



## THE ALEXANDER TRANSFORMATION OF A SUBCLASS OF SPIRALLIKE FUNCTIONS OF TYPE $\beta$

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**ABSTRACT.** In this paper, a subclass of spirallike function of type  $\beta$  denoted by  $\hat{S}_\alpha^\beta$  is introduced in the unit disc of the complex plane. We show that the Alexander transformation of class of  $\hat{S}_\alpha^\beta$  is univalent when  $\cos \beta \leq \frac{1}{2(1-\alpha)}$ , which generalizes the related results of some authors.

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### 1. INTRODUCTION

Let  $A$  denote the class of analytic functions  $f$  on the unit disk  $D = \{z \in \mathbb{C} : |z| < 1\}$  normalized by  $f(0) = 0$  and  $f'(0) = 1$ ,  $S$  denote the subclass of  $A$  consisting of univalent functions, and  $S^*$  denote starlike functions on  $D$ . Obviously,  $S^* \subset S \subset A$  holds.

In [1], Robertson introduced starlike functions of order  $\alpha$  on  $D$ .

**Definition 1.1.** Let  $\alpha \in [0, 1)$ ,  $f \in S$  and

$$\Re \left[ \frac{zf'(z)}{f(z)} \right] > \alpha, \quad z \in D.$$

We say that  $f$  is a starlike function of order  $\alpha$ . Let  $S^*(\alpha)$  denote the whole starlike functions of order  $\alpha$  on  $D$ .

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Spaček [2] extended the class of  $S^*$ , and obtained the class of spirallike functions of type  $\beta$ . In the same article, the author gave an analytical characterization of spirallikeness of type  $\beta$  on  $D$ .

**Theorem 1.1.** *Let  $f \in S$  and  $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$ . Then  $f(z)$  is a spirallike function of type  $\beta$  on  $D$  if and only if*

$$\Re \left[ e^{i\beta} \frac{zf'(z)}{f(z)} \right] > 0, \quad z \in D.$$

We denote the whole spirallike functions of type  $\beta$  on  $D$  by  $\hat{S}_\beta$ .

From Definition 1.1 and Theorem 1.1, it is easy to see that starlike functions of order  $\alpha$  and spirallike functions of type  $\beta$  have some relationships on geometry. Spirallike functions of type  $\beta$  map  $D$  into the right half complex plane by the mapping  $e^{i\beta} \frac{zf'(z)}{f(z)}$ , while starlike functions of order  $\alpha$  map  $D$  into the right half complex plane whose real part is greater than  $\alpha$  by the mapping  $\frac{zf'(z)}{f(z)}$ . Since  $\lim_{z \rightarrow 0} e^{i\beta} \frac{zf'(z)}{f(z)} = e^{i\beta}$ , we can deduce that if we restrict the image of the mapping  $e^{i\beta} \frac{zf'(z)}{f(z)}$  in the right complex plane whose real part is greater than a certain constant, then the constant must be smaller than  $\cos \beta$ . According to this, we introduce the functions class  $\hat{S}_\alpha^\beta$  on  $D$ .

**Definition 1.2.** Let  $\alpha \in [0, 1)$ ,  $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$ ,  $f \in S$ , then  $f \in \hat{S}_\alpha^\beta$  if and only if

$$\Re \left[ e^{i\beta} \frac{zf'(z)}{f(z)} \right] > \alpha \cos \beta, \quad z \in D.$$

Obviously, when  $\beta = 0$ ,  $f \in S^*(\alpha)$ ; while  $\alpha = 0$ ,  $f \in \hat{S}_\beta$ .

**Example 1.1.** Let  $f(z) = \frac{z}{(1-z)^{\frac{2(1-\alpha)}{1+i \tan \beta}}}$ ,  $z \in D$ . The branch of the power function is chosen such that

$$[(1-z)]^{\frac{2(1-\alpha)}{1+i \tan \beta}} \Big|_{z=0} = 1.$$

It is easily proved that  $f \in \hat{S}_\alpha^\beta$ . We omit the proof.

For our applications, we set  $\hat{S} = \bigcup_\beta \hat{S}_\alpha^\beta$ .

In this paper, we first establish the relationships among  $\hat{S}_\alpha^\beta$  and some important subclasses of  $S$ , then investigate the Alexander transformation of  $\hat{S}_\alpha^\beta$  preserving univalence. Furthermore, some other properties of the class of  $\hat{S}_\alpha^\beta$  are obtained. These results generalize the related works of some authors.

## 2. INTEGRAL TRANSFORMATIONS AND LEMMAS

**Integral Transformation 1.** *The integral transformation*

$$J[f](z) = \int_0^z \frac{f(\zeta)}{\zeta} d\zeta$$

is called the Alexander Transformation and it was introduced by Alexander in [4]. Alexander was the first to observe and prove that the Integral transformation  $J$  maps the class  $S^*$  of starlike functions onto the class  $K$  of convex functions in a one-to-one fashion.

In 1960, Biernacki conjectured that  $J(S) \subset S$ , but Krzyz and Lewandowski disproved it in 1963 by giving the example  $f(z) = z(1-iz)^{i-1}$ , which is a spirallike function of type  $\frac{\pi}{4}$  but is transformed into a non-univalent function by  $J$  [4]. In 1969, Robertson studied the Alexander Integral Transformation of spirallike functions of type  $\beta$ . The author showed that  $J(\hat{S}_\beta) \subset S$

holds when  $\beta$  satisfies a certain condition, that is  $\cos \beta \leq x_0$  (a constant). Robertson also noticed that  $x_0$  cannot be replaced by any number greater than  $\frac{1}{2}$  and asked about the best value for this [3]. In 2007, Y.C. Kim and T. Sugawa proved that  $J(\hat{S}_\beta) \subset S$  holds precisely when  $\cos \beta \leq \frac{1}{2}$  or  $\beta = 0$  [4].

**Integral Transformation 2.** Let  $\gamma \in \mathbb{C}$ ,  $f(z) \in A$  be locally univalent, and the Integral transformation  $I_\gamma$  [5] be defined by

$$I_\gamma[f](z) = \int_0^z [f'(\zeta)]^\gamma d\zeta = z \int_0^1 [f'(tz)]^\gamma dt.$$

Based on the definition of  $I_\gamma$ , we may easily show that  $I_\gamma \circ I_{\gamma'} = I_{\gamma\gamma'}$ .

Let  $A(F) = \{\gamma \in \mathbb{C} : I_\gamma(F) \subset S\}$ ,  $F \subset A$  be locally univalent. According to the definition of the  $A(F)$ ,  $J(\hat{S}_\alpha^\beta) \subset S$  is equivalent to  $1 \in A(J(\hat{S}_\alpha^\beta))$ .

For the proof of the theorems in this paper, we need the following lemma, which establishes the relationships among  $\hat{S}_\alpha^\beta$  and some important subclasses of  $S$ .

**Lemma 2.1.** For  $\alpha \in [0, 1)$ ,  $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$ ,  $c = e^{-i\beta} \cos \beta$ , the following assertions hold:

(i) ([6, 7])  $f \in S^*(\alpha)$  if and only if

$$\frac{f(z)}{z} = \left[ \frac{u(z)}{z} \right]^{1-\alpha}, \quad z \in D,$$

where  $u(z) \in S^*$ . The branch of the power function is chosen such that  $\left[ \frac{u(z)}{z} \right]^{1-\alpha} \Big|_{z=0} = 1$ .

(ii)  $f \in \hat{S}_\alpha^\beta$  if and only if

$$\frac{f(z)}{z} = \left[ \frac{g(z)}{z} \right]^c, \quad z \in D,$$

where  $g(z) \in S^*(\alpha)$ . The branch of the power function is chosen such that  $\left[ \frac{g(z)}{z} \right]^c \Big|_{z=0} = 1$ .

(iii)  $f \in \hat{S}_\alpha^\beta$  if and only if

$$\frac{f(z)}{z} = \left[ \frac{s(z)}{z} \right]^{(1-\alpha)c}, \quad z \in D,$$

where  $s(z) \in S^*$ . The branch of the power function is chosen such that  $\left[ \frac{s(z)}{z} \right]^{(1-\alpha)c} \Big|_{z=0} = 1$ .

Now we give the proof of (ii) and (iii).

*Proof.* (ii). First, assume that  $f(z) \in \hat{S}_\alpha^\beta$ . Setting  $g(z) = z \left[ \frac{f(z)}{z} \right]^{\frac{e^{i\beta}}{\cos \beta}}$ , through simple calculations we may obtain the equality

$$\frac{zg'(z)}{g(z)} = (1 + i \tan \beta) \frac{zf'(z)}{f(z)} - i \tan \beta.$$

Therefore the following inequality holds,

$$\Re \left[ \frac{zg'(z)}{g(z)} \right] = \frac{1}{\cos \beta} \Re \left[ e^{i\beta} \frac{zf'(z)}{f(z)} \right] > \frac{\alpha \cos \beta}{\cos \beta} = \alpha,$$

namely  $g(z) \in S^*(\alpha)$ .

Conversely, suppose  $g(z) \in S^*(\alpha)$ , then according to the above calculation, we have the inequality

$$\frac{1}{\cos \beta} \Re e \left[ e^{i\beta} \frac{zf'(z)}{f(z)} \right] = \Re e \left[ \frac{zg'(z)}{g(z)} \right] > \alpha.$$

This implies

$$\Re e \left[ e^{i\beta} \frac{zf'(z)}{f(z)} \right] > \alpha \cos \beta,$$

i.e.,  $f(z) \in \hat{S}_\alpha^\beta$ .

(iii). It is easy to see from (ii) that  $f \in \hat{S}_\alpha^\beta$  if and only if  $g \in S^*(\alpha)$  such that  $\frac{f(z)}{z} = \left[ \frac{g(z)}{z} \right]^c$ , here  $c = e^{-i\beta} \cos \beta$ . Noting that  $g(z) \in S^*(\alpha)$  if and only if  $s(z) \in S^*$  such that  $\frac{g(z)}{z} = \left[ \frac{s(z)}{z} \right]^{1-\alpha}$  which holds in (i), we may obtain an important relationship between the class of  $\hat{S}_\alpha^\beta$  and the class of  $S^*$ :  $f \in \hat{S}_\alpha^\beta$  if and only if there exists  $s(z) \in S^*$  such that  $\frac{f(z)}{z} = \left[ \frac{s(z)}{z} \right]^{(1-\alpha)c}$ . Here,  $c = e^{-i\beta} \cos \beta$  and the branch of the power function is chosen such that  $\left[ \frac{s(z)}{z} \right]^{(1-\alpha)c} \Big|_{z=0} = 1$ .  $\square$

Lemma 2.1 expresses the relations of the  $\hat{S}_\alpha^\beta$  and  $S^*$  classes, which play a key role in this paper.

**Lemma 2.2** ([5], [8]).  $A(K) = \{|\gamma| \leq \frac{1}{2}\} \cup [\frac{1}{2}, \frac{3}{2}]$ .

**Lemma 2.3.** For  $\alpha \in [0, 1)$ ,  $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$ ,  $J(\hat{S}_\alpha^\beta) = I_{(1-\alpha)e^{-i\beta} \cos \beta}(K)$ .

*Proof.* Let  $f \in J(\hat{S}_\alpha^\beta)$ , then there exists  $g(z) \in \hat{S}_\alpha^\beta$  such that  $f(z) = \int_0^z \frac{g(\zeta)}{\zeta} d\zeta$ . According to (iii) of Lemma 2.1 there is  $s(z) \in S^*$  such that

$$g(z) = z \left[ \frac{s(z)}{z} \right]^{(1-\alpha)e^{-i\beta} \cos \beta},$$

therefore

$$f(z) = \int_0^z \left[ \frac{s(\zeta)}{\zeta} \right]^{(1-\alpha)e^{-i\beta} \cos \beta} d\zeta.$$

By the relationship of the  $S^*$  class and the  $K$  class, there exists  $u(z) \in K$  such that  $s(z) = zu'(z)$ , thus

$$f(z) = \int_0^z [u'(\zeta)]^{(1-\alpha)e^{-i\beta} \cos \beta} d\zeta,$$

i.e.,  $f(z) \in I_{(1-\alpha)e^{-i\beta} \cos \beta}(K)$ . As a result,  $J(\hat{S}_\alpha^\beta) \subset I_{(1-\alpha)e^{-i\beta} \cos \beta}(K)$  holds.

Conversely, when  $f(z) \in I_{(1-\alpha)e^{-i\beta} \cos \beta}(K)$ , we can trace back the above procedure to get  $f \in J(\hat{S}_\alpha^\beta)$ , so  $I_{(1-\alpha)e^{-i\beta} \cos \beta}(K) \subset J(\hat{S}_\alpha^\beta)$ .

From the above proof, we obtain the assertion.  $\square$

**Remark 1.** If, in the hypothesis of Lemma 2.3, we set  $\alpha = 0$ , we arrive at Lemma 4 of [4].

### 3. THE MAIN RESULTS AND THEIR PROOFS

In this section, we let  $[z, w]$  denote the closed line segment with endpoints  $z$  and  $w$  for  $z, w \in \mathbb{C}$ .

Now we give the main results and their proofs.

**Theorem 3.1.** For  $\alpha \in [0, 1)$ ,  $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$ ,

$$A(J(\hat{S}_\alpha^\beta)) = \left\{ |\gamma| \leq \frac{1}{2(1-\alpha)\cos\beta} \right\} \cup \left\{ \frac{e^{i\beta}}{2(1-\alpha)\cos\beta}, \frac{3e^{i\beta}}{2(1-\alpha)\cos\beta} \right\}.$$

*Proof.* By Lemma 2.3, we have

$$I_\gamma(J(\hat{S}_\alpha^\beta)) = I_\gamma(I_{(1-\alpha)e^{-i\beta}\cos\beta}(K)) = I_{\gamma(1-\alpha)e^{-i\beta}\cos\beta}(K).$$

Therefore,  $\gamma \in A(J(\hat{S}_\alpha^\beta))$  if and only if  $\gamma(1-\alpha)e^{-i\beta}\cos\beta \in A(K)$ , and by Lemma 2.2 we may easily get the result.  $\square$

**Remark 2.** In this theorem, if we set  $\alpha = 0$ , we obtain Theorem 3 of [4].

**Theorem 3.2.** For  $\alpha \in [0, 1)$ ,  $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$ , the inclusion relation  $J(\hat{S}_\alpha^\beta) \subset S$  holds precisely if either  $\cos\beta \leq \frac{1}{2(1-\alpha)}$  or  $\alpha = \beta = 0$ .

*Proof.* As  $\alpha = \beta = 0$ , the result holds evidently by Integral transformation 1; while for  $\alpha = 0$  and  $\beta \neq 0$ , the result is Theorem 1 of [4] and was proved by Y.C. Kim and T. Sugawa [4].

If  $\alpha \neq 0$  and  $\beta = 0$ , then  $f(z) \in S^*(\alpha)$ . By Lemma 2.1(i), there exists  $u(z) \in S^*$  such that  $u(z) = z \left( \frac{f(z)}{z} \right)^{\frac{1}{1-\alpha}}$ . The branch of the power function is chosen such that  $\left( \frac{f(z)}{z} \right)^{\frac{1}{1-\alpha}} \Big|_{z=0} = 1$ .

From Integral transformation 1, we can easily see that there exists  $g(z) \in J(\hat{S}_\alpha^\beta)$  such that

$$g(z) = \int_0^z \left( \frac{f(\zeta)}{\zeta} \right)^{\frac{1}{1-\alpha}} d\zeta.$$

For

$$\Re \left[ 1 + \frac{zg''(z)}{g'(z)} \right] = \Re \left[ \frac{1}{1-\alpha} \frac{zf'(z)}{f(z)} \right]$$

and  $\Re \left[ \frac{zf'(z)}{f(z)} \right] > \alpha$ , we can deduce that  $\Re \left[ 1 + \frac{zg''(z)}{g'(z)} \right] > 0$ . This implies  $g(z) \in K$  and  $J(S^*(\alpha)) \subset S$ .

Now let  $\alpha \neq 0$  and  $\beta \neq 0$ . Since  $J(\hat{S}_\alpha^\beta) \subset S$  is equivalent to  $1 \in A(J(\hat{S}_\alpha^\beta))$  and  $1 \notin \left[ \frac{e^{i\beta}}{2(1-\alpha)\cos\beta}, \frac{3e^{i\beta}}{2(1-\alpha)\cos\beta} \right]$ , by Theorem 3.1, we deduce that  $1 \leq \frac{1}{2(1-\alpha)\cos\beta}$ , i.e.,  $\cos\beta \leq \frac{1}{2(1-\alpha)}$ .

Summarizing the above procedure, for  $\alpha \in [0, 1)$ ,  $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$ ,  $J(\hat{S}_\alpha^\beta) \subset S$  holds when  $\cos\beta \leq \frac{1}{2(1-\alpha)}$  or  $\alpha = \beta = 0$ . This completes the proof.  $\square$

**Remark 3.** This theorem is an extension of Theorem 1 of [4]. Indeed, if we set  $\alpha = 0$ , we will obtain the result of [4].

**Theorem 3.3.** For  $\alpha \in [0, 1)$ ,  $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$ ,

$$A(J(\hat{S})) = \left\{ |\gamma| \leq \frac{1}{2(1-\alpha)\cos\beta} \right\}.$$

*Proof.* In view of  $\hat{S} = \bigcup_\beta \hat{S}_\alpha^\beta$  and  $A(F) = \{\gamma \in \mathbb{C} : I_\gamma(F) \subset S\}$ , we deduce  $A(J(\hat{S})) = \bigcap_\beta A(J(\hat{S}_\alpha^\beta))$ . With the aid of Theorem 3.1, a simple observation gives  $A(J(\hat{S})) = \left\{ |\gamma| \leq \frac{1}{2(1-\alpha)\cos\beta} \right\}$ . Thus the proof is now complete.  $\square$

**Remark 4.** For  $\alpha = \beta = 0$ , Theorem 3.3 implies the Theorem 2 of [4].

At the end of this paper, we mention the norm estimate of pre-Schwarzian derivatives. The hyperbolic norm of the pre-Schwarzian derivative  $T_f = f''/f'$  of  $f \in A$  is defined to be

$$\|f\| = \sup_{|z|<1} (1 - |z|^2) |T_f(z)|.$$

It is known that  $f$  is bounded if  $\|f\| < 2$  and the bound depends only on the value of  $\|f\|$  ([9]). Since

$$\begin{aligned} \|I_\gamma[f]\| &= \sup_{|z|<1} (1 - |z|^2) \left| \frac{(\int_0^z [f'(\zeta)]^\gamma d\zeta)''}{(\int_0^z [f'(\zeta)]^\gamma)' } \right| \\ &= \sup_{|z|<1} (1 - |z|^2) \left| \frac{([f'(z)]^\gamma)' }{[f'(z)]^\gamma} \right| \\ &= \sup_{|z|<1} (1 - |z|^2) \left| \frac{\gamma f''(z)}{f'(z)} \right| = |\gamma| \|f\|. \end{aligned}$$

We obtain the following assertion.

**Proposition 3.4.** For each  $\alpha \in [0, 1)$ ,  $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$ , the sharp inequality  $\|f\| \leq 4(1 - \alpha) \cos \beta$  holds for  $f \in J(\hat{S}_\alpha^\beta)$ . Moreover, if  $\cos \beta < \frac{1}{2(1-\alpha)}$ , then a function in  $J(\hat{S}_\alpha^\beta)$  is bounded by a constant depending on  $\alpha$  and  $\beta$ .

*Proof.* For each  $f \in J(\hat{S}_\alpha^\beta)$ , by Lemma 2.3, there is a function  $k \in K$  such that  $f = I_\gamma(k)$ , where  $\gamma = (1 - \alpha)e^{-i\beta} \cos \beta$ . Noting that  $\|k\| \leq 4$  [10], we obtain the following inequality

$$\|f\| = |\gamma| \|k\| \leq 4|\gamma| = 4(1 - \alpha) \cos \beta.$$

Since the inequality  $\|k\| \leq 4$  is sharp, the above inequality is also sharp. If  $\cos \beta < \frac{1}{2(1-\alpha)}$ , the above inequality implies  $\|f\| \leq 4(1 - \alpha) \cos \beta < 2$ , so  $f$  is bounded by a constant depending on  $\alpha$  and  $\beta$ .  $\square$

**Remark 5.** If, in the statement of Proposition 3.4, we set  $\alpha = 0$ , we arrive at the result of [4].

In the above proposition, the bound  $\frac{1}{2}$  cannot be replaced by any number greater than  $\frac{1}{\sqrt{2(1-\alpha)}}$ . Indeed, by the Alexander transformation, if the function

$$g(z) = z(1 - z)^{-2(1-\alpha)e^{-i\beta} \cos \beta} \in \hat{S}_\alpha^\beta,$$

then the function

$$f(z) = \frac{(1 - z)^{1-2(1-\alpha)e^{-i\beta} \cos \beta} - 1}{2(1 - \alpha)e^{-i\beta} \cos \beta - 1} \in J(\hat{S}_\alpha^\beta),$$

and we may verify that the latter is unbounded when  $\cos \beta > \frac{1}{\sqrt{2(1-\alpha)}}$ .

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