



## SPECTRAL DOMINANCE AND YOUNG'S INEQUALITY IN TYPE III FACTORS

S. MAHMOUD MANJEGANI

DEPARTMENT OF MATHEMATICAL SCIENCE  
ISFAHAN UNIVERSITY OF TECHNOLOGY  
ISFAHAN, IRAN, 84154.  
manjgani@cc.iut.ac.ir

*Received 15 December, 2005; accepted 25 April, 2006*

*Communicated by F. Araki*

---

ABSTRACT. Let  $p, q > 0$  satisfy  $\frac{1}{p} + \frac{1}{q} = 1$ . We prove that for any positive invertible operators  $a$  and  $b$  in  $\sigma$ -finite type III factors acting on Hilbert spaces, there is a unitary  $u$ , depending on  $a$  and  $b$  such that

$$u^*|ab|u \leq \frac{1}{p}a^p + \frac{1}{q}b^q.$$

---

*Key words and phrases:* Operator inequality, Young's inequality, Spectral dominance, Type III factor.

*2000 Mathematics Subject Classification.* Primary 47A63; Secondary 46L05.

### 1. INTRODUCTION

Young's inequality asserts that if  $p$  and  $q$  are positive real numbers for which  $p^{-1} + q^{-1} = 1$ , then  $|\lambda\mu| \leq p^{-1}|\lambda|^p + q^{-1}|\mu|^q$ , for all complex numbers  $\lambda$  and  $\mu$ , and the equality holds if and only if  $|\mu|^q = |\lambda|^p$ .

R. Bhatia and F. Kittaneh [3] established a matrix version of the Young inequality for the special case  $p = q = 2$ . T. Ando [2] proved that for any pair  $A$  and  $B$  of  $n \times n$  complex matrices there is a unitary matrix  $U$ , depending on  $A$  and  $B$  such that

$$(1.1) \quad U^*|AB|U \leq \frac{1}{p}|A|^p + \frac{1}{q}|B|^q.$$

Ando's methods were adapted recently to the case of compact operators acting on infinite-dimensional separable Hilbert spaces by Erlijman, Farenick, and Zeng [4]. In this paper by using the concept of spectral dominance in type III factors, we prove a version of Young's inequality for positive operators in a type III factor  $N$ .

If  $\mathfrak{H}$  is an  $n$ -dimensional Hilbert space and if  $a$  and  $b$  are positive operators acting on  $\mathfrak{H}$ , then  $a$  is said to be spectrally dominated by  $b$  if

$$(1.2) \quad \alpha_j \leq \beta_j, \quad \text{for every } 1 \leq j \leq n,$$

where  $\alpha_1 \geq \cdots \geq \alpha_n \geq 0$  and  $\beta_1 \geq \cdots \geq \beta_n \geq 0$  are the eigenvalues of  $a$  and  $b$ , respectively, in nonincreasing order and with repeats according to geometric multiplicities. It is a simple consequence of the Spectral Theorem and the Min-Max Variational Principle that inequalities (1.2) are equivalent to a single operator inequality:

$$(1.3) \quad a \leq u^*bu, \quad \text{for some unitary operator } u : \mathfrak{H} \rightarrow \mathfrak{H},$$

where  $h \leq k$ , for Hermitian operators  $h$  and  $k$ , denotes  $\langle h\xi, \xi \rangle \leq \langle k\xi, \xi \rangle$  for all  $\xi \in \mathfrak{H}$ . One would like to investigate inequalities (1.2) and (1.3) for operators acting on infinite-dimensional Hilbert spaces. Of course, as many operators on infinite-dimensional space fail to have eigenvalues, inequality (1.2) requires a somewhat more general formulation. This can be achieved through the use of spectral projections.

Let  $\mathcal{B}(\mathfrak{H})$  denote the algebra of all bounded linear operators acting on a complex Hilbert space  $\mathfrak{H}$ , and suppose that  $N \subseteq \mathcal{B}(\mathfrak{H})$  is a von Neumann algebra. The cone of positive operators in  $N$  and the projection lattice in  $N$  are denoted by  $N^+$  and  $\mathcal{P}(N)$  respectively. The notation  $e \sim f$ , for  $e, f \in \mathcal{P}(N)$ , shall indicate the Murray–von Neumann equivalence of  $e$  and  $f$ :  $e = v^*v$  and  $f = vv^*$  for some  $v \in N$ . The notation  $f \lesssim e$  denotes that there is a projection  $e_1 \in N$  with  $e_1 \leq e$  and  $f \sim e_1$ ; that is,  $f$  is subequivalent to  $e$ .

Recall that a nonzero projection  $e \in N$  is infinite if there exists a nonzero projection  $f \in N$  such that  $e \sim f \leq e$  and  $f \neq e$ . In a factor of type III, all nonzero projections are infinite; in a  $\sigma$ -finite factor, all infinite projections are equivalent. Thus, in a  $\sigma$ -finite type III factor  $N$ , any two nonzero projections in  $N$  are equivalent. (Examples, constructions, and properties of factors [von Neumann algebras with 1-dimensional center] are described in detail in [5], as are the assertions above concerning the equivalence of nonzero projections in  $\sigma$ -finite type III factors.)

The spectral resolution of the identity of a Hermitian operator  $h \in N$  is denoted here by  $p^h$ . Thus, the spectral representation of  $h$  is

$$h = \int_{\mathbb{R}} s dp^h(s).$$

In [1], Akemann, Anderson, and Pedersen studied operator inequalities in various von Neumann algebras. In so doing they introduced the following notion of spectral preorder called “spectral dominance.” If  $h, k \in N$  are Hermitian, then we say that  $k$  spectrally dominates  $h$ , which is denoted by the notation

$$h \lesssim_{sp} k,$$

if, for every  $t \in \mathbb{R}$ ,

$$p^h[t, \infty) \lesssim p^k[t, \infty) \quad \text{and} \quad p^k(-\infty, t] \lesssim p^h(-\infty, t].$$

$h$  and  $k$  are said to be equivalent in the spectral dominance sense if,  $h \lesssim_{sp} k$  and  $k \lesssim_{sp} h$ .

If  $N$  is a type  $I_n$  factor—say,  $N = \mathcal{B}(\mathfrak{H})$ , where  $\mathfrak{H}$  is  $n$ -dimensional—then, for any positive operators  $a, b \in N$ ,

$$(1.4) \quad a \lesssim_{sp} b \quad \text{if and only if} \quad \alpha_j \leq \beta_j, \quad \text{for every } 1 \leq j \leq n,$$

where  $\alpha_1 \geq \cdots \geq \alpha_n \geq 0$  and  $\beta_1 \geq \cdots \geq \beta_n \geq 0$  are the eigenvalues (with multiplicities) of  $a$  and  $b$  in nonincreasing order. The first main result of the present paper is Theorem 1.1 below, which shows that in type III factors the condition  $a \lesssim_{sp} b$  is equivalent to an operator inequality in the form of (1.3), thereby giving a direct analogue of (1.4).

**Theorem 1.1.** *If  $N$  is a  $\sigma$ -finite type III factor and if  $a, b \in N^+$ , then  $a \lesssim_{sp} b$  if and only if there is a unitary  $u \in N$  such that  $a \leq ubu^*$ .*

The second main result established herein is the following version of Young's inequality, which extends Ando's result (Equation (1.1)) to positive operators in type III factors.

**Theorem 1.2.** *If  $a$  and  $b$  are positive operators in type III factor  $N$  such that  $b$  is invertible, then there is a unitary  $u$ , depending on  $a$  and  $b$  such that*

$$u|ab|u^* \leq \frac{1}{p}a^p + \frac{1}{q}b^q,$$

for any  $p, q \in (1, \infty)$  that satisfy  $\frac{1}{p} + \frac{1}{q} = 1$ .

## 2. SPECTRAL DOMINANCE

The purpose of this section is to record some basic properties of spectral dominance in arbitrary von Neumann algebras and to then prove Theorem 1.1 for  $\sigma$ -finite type III factors. Some of the results in this section have been already proved or outlined in [1]. However, the presentation here simplifies or provides additional details to several of the original arguments.

Unless it is stated otherwise,  $N$  is assumed to be an arbitrary von Neumann algebra acting on a Hilbert space  $\mathfrak{H}$ .

**Lemma 2.1.** *If  $0 \neq h \in N$  is Hermitian,  $\eta \in \mathfrak{H}$  is a unit vector, and  $t \in \mathbb{R}$ , then:*

- (1)  $p^h[t, \infty)\eta = 0$  implies that  $\langle h\eta, \eta \rangle < t$ ;
- (2)  $p^h(-\infty, t]\eta = 0$  implies that  $\langle h\eta, \eta \rangle > t$ ;
- (3)  $p^h[t, \infty)\eta = \eta$  implies that  $\langle h\eta, \eta \rangle \geq t$ ;
- (4)  $p^h(-\infty, t]\eta = \eta$  implies that  $\langle h\eta, \eta \rangle \leq t$ .

*Proof.* This is a standard application of the spectral theorem. □

**Lemma 2.2.** *If  $h, k \in N$  are hermitian and  $h \leq k$ , then  $h \lesssim_{sp} k$ .*

*Proof.* Fix  $t \in \mathbb{R}$ . We first prove that  $p^k(-\infty, t] \lesssim p^h(-\infty, t]$ . Note that the condition  $h \leq k$  implies that  $p^k(-\infty, t] \wedge p^h(t, \infty) = 0$ , for if  $\xi$  is a unit vector in  $p^k(-\infty, t](\mathfrak{H}) \cap p^h(t, \infty)(\mathfrak{H})$ , then we would have that  $\langle k\xi, \xi \rangle \leq t < \langle h\xi, \xi \rangle$ , which contradicts  $h \leq k$ . Kaplansky's formula [5, Theorem 6.1.7] and  $p^k(-\infty, t] \wedge p^h(t, \infty) = 0$  combine to yield

$$\begin{aligned} p^k(-\infty, t] &= p^k(-\infty, t] - (p^k(-\infty, t] \wedge p^h(t, \infty)) \\ &\sim (p^k(-\infty, t] \vee p^h(t, \infty)) - p^h(t, \infty) \\ &\leq 1 - p^h(t, \infty) \\ &= p^h(-\infty, t]. \end{aligned}$$

Using  $p^h[t, \infty) \wedge p^k(-\infty, t) = 0$ , one concludes that  $p^h[t, \infty) \lesssim p^k[t, \infty)$  by a proof similar to the one above. □

**Theorem 2.3.** *Assume that  $a, b, u \in N$ , with  $a$  and  $b$  positive and  $u$  unitary. If  $a \leq ubu^*$ , then  $a \lesssim_{sp} b$ .*

*Proof.* By Lemma 2.2,  $a \leq ubu^*$  implies that  $a \lesssim ubu^*$ . However, because  $u \in N$  is unitary, we have  $p^b(\Omega) \sim p^{ubu^*}(\Omega)$ , for every Borel set  $\Omega$ . Hence,  $a \lesssim_{sp} b$ . □

The converse of Theorem 2.3 will be shown to hold in Theorem 2.7 under the assumption that  $N$  is a  $\sigma$ -finite factor of type III. To arrive at the proof, we follow [1] and define, for Hermitians  $h$  and  $k$ , the following real numbers:

$$\begin{aligned}\alpha^+ &= \max \{ \lambda : \lambda \in \sigma(h) \}, & \alpha^- &= \min \{ \lambda : \lambda \in \sigma(h) \}, \\ \beta^+ &= \max \{ \nu : \nu \in \sigma(k) \}, & \beta^- &= \min \{ \nu : \nu \in \sigma(k) \}.\end{aligned}$$

**Lemma 2.4.** *If  $h, k \in N$  are Hermitian and  $h \lesssim_{sp} k$ , then*

- (1)  $\alpha^+ \leq \beta^+$  and  $p^h(\{\beta^+\}) \lesssim p^k(\{\beta^+\})$ , and
- (2)  $\beta^- \leq \alpha^-$  and  $p^k(\{\alpha^-\}) \lesssim p^h(\{\alpha^-\})$ .

*Proof.* To prove statement (1), we prove first that  $\alpha^+ \leq \beta^+$ . Assume, contrary to what we wish to prove, that  $\beta^+ < \alpha^+$ . Because  $h \lesssim_{sp} k$ ,

$$p^h[t, \infty) \lesssim p^k[t, \infty), \quad \forall t \in \mathbb{R}.$$

In particular,  $p^h[\alpha^+, \infty) \lesssim p^k[\alpha^+, \infty)$ . The assumption  $\beta^+ < \alpha^+$  implies that  $p^k[\alpha^+, \infty) = 0$ , and so, also,

$$p^h[\alpha^+, \infty) = 0.$$

By a similar argument,  $p^h[r, \infty) = 0$ , for each  $r \in (\beta^+, \alpha^+)$ . Hence,  $\alpha^+$  is an isolated point of the spectrum of  $h$  and, therefore,  $\alpha^+$  is an eigenvalue of  $h$ . Thus,

$$p^h[\alpha^+, \infty) \neq 0,$$

which is a contradiction. Therefore, it must be true that  $\alpha^+ \leq \beta^+$ .

To prove that  $p^h(\{\beta^+\}) \lesssim p^k(\{\beta^+\})$ , we consider two cases. In the first case, suppose that  $\alpha^+ < \beta^+$ . Then

$$p^h(\{\beta^+\}) = 0,$$

which leads, trivially, to  $p^h(\{\beta^+\}) \lesssim p^k(\{\beta^+\})$ . In the second case, assume that  $\alpha^+ = \beta^+$ . Then

$$p^h(\{\beta^+\}) = p^h[\alpha^+, \infty) \lesssim p^k[\alpha^+, \infty) = p^k(\{\beta^+\}),$$

which completes the proof of statement (1).

The proof of statement (2) follows the arguments in the proof of (1), except that we use  $p^k(-\infty, t] \lesssim p^h(-\infty, t]$  in place of  $p^h[t, \infty) \lesssim p^k[t, \infty)$ . The details are, therefore, omitted.  $\square$

If  $N$  is a  $\sigma$ -finite type III factor, then Lemma 2.4 has the following converse.

**Lemma 2.5.** *Let  $N$  be a  $\sigma$ -finite factor of type III. If Hermitian operators  $h, k \in N$  satisfy*

- (1)  $\alpha^+ \leq \beta^+$  and  $p^h(\{\beta^+\}) \lesssim p^k(\{\beta^+\})$ , and
- (2)  $\beta^- \leq \alpha^-$  and  $p^k(\{\alpha^-\}) \lesssim p^h(\{\alpha^-\})$ ,

*then  $h \lesssim_{sp} k$ .*

*Proof.* We need to show that, for each  $t \in \mathbb{R}$ ,

$$p^h[t, \infty) \lesssim p^k[t, \infty) \quad \text{and} \quad p^k(-\infty, t] \lesssim p^h(-\infty, t].$$

Fix  $t \in \mathbb{R}$ . Because  $N$  is a  $\sigma$ -finite type III factor, the projections  $p^h[t, \infty)$  and  $p^k[t, \infty)$  will be equivalent if they are both zero or if they are both nonzero. Thus, we shall show that if  $p^k[t, \infty) = 0$ , then  $p^h[t, \infty) = 0$ . To this end, if  $p^k[t, \infty) = 0$ , then  $t \geq \beta^+ \geq \alpha^+$ . If, on

the one hand, it is the case that  $t > \alpha^+$ , then  $p^h[t, \infty) = 0$  and we have the result. If, on the other hand,  $t = \alpha^+$ , then  $t = \alpha^+ = \beta^+$  and

$$\begin{aligned} p^h[t, \infty) &= p^h[\alpha^+, \infty) = p^h(\{\alpha^+\}) \\ &= p^h(\{\beta^+\}) \preceq p^k(\{\beta^+\}) \\ &= p^k[\beta^+, \infty) = p^k[t, \infty). \end{aligned}$$

A similar argument proves that  $p^k(-\infty, t] \preceq p^h(-\infty, t]$ .  $\square$

A Hermitian operator  $h$  in a von Neumann algebra  $N$  is said to be a *diagonal operator* if

$$h = \sum_n \alpha_n e_n \quad \text{and} \quad 1 = \sum_n e_n,$$

where  $\{\alpha_n\}$  is a sequence of real numbers (not necessarily distinct) and  $\{e_n\} \subset \mathcal{P}(N)$  is a sequence of mutually orthogonal nonzero projections in  $N$ .

The following interesting and useful theorem is due to Akemann, Anderson, and Pedersen.

**Theorem 2.6** ([1]). *Let  $N$  be a  $\sigma$ -finite type III factor, and suppose that Hermitian operators  $h, k \in N$  are diagonal operators. If  $h \preceq_{sp} k$ , then there is a unitary  $u \in N$  such that  $h \leq uku^*$ .*

The proof of the characterisation of spectral dominance by an operator inequality (Theorem 1.1) is completed by the following result. The method of proof again borrows ideas from [1].

**Theorem 2.7.** *If  $N$  is a  $\sigma$ -finite type III factor, and  $a, b \in N^+$  satisfy  $a \preceq_{sp} b$ , then there is a unitary  $u \in N$  such that  $a \leq ubu^*$ .*

*Proof.* It is enough to prove that there are diagonal operators  $h, k \in N$  such that  $a \leq h$ ,  $k \leq b$ , and  $h \preceq_{sp} k$ —because, by Theorem 2.6, there is a unitary  $u \in N$  such that  $h \leq uku^*$ , which yields  $a \leq ubu^*$ .

Because  $N$  is  $\sigma$ -finite, the point spectra  $\sigma_p(a)$  and  $\sigma_p(b)$  of  $a$  and  $b$  are countable. Let  $\sigma_p(b) = \{\beta_n : n \in \Lambda\}$ , where  $\Lambda$  is a countable set. Let  $f_n$  be a projection with kernel  $(b - \beta_n 1)$  and

$$q = \sum_{n \in \Lambda} f_n.$$

Then

$$qb = bq = \sum_{n \in \Lambda} \beta_n f_n.$$

Let  $b_1 = (1 - q)b (= b(1 - q))$ . Thus, we may write

$$b = \sum_n \beta_n f_n + b_1.$$

By a similar argument for  $a$ , we may write

$$a = \sum_n \alpha_n e_n + a_1,$$

where  $a_1$  and  $b_1$  have continuous spectrum.

For any Borel set  $\Omega$ , we define

$$p^{b_1}(\Omega) = (1 - q)p^b(\Omega)(1 - q).$$

Thus  $p^{b_1}$  is a spectral measure on the Borel sets of  $\sigma(b_1)$ . For each  $n \in \Lambda$  and Borel set  $\Omega$  we have

$$(2.1) \quad f_n p^{b_1}(\Omega) = p^{b_1}(\Omega) f_n = 0.$$

Let  $\beta^+$  and  $\beta^-$  denote the spectral endpoints of  $b$  and choose infinite sequences  $\{\beta_n^+\}$  and  $\{\beta_n^-\}$  such that  $\beta_n^+, \beta_n^- \in (\beta^-, \beta^+)$  and

$$\begin{aligned}\beta_0^+ &= \frac{1}{2}(\beta^+ + \beta^-) < \beta_1^+ < \beta_2^+ < \cdots < \beta_n^+ \rightarrow \beta^+, \\ \beta_0^- &= \frac{1}{2}(\beta^+ + \beta^-) > \beta_1^- > \beta_2^- > \cdots > \beta_n^- \rightarrow \beta^-.\end{aligned}$$

Let  $f_n^+$  denote the spectral projection of  $b_1$  associated with the interval  $[\beta_n^+, \beta_{n+1}^+)$ ,  $n = 0, 1, 2, \dots$ , and  $f_n^-$  denote the spectral projection associated with  $[\beta_{n+1}^-, \beta_n^-)$ . Write

$$k = \sum_n \beta_n f_n + \sum_n \beta_n^+ f_n^+ + \sum_n \beta_{n+1}^- f_n^- ,$$

and observe that  $k$  is a diagonal operator. Moreover, by the choice of  $\beta_n^+$  and  $\beta_n^-$ ,

$$\sum_n \beta_n^+ f_n^+ + \sum_n \beta_{n+1}^- f_n^- \leq b_1 .$$

The construction of  $k$  yields

$$\begin{aligned}\sigma_p(b) &\subseteq \sigma_p(k) = \{\beta_n : n \in \Lambda\} \cup \{\beta_m^+ : m \in \Lambda_1\} \cup \{\beta_{m+1}^- : m \in \Lambda_2\} \\ &\subseteq \text{conv } \sigma(b) ,\end{aligned}$$

where  $\Lambda$ ,  $\Lambda_1$  and  $\Lambda_2$  are countable sets and  $\text{conv } \sigma(b)$  denotes the convex hull of the spectrum of  $b$ . Thus,  $0 \leq k \leq b$  and  $k$  has the same spectral endpoints as  $b$ . Furthermore,  $k$  has an eigenvalue at a spectral endpoint if and only if  $b$  has an eigenvalue at that same point.

Arguing similarly for  $a$ , let  $\alpha^+$  and  $\alpha^-$  denote the spectral endpoints of  $a$ , and select sequences  $\{\alpha_n^+\}$  and  $\{\alpha_n^-\}$  such that  $\alpha_n^+, \alpha_n^- \in (\alpha^-, \alpha^+)$  and

$$\begin{aligned}\alpha_0^+ &= \frac{1}{2}(\alpha^+ + \alpha^-) < \alpha_1^+ < \alpha_2^+ < \cdots < \alpha_n^+ \rightarrow \alpha^+ \\ \alpha_0^- &= \frac{1}{2}(\alpha^+ + \alpha^-) > \alpha_1^- > \alpha_2^- > \cdots > \alpha_n^- \rightarrow \alpha^-.\end{aligned}$$

Denote the spectral projection of  $a_1$  associated with  $[\alpha_n^+, \alpha_{n+1}^+)$  by  $e_n^+$  and, similarly,  $e_n^-$  for  $p^{a_1} [\alpha_{n+1}^-, \alpha_n^-)$ . Let

$$h = \sum_n \alpha_n e_n + \sum_n \alpha_{n+1}^+ e_n^+ + \sum_n \alpha_n^- e_n^- .$$

Note that

$$a_1 \leq \sum_n \alpha_{n+1}^+ e_n^+ + \sum_n \alpha_n^- e_n^- .$$

Thus,  $a \leq h$  and  $h$  has the same spectral endpoints as  $a$ ; moreover,  $h$  has an eigenvalue at an endpoint if and only if  $a$  has an eigenvalue at that point.

By the hypothesis,  $a \lesssim_{sp} b$ ; thus, by Lemma 2.4,

$$(2.2) \quad \beta^+ \geq \alpha^+ \quad \text{and} \quad \beta^- \leq \alpha^- ,$$

and

$$(2.3) \quad p^a(\{\beta^+\}) \lesssim p^b(\{\beta^+\}) \quad \text{and} \quad p^b(\{\alpha^-\}) \lesssim p^a(\{\alpha^-\}) .$$

Now, we use Lemma 2.5 to prove that  $h \lesssim_{sp} k$ . Because the spectral endpoints of  $h$  are  $\alpha^-$  and  $\alpha^+$ , and the spectral endpoints of  $k$  are  $\beta^-$  and  $\beta^+$ , we need only to show that

$$p^h(\{\beta^+\}) \lesssim p^k(\{\beta^+\}) \quad \text{and} \quad p^k(\{\alpha^-\}) \lesssim p^h(\{\alpha^-\}) .$$

(We already know from (2.2) that  $\alpha^+ \leq \beta^+$  and  $\alpha^- \geq \beta^-$ .)

As we have pointed out in previous proofs, because  $N$  is a  $\sigma$ -finite type III factor, to prove that  $p^h(\{\beta^+\}) \lesssim p^k(\{\beta^+\})$  it is enough to show that if  $p^k(\{\beta^+\}) = 0$ , then  $p^h(\{\beta^+\}) = 0$ . Thus, assume that  $p^k(\{\beta^+\}) = 0$ ; then,  $\beta^+$  is not an eigenvalue of  $k$  and, therefore, it is not eigenvalue of  $b$ . Thus,  $p^b(\{\beta^+\}) = 0$ . But  $p^a(\{\beta^+\}) \lesssim p^b(\{\beta^+\})$ , by (2.3), and so  $p^a(\{\beta^+\}) = 0$ . Hence,  $p^h(\{\beta^+\}) = 0$ .

By a similar argument, we can prove  $p^k(\{\alpha^-\}) \lesssim p^h(\{\alpha^-\})$ .  $\square$

**Corollary 2.8** (Theorem 1.1). *Let  $N$  be a  $\sigma$ -finite type III factor and  $a, b \in N^+$ . Then  $a \lesssim_{sp} b$  if and only if there is a unitary  $u \in N$  such that  $a \leq ubu^*$ .*

*Proof.* The sufficiency is Theorem 2.3 and the necessity is Theorem 2.7.  $\square$

### 3. YOUNG'S INEQUALITY

In this section we use properties of spectral dominance to prove the second main result. We begin with two lemmas that are needed in the proof of Theorem 3.3. A compressed form of Young's inequality was established in [4], based on an idea originating with Ando [2], and was used to prove Young's inequality—relative to the Löwner partial order of  $\mathcal{B}(\mathfrak{H})$ —for compact operators. Although the focus of [4] was upon compact operators, the following important lemma from [4] in fact holds in arbitrary von Neumann algebras.

**Lemma 3.1.** *Assume that  $p \in (1, 2]$ . If  $N$  is any von Neumann algebra and  $a, b \in N^+$ , with  $b$  invertible, then for any  $s \in \mathbb{R}_0^+$ ,*

$$sf_s \leq f_s(p^{-1}a^p + q^{-1}b^q)f_s \quad \text{and} \quad f_s \sim p^{|ab|}([s, \infty)),$$

where  $f_s = R[b^{-1}p^{|ab|}([s, \infty))]$ .

**Lemma 3.2.** *If  $a$  and  $b$  are positive operators in a von-Neumann algebra  $N$ , then  $|ab|$  and  $|ba|$  are equivalent in the spectral dominance sense.*

*Proof.* It is well known that the spectral measures for  $|x|$  and  $|x^*|$  are equivalent in the Murray-von Neumann sense, the equivalence being given by the phase part of the polar decomposition of  $x$ . (If  $x = w|x|$  is the polar decomposition of  $x$ , then  $xx^* = w|x|^2w^*$ , so  $|x^*|^2 = (w|x|w^*)^2$ , and therefore  $|x^*| = (w|x|w^*)$ .)

In particular, for  $a, b \geq 0$  the two absolute value parts  $|ab|$ ,  $|ba|$  are equivalent in the spectral dominance sense.  $\square$

**Theorem 3.3.** *If  $a$  and  $b$  are positive invertible operators in type III factor  $N$ , then there is a unitary  $u$ , depending on  $a$  and  $b$  such that*

$$u|ab|u^* \leq \frac{1}{p}a^p + \frac{1}{q}b^q,$$

for any  $p, q \in (1, \infty)$  that satisfy  $\frac{1}{p} + \frac{1}{q} = 1$ .

*Proof.* By Theorem 2.7, it is enough to prove that

$$(3.1) \quad |ab| \lesssim_{sp} p^{-1}a^p + q^{-1}b^q.$$

We assume, that  $p \in (1, 2]$  and that  $b \in N^+$  is invertible. The assumption on  $p$  entails no loss of generality because if inequality (3.1) holds for  $1 < p \leq 2$ , then in cases, where  $p > 2$  the conjugate  $q$  satisfies  $q < 2$ , and so by Lemma 3.2

$$(3.2) \quad |ab| \lesssim_{sp} |ba| \lesssim_{sp} p^{-1}a^p + q^{-1}b^q.$$

To prove the inequality (3.1) we need to prove that for each real number  $t$ ,

$$p^{|ab|}[t, \infty) \lesssim p^{p^{-1}a^p + q^{-1}b^q}[t, \infty)$$

and

$$p^{p^{-1}a^p + q^{-1}b^q}(-\infty, t] \lesssim p^{|ab|}(-\infty, t].$$

Since  $M$  is a type III factor, it is sufficient to prove that if  $p^{p^{-1}a^p + q^{-1}b^q}[t, \infty) = 0$  ( $p^{|ab|}(-\infty, t] = 0$ ), then  $p^{|ab|}[t, \infty) = 0$  ( $p^{p^{-1}a^p + q^{-1}b^q}(-\infty, t] = 0$ ).

Suppose there is a  $t_0 \in \mathbb{R}$  such that  $p^{p^{-1}a^p + q^{-1}b^q}[t_0, \infty) = 0$  and  $p^{|ab|}[t_0, \infty) \neq 0$ . Then by the Compression Lemma,  $f_{t_0} \neq 0$ , so there is a unit vector  $\eta \in \mathfrak{H}$  such that  $f_{t_0}\eta = \eta$  and  $p^{p^{-1}a^p + q^{-1}b^q}[t_0, \infty)\eta = 0$ . Thus, by Lemma 2.1 and the Compression Lemma we have that

$$t_0 = \langle t_0 f_{t_0} \eta, \eta \rangle \leq \langle f_{t_0} (p^{-1}a^p + q^{-1}b^q) f_{t_0} \eta, \eta \rangle = \langle (p^{-1}a^p + q^{-1}b^q) \eta, \eta \rangle < t_0,$$

which is a contradiction.

Similarly, if  $p^{|ab|}(-\infty, t_0] = 0$  and  $p^{p^{-1}a^p + q^{-1}b^q}(-\infty, t_0] \neq 0$  for some  $t_0 \in \mathbb{R}$ , then  $p^{|ab|}(t_0, \infty) = 1$  and  $p^{p^{-1}a^p + q^{-1}b^q}(t_0, \infty) \neq 1$ .

Let  $\eta$  be a unit vector in  $\mathfrak{H}$  such that  $p^{p^{-1}a^p + q^{-1}b^q}(t_0, \infty)\eta = 0$  and  $p^{|ab|}(t_0, \infty)\eta = \eta$ . Again we have contradiction by Lemma 2.1 and the Compression Lemma (3.1). Thus,

$$|ab| \lesssim_{sp} p^{-1}a^p + q^{-1}b^q.$$

By Theorem 2.7, there is a unitary  $u$  in  $M$  such that

$$u |ab| u^* \leq p^{-1}a^p + q^{-1}b^q.$$

□

## REFERENCES

- [1] C.A. AKEMANN, J. ANDERSON AND G.K. PEDERSEN, Triangle inequalities in operators algebras, *Linear Multilinear Algebra*, **11** (1982), 167–178.
- [2] T. ANDO, Matrix Young inequalities, *Oper. Theory Adv. Appl.*, **75** (1995), 33–38.
- [3] R. BHATIA AND F. KITTANEH, On the singular values of a product of operators, *SIAM J. Matrix Anal. Appl.*, **11** (1990), 272–277.
- [4] J. ERLIJMAN, D.R. FARENICK AND R. ZENG, Young's inequality in compact operators, *Oper. Theory Adv. Appl.*, **130** (2001), 171–184.
- [5] R.V. KADISON AND J.R. RINGROSE, *Fundamentals of the Theory of Operator Algebras*, Volume II, Academic Press, New York, 1986.