course  $\sigma(1 + \xi)$  denotes the Frobenius automorphism of  $K_{\mathfrak{qp}^{n+1}}$  belonging to  $(1 + \xi)$ . Again herein  $l(\gamma_{n+1}\overline{\gamma_{n+1}}, \xi)$  is in  $2\pi i\mathbb{Z}$  because  $\xi \in \mathfrak{qp}^n$  and because  $\gamma_{n+1}\overline{\gamma_{n+1}}$  is even in  $\mathfrak{qp}^{n+1}\overline{\mathfrak{p}^{n+1}}$ , whence

$$E_{n+1}(1)^{\sigma(1+\xi)} = E_{n+1}(1 + \xi),$$

which proves the third assertion.

Finally, the second assertion of Theorem 2 follows from the factorisation of the singular  $\varphi$ -values [Sch1]:

$$\varphi(\delta|\mathfrak{qp}^n) \sim \begin{cases} 1, & \text{if } o(\delta, \mathfrak{qp}^n) \text{ is composite,} \\ \mathfrak{p}^{\frac{1}{\Phi(\mathfrak{p}^r)}}, & \text{if } o(\delta, \mathfrak{qp}^n) = \mathfrak{p}^r, \quad r \in \mathbb{N} \end{cases}$$

for every choice of basis in  $\mathfrak{qp}^n$ . Herein  $\delta \in K \setminus \{0\}$  and  $o(\delta, \mathfrak{qp}^n)$  denotes the denominator of the ideal  $\frac{\delta}{\mathfrak{qp}^n}$ . This factorisation implies that the first  $\varphi$  factor in the numerator of the definition of  $E_n(1)$  has the factorisation  $\mathfrak{p}^{\frac{1}{\Phi(\mathfrak{p}^n)}}$ , whereas the other  $\varphi$  values are units.  $\square$

*Proof of Theorem 3 and 4.* The proof of Theorem 3 is completely analogous to the proof of Theorem 1. The first and second assertion of Theorem 4 have already been explained. The third assertion can easily be proved using the same arguments as in the proof of Theorem 2.  $\square$

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Reinhard SCHERTZ  
 Institut für Mathematik der Universität Augsburg  
 Universitätsstraße 8  
 86159 Augsburg, Germany  
 E-mail : Reinhard.Schertz@Math.Uni-Augsburg.DE