

## ON CHAINS OF CENTERED VALUATIONS

RACHID CHIBLOUN

Received 5 June 2002

We study chains of centered valuations of a domain  $A$  and chains of centered valuations of  $A[X_1, \dots, X_n]$  corresponding to valuations of  $A$ . Finally, we make some applications to chains of valuations centered on the same ideal of  $A[X_1, \dots, X_n]$  and extending the same valuation of  $A$ .

2000 Mathematics Subject Classification: 13A18, 13F20.

**1. Introduction and preliminary results.** All rings will be commutative with unit element. The field of fractions of a domain  $R$  will be denoted by  $\text{Fr}(R)$ . Let  $R \subseteq S$  be domains and take a prime ideal  $p \in \text{Spec}(R)$ . Then we write  $k(p) = \text{Fr}(R/p)$  and denote the transcendence degree of  $\text{Fr}(S)$  over  $\text{Fr}(R)$  by  $\text{trdeg}_R^S$ . We will use the following notation:  $R[n] = R[X_1, \dots, X_n]$ ,  $p[n] = p[X_1, \dots, X_n]$ , and  $\text{Fr}(R)(n) = \text{Fr}(R)(X_1, \dots, X_n)$ . Let  $P \in \text{Spec}(R[n])$ ,  $P$  lies over  $p$  if  $P \cap R = p$ . We call  $P \in \text{Spec}(R[1])$  a superior of  $p$  if  $P$  lies over  $p$  and  $P \neq p[1]$ . Let  $A$  be a subring of a field  $K$ ,  $L/K$  a field extension, and  $v$  a valuation on  $K$ . The subring  $A_v = \{x \in K \mid v(x) \geq 0\}$  is the valuation ring associated to  $v$ ,  $m(v) = \{x \in K \mid v(x) > 0\}$  is its maximal ideal, and  $k_v = A_v/m(v)$  is its residue field. The valuation  $v$  is positive on  $A$  if  $A \subseteq A_v$ , and then  $v$  is a valuation on  $A$ . The prime ideal  $m(v) \cap A$  is called the center of  $v$  on  $A$ . The valuation  $v$  is called trivial if  $A_v = K$ . If  $v'$  is a valuation on  $k_v$ , then the set  $\{x \in K \mid x \in A_v, \bar{x} \in A_{v'}\}$  is a valuation ring on  $K$ . The valuation associated to this valuation ring is called the composite valuation and is denoted by  $v_1 = v'v$ .

Let  $v, v'$  be valuations on  $K$ . By definition,  $v \leq v'$  if one of the following equivalent conditions is satisfied:

- (1)  $A_{v'} \subseteq A_v$ ,
- (2)  $m(v) \subseteq m(v')$ ,
- (3)  $v' = v''v$  for some valuation on  $k_v$ ;

$v$  and  $v'$  are called equivalent if  $A_{v'} = A_v$ ;  $v < v'$  if  $v \leq v'$  but not equivalent. A valuation  $w$  on  $L$  is an extension of  $v$  if  $A_w = A_v \cap L$ . The valuation  $w$  on  $K(X)$  given by

$$w \left( \sum_{i=0}^n a_i (X - a)^i \right) = \inf \{v(a_i) \mid 0 \leq i \leq n\} \quad (1.1)$$

is called the canonical extension of  $v$  to  $K(X)$ . We have that  $k_w = k_v(X)$ .

The following classical results will be used in this paper; the proofs can be found in [2, Proposition 1.2], [4, Theorem 1.5], and [6, Propositions 1.1, 1.3, and 1.4].

**PROPOSITION 1.1.** *Let  $v$  be a valuation on  $K$  and  $w_0 < w_1$  two valuations on  $L$  extending  $v$ . If  $\text{trdeg}_{k_v}^{k_{w_0}}$  is finite, then*

$$\text{trdeg}_{k_v}^{k_{w_1}} < \text{trdeg}_{k_v}^{k_{w_0}}. \tag{1.2}$$

**PROPOSITION 1.2.** *Let  $v_0 < v_1$  be two valuations on  $K$  and  $w_1$  a valuation on  $L$  extending  $v_1$ . Then there exists a valuation  $w_0$  on  $L$  extending  $v_0$ , with  $w_0 < w_1$ .*

**PROPOSITION 1.3.** *Let  $w$  be a valuation on  $L$  and  $v$  its restriction to  $K$ . If  $\text{trdeg}_K^L$  is finite, then*

$$\text{trdeg}_{k_v}^{k_w} \leq \text{trdeg}_K^L. \tag{1.3}$$

**PROPOSITION 1.4.** *If  $p \subseteq q$  in  $\text{Spec}(A)$  and if  $v_0$  is a valuation of  $K$  with center  $p$  on  $A$ , then there exists a valuation  $v_1$  of  $K$  with center  $q$  on  $A$  such that  $v_0 \leq v_1$ .*

**THEOREM 1.5.** *Let  $f : A \rightarrow B$  be a homomorphism of domains. Then there exist an algebraic extension  $L'$  of  $\text{Fr}(B)$  and a valuation  $v$  on  $K$  with center  $\text{Ker}(f)$  on  $A$  such that*

$$A/\text{Ker}(f) \subseteq k_v \subseteq L. \tag{1.4}$$

In this paper, we will study chains of valuations of a polynomial ring  $A[X_1, \dots, X_n]$  and of a field extension  $F$  of  $\text{Fr}(A)$ . We give the length of chains of valuations which pass through a given valuation, and we characterize when a valuation is maximal or minimal in the following situations:

- (a) all the valuations are centered on the same ideal,
- (b) all the valuations extend the same valuation of  $\text{Fr}(A)$ .

Then we study chains of centered valuations on a domain  $A$  and chains of centered valuations on  $A[X_1, \dots, X_n]$  corresponding to valuations on  $A$ . Finally, we give some applications to chains of valuations centered on the same ideal of  $A[X_1, \dots, X_n]$  and extending the same valuation on  $A$ .

**2. Valuations centered on the same ideal.** Throughout this section,  $K$  is the quotient field of an integral domain  $A$ ,  $L$  is a field extension of  $K$ , and  $v$  is a valuation on  $A$ .

**PROPOSITION 2.1.** *There exist  $n + 1$  valuations  $w_0 < \dots < w_n$  on  $A[n]$  extending  $v$  in such a way that, for each  $i \in \{0, \dots, n\}$ ,*

$$\text{trdeg}_{k_v}^{k_{w_i}} = n - i. \tag{2.1}$$

**PROOF.** Let  $k = k_v$  and let  $w_0$  be the canonical extension of  $v$  to  $K(X)$ . It is well known that  $k_{w_0} = k(X)$ . Let  $w$  be a valuation on  $k(X)$ , positive on  $k[X]$  and with center  $(X)$  on  $k[X]$ , and  $w_1 = w w_0$  the composite valuation of  $w$  and  $w_0$ . The valuation  $w_0 < w_1$  as  $w$  is not trivial, so  $A_{w_1} \cap K \subseteq A_{w_0} \cap K = A_v$  and it is easy to see that

$$A_v \subseteq \{z \in K(X) \mid z \in A_{w_0}, \bar{z} \in A_w\} \cap K. \tag{2.2}$$

Therefore,  $w_1$  extends  $v$ . As  $A[X] \subseteq A_{w_0}$  and  $k[X] \subseteq A_w$ ,  $X \in A_{w_0}$  and  $\bar{X} = X \in A_w$ , that is,  $X \in A_{w_1}$ , and  $w_1$  is a valuation of  $A[X]$ . We have  $\text{trdeg}_{k_v}^{k_{w_0}} = 1$ , and according to Proposition 1.1,  $0 \leq \text{trdeg}_{k_v}^{k_{w_1}} < \text{trdeg}_{k_v}^{k_{w_0}} = 1$ , so  $\text{trdeg}_{k_v}^{k_{w_1}} = 0$ .

Let  $n > 1$  and suppose that the property is true for  $n - 1$ . There exists  $v_0 < v_1$ , two valuations of  $A[X_1]$  extending  $v$ , and for each  $i \in \{0, 1\}$ ,  $\text{trdeg}_{k_v}^{k_{v_i}} = 1 - i$ . There exists  $n$  valuations  $w_1 < \dots < w_n$  of  $A[n]$  extending  $v_1$ , and for each  $i \in \{1, \dots, n\}$ , we have that  $\text{trdeg}_{k_{v_1}}^{k_{w_i}} = n - i$ . According to Proposition 1.2, there exists a valuation  $w'_0$  of  $K(X_1, \dots, X_n)$  extending  $v_0$  and  $w'_0 < w_1 < \dots < w_n$ . The valuation  $w'_0$  is a valuation of  $A[n]$  because  $A[n] \subseteq A_{w_1} \subset A_{w'_0}$ . For each  $i \in \{1, \dots, n\}$ ,  $w_i$  extends  $v$  and  $\text{trdeg}_{k_v}^{k_{w_i}} = \text{trdeg}_{k_{v_1}}^{k_{w_i}} + \text{trdeg}_{k_v}^{k_{v_1}} = n - i$ ,  $w'_0$  extends  $v$ , and

$$\begin{aligned} \text{trdeg}_{k_v}^{k_{w'_0}} &= \text{trdeg}_{k_{v_0}}^{k_{w'_0}} + \text{trdeg}_{k_v}^{k_{v_0}} \\ &= \text{trdeg}_{k_{v_0}}^{k_{w'_0}} + 1 > \text{trdeg}_{k_v}^{k_{w_1}} = n - 1, \end{aligned} \tag{2.3}$$

according to Proposition 1.3,  $n - 1 < \text{trdeg}_{k_v}^{k_{w'_0}} \leq n$ , that is,  $\text{trdeg}_{k_v}^{k_{w'_0}} = n$ .  $\square$

**COROLLARY 2.2.** *If  $\text{trdeg}_K^L = n$ , then there exist  $n + 1$  valuations  $w_0 < \dots < w_n$  on  $L$  extending  $v$  such that  $\text{trdeg}_{k_v}^{k_{w_i}} = n - i$  for all  $i \in \{0, \dots, n\}$ .*

**PROOF.** Let  $\{x_1, \dots, x_n\}$  be a transcendence basis of  $L$  over  $K$ ,  $v$  a valuation of  $A_v$ , and  $A_v[x_1, \dots, x_n] \cong A_v[X_1, \dots, X_n]$ . According to Proposition 2.1, there exist  $n + 1$  valuations  $v_0 < \dots < v_n$  on  $K(x_1, \dots, x_n)$  extending  $v$  such that  $\text{trdeg}_{k_v}^{k_{v_i}} = n - i$  for each  $i \in \{0, \dots, n\}$ . Let  $w_n$  be a valuation of  $L$  extending  $v_n$ . Applying Proposition 1.2, we obtain  $n + 1$  valuations  $w_0 < \dots < w_n$  of  $L$  such that for each  $i \in \{0, \dots, n\}$ ,  $w_i$  prolongs  $v_i$ , then  $w_i$  prolongs  $v$ , and

$$\text{trdeg}_{k_v}^{k_{w_i}} = \text{trdeg}_{k_{v_i}}^{k_{w_i}} + \text{trdeg}_{k_v}^{k_{v_i}} = n - i. \tag{2.4}$$

$\square$

**LEMMA 2.3.** *Let  $v_0$  be a valuation on  $L$  with center  $q$  on  $A$ . For each  $k \in \mathbb{N}$  strictly smaller than  $\text{trdeg}_{k(q)}^{k_{v_0}}$ , there exists a valuation  $v_1$  of  $L$  with center  $q$  on  $A$  such that  $v_0 < v_1$  and  $\text{trdeg}_{k(q)}^{k_{v_1}} = k$ .*

**PROOF.** Let  $\{z_1, \dots, z_{k+1}\}$  be a family of elements of  $k_{v_0}$ , algebraically independent over  $k(q)$ . According to [Theorem 1.5](#), there exist an algebraic extension  $L'$  of  $k(q)(z_1, \dots, z_k)$  and a valuation  $v'$  of  $k_{v_0}$  with center  $(z_{k+1})$  on  $(A/q)[z_1, \dots, z_{k+1}]$ , such that  $(A/q)[z_1, \dots, z_k] \subseteq k_{v'} \subseteq L'$ . Let  $v_1 = v'v_0$  be the composite valuation of  $v'$  with  $v_0$ ,  $v_1$  is a valuation of  $L$ . For each  $b \in A$ ,  $b \in A_{v_0}$  and  $\bar{b} \in A/q \subseteq A_{v'}$ , that is,  $b \in A_{v_1}$ , and if  $a \in m(v_1) \cap A$ , then  $\bar{a} \in (m(v_1)/m(v_0)) \cap (A/q) = m(v') \cap (A/q) = (0)$ , that is,  $a \in q$  and  $m(v_1) \cap A \subseteq q$  or  $q = m(v_0) \cap A \subseteq m(v_1) \cap A$ ; therefore the center of  $v_1$  on  $A$  is  $q$ . As  $A_{v'} = A_{v_1}/m(v_0)$ ,  $m(v') = m(v_1)/m(v_0)$  and  $k_{v'} = A_{v'}/m(v') = A_{v_1}/m(v_1)$ . Thus,  $v_0 < v_1$  and  $\text{trdeg}_{k(q)}^{k_{v_1}} = \text{trdeg}_{k(q)}^{k_{v'}} = \text{trdeg}_{k(q)}^{k(q)(z_1, \dots, z_k)} = k$ .  $\square$

**THEOREM 2.4.** *Let  $w$  be a valuation on  $L$  with center  $q$  on  $A$ . Then  $\text{trdeg}_{k(q)}^{k_w}$  is the supremum of all natural numbers  $\bar{k}$  for which there exists a chain of valuations  $w = w_0 < \dots < w_{\bar{k}}$  on  $L$ , with center  $q$  on  $A$ .*

**PROOF.** Suppose that we have a chain of valuations  $w = w_0 < \dots < w_k$  on  $L$ , with center  $q$  on  $A$ . If  $\text{trdeg}_{k(q)}^{k_w}$  is finite, then it follows from [Proposition 1.1](#) that

$$0 \leq \text{trdeg}_{k(q)}^{k_{w_k}} < \dots < \text{trdeg}_{k(q)}^{k_{w_0}} = \text{trdeg}_{k(q)}^{k_w}, \quad (2.5)$$

and consequently  $k \leq \text{trdeg}_{k(q)}^{k_w}$ . This proves that  $\bar{k} \leq \text{trdeg}_{k(q)}^{k_w}$ .

To prove the converse inequality, we consider two different cases:

- (a)  $\text{trdeg}_{k(q)}^{k_w} = k_1 \in \mathbb{N}$  is finite. If  $k_1 = 0$ , then there is nothing to prove. Take  $k_1 > 0$ . By [Lemma 2.3](#), there exists a valuation  $w_1$  on  $L$  with center  $q$  on  $A$  such that  $w < w_1$  and  $\text{trdeg}_{k(q)}^{k_{w_1}} = k_1 - 1$ . Using an easy induction argument, we find  $k_1 + 1$  valuations with  $w = w_0 < \dots < w_k$  on  $L$ , all with center  $q$  on  $A$ ;
- (b)  $\text{trdeg}_{k(q)}^{k_w} = \infty$ . By [Lemma 2.3](#), we can find, for every  $k \in \mathbb{N}$ , a valuation  $w_1$  on  $L$  with center  $q$  on  $A$  such that  $\text{trdeg}_{k(q)}^{k_{w_1}} = k$ . It then follows from (a) that there exists a chain of valuations  $w = w_0 < \dots < w_k$  of  $L$ , all with center  $q$  on  $A$ . We can do this for every  $k \in \mathbb{N}$ , hence the supremum is infinite.  $\square$

**LEMMA 2.5.** *Let  $w$  be a valuation on  $A[n]$  with center  $q$  on  $A$ .*

- (a) *If  $\text{trdeg}_{k(q)}^{k_w} = \infty$ , then for every  $k \in \mathbb{N}$ , there exists a valuation  $w_1$  on  $A[n]$  with center  $q$  on  $A$  such that  $w < w_1$  and  $\text{trdeg}_{k(q)}^{k_{w_1}} = k$ .*
- (b) *If  $\text{trdeg}_{k(q)}^{k_w} = k \in \mathbb{N}$ , then there exists a chain of valuations  $w = w_0 < \dots < w_k$  on  $A[n]$ , all with center  $q$  on  $A$ .*

**PROOF.** (a) Let  $Q$  be the center of  $w$  on  $A[n]$  and  $k_1 = \text{trdeg}_{A/q}^{A[n]/Q}$ . We know that  $k_1 = n - \text{ht}(Q/q[n])$ , where  $\text{ht}(Q/q[n])$  means the height of the prime ideal  $Q/q[n]$ , and there exists a chain  $Q = Q_0 \subset \dots \subset Q_{k_1}$  of prime ideals of  $A[n]$ , all lying over  $q$ .

Assume first that  $k < k_1$ ; then there exists  $i \in \{1, \dots, k_1\}$  such that  $\text{trdeg}_{\mathfrak{S}_{A/q}}^{A[n]/Q_i} = k$ . Let  $w''$  be a valuation on  $A[n]$  with center  $Q_i$  and  $w < w''$  (see Proposition 1.4). According to Lemma 2.3, there exists a valuation  $w_1$  on  $A[n]$  with center  $Q_i$  such that  $w'' \leq w_1$  and  $\text{trdeg}_{\mathfrak{S}_{A[n]/Q_i}^{k_{w_1}}} = 0$ . Thus,  $w < w_1$  and  $\text{trdeg}_{k(q)}^{k_{w_1}} = \text{trdeg}_{\mathfrak{S}_{A[n]/Q_i}^{k_{w_1}}} + \text{trdeg}_{\mathfrak{S}_{A/q}}^{A[n]/Q_i} = k$ .

Now assume that  $k \geq k_1$  and let  $\alpha = k - k_1$ . By Theorem 2.4, there exists a valuation  $w_1$  on  $A[n]$  with center  $Q$  such that  $w < w_1$  and  $\text{trdeg}_{\mathfrak{S}_{A[n]/Q}^{k_{w_1}}} = \alpha$ , hence

$$\text{trdeg}_{\mathfrak{S}_{A/q}^{k_{w_1}}} = \text{trdeg}_{\mathfrak{S}_{A[n]/Q}^{k_{w_1}}} + \text{trdeg}_{\mathfrak{S}_{A/q}}^{A[n]/Q} = \alpha + k_1 = k. \tag{2.6}$$

(b) Let  $Q$  be the center of  $w$  on  $A[n]$  and  $k_1 = \text{trdeg}_{\mathfrak{S}_{A[n]/Q}^{k_w}}$ . According to Theorem 2.4, there exists a chain of valuations  $w = w_0 < \dots < w_{k_1}$  on  $A[n]$ , all with center  $Q$ , such that  $\text{trdeg}_{\mathfrak{S}_{A[n]/Q}^{k_{w_i}}} = k_1 - i$  for each  $i \in \{0, \dots, k_1\}$ . Let  $\alpha = \text{trdeg}_{\mathfrak{S}_{A/q}}^{A[n]/Q}$ ; then there exists a chain  $Q = Q_0 \subset \dots \subset Q_\alpha$  of prime ideals of  $A[n]$  lying over  $q$ . According to Proposition 1.4, there exist  $\alpha + 1$  valuations  $w_{k_1} < \dots < w_{k_1+\alpha} = w_k$  on  $A[n]$  such that  $w_{k_1+j}$  has center  $Q_j$  on  $A[n]$  for each  $j \in \{0, \dots, \alpha\}$ . Therefore,  $w_{k_1+j}$  has center  $q$  on  $A$ , and the chain of valuations  $w_0 < \dots < w_k$  meets the requirements.  $\square$

**THEOREM 2.6.** *Let  $w$  be a valuation on  $A[n]$  with center  $q$  on  $A$ . Then  $\text{trdeg}_{\mathfrak{S}_{A/q}^{k_w}}$  is the supremum of all natural numbers  $\bar{k}$  such that there exists a chain of valuations  $w = w_0 < \dots < w_{\bar{k}}$  on  $A[n]$  with center  $q$  on  $A$ .*

**PROOF.** Let  $w = w_0 < \dots < w_k$  be a chain of valuations on  $A[n]$  with center  $q$  on  $A$ . If  $\text{trdeg}_{\mathfrak{S}_{A/q}^{k_w}}$  is finite, then  $0 \leq \text{trdeg}_{\mathfrak{S}_{A/q}^{k_{w_k}}} < \dots < \text{trdeg}_{\mathfrak{S}_{A/q}^{k_{w_0}}}$ , so  $k \leq \text{trdeg}_{\mathfrak{S}_{A/q}^{k_w}}$ , and it follows that  $\bar{k} < \text{trdeg}_{\mathfrak{S}_{A/q}^{k_w}}$ .

Take  $k \leq \text{trdeg}_{\mathfrak{S}_{A/q}^{k_w}}$ . We distinguish two cases:

- (1)  $\text{trdeg}_{\mathfrak{S}_{A/q}^{k_w}}$  is finite. It follows from Lemma 2.5(b) that there exists a chain of valuations  $w = w_0 < \dots < w_k$  on  $A[n]$  with center  $q$  on  $A$ ;
  - (2)  $\text{trdeg}_{\mathfrak{S}_{A/q}^{k_w}}$  is infinite. It follows from Lemma 2.5(a) that there exists a valuation  $w_1$  on  $A[n]$  with center  $q$  on  $A$  such that  $w < w_1$  and  $\text{trdeg}_{\mathfrak{S}_{A/q}^{k_{w_1}}} = k$ .
- In both cases, we obtain the existence of a chain of valuations  $w = w_0 < \dots < w_k$  on  $A[n]$ , all with center  $q$  on  $A$ .  $\square$

**PROPOSITION 2.7.** *Let  $w$  be a valuation on  $A[n]$  (resp.,  $L$ ) extending  $v$ . Then  $\text{trdeg}_{k_v}^{k_w}$  is the supremum of the set of integers  $k$  such that there exists a chain of valuations  $w = w_0 < \dots < w_k$  on  $A[n]$  (resp.,  $L$ ) extending  $v$ .*

**PROOF.** Let  $w'$  be a valuation on  $K(n)$  (resp.,  $L$ ). We first show that  $w'$  is a valuation on  $A[n]$  (resp.,  $L$ ) extending  $v$  if and only if  $w'$  is a valuation on  $A_v[n]$  (resp.,  $L$ ) with center  $m(v)$  on  $A_v$ .

First, assume that  $w'$  is a valuation on  $A[n]$  (resp.,  $L$ ) extending  $v$ . Then  $A_{w'} \cap K = A_v$  and  $w'$  is a valuation on  $A[n]$  (resp.,  $L$ ), hence  $w'$  is a valuation

on  $A_v[n]$  (resp.,  $L$ ) and

$$m(w') \cap A_v = m(w') \cap K \cap A_v = m(v) \cap A_v = m(v). \tag{2.7}$$

Conversely,  $A[n] \subseteq A_v[n] \subseteq A_{w'}$ , therefore  $A_v \subseteq A_{w'} \cap K$ . If  $z \in A_{w'} \cap K$  and  $z \notin A_v$ , then  $z^{-1} \in m(v) = m(w') \cap K$ , a contradiction. Hence,  $A_{w'} \cap K = A_v$  and  $w'$  extends  $v$ .

To finish the proof, it suffices to apply Theorems 2.4 and 2.6 to  $w$  and  $m(v) \in \text{Spec}(A_v)$ . □

**COROLLARY 2.8.** (a) *Let  $w$  be a valuation on  $A[n]$  (resp.,  $L$ ) with center  $q$  on  $A$ . Then  $\text{ht}(m(w)/qA_w)$  is the supremum  $\bar{k}$  of the integers  $k$  for which there exists a chain of valuations  $w_k < \dots < w_0 = w$  on  $A[n]$  (resp.,  $L$ ) with center  $q$  on  $A$ .*

(b) *Let  $w$  be a valuation on  $A[n]$  (resp.,  $L$ ) extending  $v$ . Then  $\text{ht}(m(w)/m(v)A_w)$  is the supremum  $\bar{k}$  of the integers  $k$  for which there exists a chain of valuations  $w_k < \dots < w_0 = w$  on  $A[n]$  (resp.,  $L$ ) extending  $v$ .*

**PROOF.** (a) Let  $w_k < \dots < w_0 = w$  be a chain of valuations on  $A[n]$  (resp.,  $L$ ) with center  $q$  on  $A$ . We have  $qA_w \subseteq m(w_k) \subset \dots \subset m(w_0) = m(w)$ , and therefore  $\text{ht}(m(w)/qA_w) \geq \bar{k}$ .

Conversely, let  $k_1 \in \mathbb{N}$  with  $k_1 \leq \text{ht}(m(w)/qA_w)$ . Then there exists a chain  $P_{k_1} \subset \dots \subset P_0 = m(w)$  in  $\text{Spec}(A_w)$  such that  $P_{k_1} \cap A = q$ . The valuation rings  $A_w = (A_w)_{P_0} \subset \dots \subset (A_w)_{P_{k_1}}$  of  $K(n)$  (resp.,  $L$ ) are all with center  $q$  on  $A$ . For each  $i \in \{0, \dots, k_1\}$ , let  $w_i$  be the valuation on  $K(n)$  (resp.,  $L$ ) associated to  $(A_w)_{P_i}$ . Then  $w_{k_1} < \dots < w_0 = w$  are valuations on  $A(n)$  (resp.,  $L$ ), all with center  $q$  on  $A$ .

(b) The proof follows immediately from (a) and the proof of Proposition 2.7. □

**COROLLARY 2.9.** (a) *A valuation  $w$  is a maximal (resp., minimal) element in the set of valuations on  $A[n]$  (resp.,  $L$ ) extending  $v$  if and only if  $\text{trdeg}_{k_v}^{k_w} = 0$  (resp.,  $\text{ht}(m(w)/m(v)A_w) = 0$ ).*

(b) *A valuation  $w$  is a maximal (resp., minimal) element in the set of valuations on  $A[n]$  (resp.,  $L$ ) with center  $q$  on  $A$  if and only if  $\text{trdeg}_{A/q}^{k_w} = 0$  (resp.,  $\text{ht}(m(w)/qA_w) = 0$ ).*

**COROLLARY 2.10.** *Let  $w$  be a valuation on  $A[n]$  (resp.,  $L$ ).*

(a) *If  $w$  has center  $q$  on  $A$ , then the maximum length of a chain of valuations on  $A[n]$  (resp.,  $L$ ) having center  $q$  on  $A$  and passing through  $w$ , is equal to*

$$\text{ht}(m(w)/qA_w) + \text{trdeg}_{A/q}^{k_w}. \tag{2.8}$$

(b) If  $w$  extends  $v$ , then the maximum length of a chain of valuations on  $A[n]$  (resp.,  $L$ ) extending  $v$  is equal to

$$\text{ht}(m(w)/m(v)A_w) + \text{trdeg}_{k_v}^{k_w}. \tag{2.9}$$

**PROPOSITION 2.11.** (a) Let  $s$  be the maximal value of  $\text{ht}(m(w)/qA_w) + \text{trdeg}_{A/q}^{k_w}$ , where  $w$  runs through all valuations on  $A[n]$  (resp.,  $K(n)$ ) with center  $q$  on  $A$ . Let  $t$  be the maximal value of  $\text{trdeg}_{A/q}^{k_{v'}}$ , where  $v'$  runs through all valuations on  $K$  with center  $q$  on  $A$ . Then  $s = n + t$ .

(b) The value  $n$  is the maximal value of  $\text{ht}(m(w)/m(v)A_w) + \text{trdeg}_{k_v}^{k_w}$ , where  $w$  runs through all valuations on  $A[n]$  (resp.,  $K(n)$ ) extending  $v$ .

**PROOF.** (a) Let  $w$  be a valuation on  $A[n]$  (resp.,  $K(n)$ ) with center  $q$  on  $A$  and let  $(k_1, k_2) \in \mathbb{N}^2$  be such that  $k_1 \leq \text{trdeg}_{A/q}^{k_w}$  and  $k_2 \leq \text{ht}(m(w)/qA_w)$ . According to Theorems 2.4 and 2.6, there exists a chain of valuations  $w = w_0 < \dots < w_{k_1}$  on  $A[n]$  (resp.,  $K(n)$ ) with center  $q$  on  $A$ . By Corollary 2.8, there exists a chain of valuations  $w_{k_2} < \dots < w_0 = w$  on  $A[n]$  (resp.,  $K(n)$ ) with center  $q$  on  $A$ . Thus, we have a chain of valuations  $w_{k_2} < \dots < w_0 = w < \dots < w_{k_1}$  on  $A[n]$  (resp.,  $K(n)$ ) with center  $q$  on  $A$ , and

$$k_1 + k_2 \leq \text{trdeg}_{A/q}^{k_{w_{k_2}}} = \text{trdeg}_{k_{w_{k_2}|K}}^{k_{w_{k_2}}} + \text{trdeg}_{A/q}^{k_{w_{k_2}|K}} \leq \text{trdeg}_K^{K(n)} + t \leq n + t, \tag{2.10}$$

where  $w_{k_2}|K$  is the restriction of  $w_{k_2}$  to  $K$ . Consequently,  $s \leq n + t$ .

Conversely, take  $k \leq t$ . Then there exists a valuation  $v'$  on  $K$  with center  $q$  on  $A$  such that  $k \leq \text{trdeg}_{A/q}^{k_{v'}}$ . According to Proposition 2.1, there exists a valuation  $w_0$  on  $A[n]$  extending  $v'$  with  $\text{trdeg}_{k_{v'}}^{k_{w_0}} = n$ , and

$$n + k \leq \text{trdeg}_{k_{v'}}^{k_{w_0}} + \text{trdeg}_{A/q}^{k_{v'}} = \text{trdeg}_{A/q}^{k_{w_0}} \leq s. \tag{2.11}$$

(b) The proof follows immediately from (a) and the preceding results.  $\square$

**COROLLARY 2.12.** If the transcendence degree of  $L$  on  $K$  is infinite, then there is no upper bound on  $\text{trdeg}_{A/q}^{k_w} + \text{ht}(m(w)/qA_w)$ , with  $w$  running through all valuations on  $L$  with center  $q$  on  $A$ .

**PROOF.** The proof is immediate from the preceding proposition.  $\square$

**3. The symbol  $\delta((0), Q)$  in  $A[n]$ .** Throughout this section,  $A$  will be an integral domain,  $(0) \neq q$  a prime ideal of  $A$ ,  $K$  the quotient field of  $A$ , and  $n$  will be a nonnegative integer.

**LEMMA 3.1.** Let  $Q$  be a superior of  $q$  in  $A[X]$  such that there exists  $a \in A$  with  $X - a \in Q$ . Then, for each valuation  $v$  of  $K$  with center  $q$  on  $A$ , there exists

a valuation  $w$  of  $K(X)$  with center  $Q$  on  $A[X]$ , extending  $v$ , and such that

$$\text{tr deg}_{\text{Fr}(A[X]/Q)}^{k_w} = \text{tr deg}_{\text{Fr}(A/q)}^{k_v} + 1. \tag{3.1}$$

**PROOF.** Let  $\delta$  be a strictly positive element of the value group  $G_v$  of  $v$ . We define the valuation  $w$  on  $K(X)$  as follows: if

$$f(X) = b_0 + \dots + b_n(X - a)^n, \tag{3.2}$$

then

$$w(f(X)) = \inf \{v(a_i) + i\delta \mid i \in \{0, \dots, n\}\}. \tag{3.3}$$

It is well known (see [1]) that  $\text{tr deg}_{k_v}^{k_w} = 1$ . We show that  $w$  is a valuation on  $A[X]$  with center  $Q$  on  $A[X]$ . For  $f(X) = b_0 + \dots + b_n(X - a)^n \in A[X]$ , we have

$$w(f(X)) = \inf \{v(b_i) + i\delta \mid i \in \{0, \dots, n\}\} \geq 0. \tag{3.4}$$

If  $f(X) \in m(w) \cap A[X]$ , then  $b_0 \in m(v) \cap A = q$  and  $f(X) \in Q$ .

Conversely, let  $g(X) = a_0 + \dots + a_m(X - a)^m \in Q \subset A[X]$ . For each  $i \in \{1, \dots, m\}$ ,  $v(a_i) + i\delta > 0$  and  $a_0 \in m(v) \cap A = q$ , hence

$$w(g(X)) = \inf \{v(a_i) + i\delta \mid i \in \{0, \dots, m\}\} > 0, \tag{3.5}$$

that is,  $g(X) \in m(w) \cap A[X]$ . Thus, we have

$$\text{tr deg}_{\text{Fr}(A[X]/Q)}^{k_w} = \text{tr deg}_{\text{Fr}(A/q)}^{k_w} = \text{tr deg}_{k_v}^{k_w} + \text{tr deg}_{\text{Fr}(A/q)}^{k_v} = \text{tr deg}_{\text{Fr}(A/q)}^{k_v} + 1. \tag{3.6}$$

□

**LEMMA 3.2.** *Let  $Q$  be a superior of  $q$  in  $A[X]$  and let  $v$  be a valuation on  $K$  with center  $q$  on  $A$ . Then there exists a valuation  $w$  on  $K(X)$  with center  $Q$  on  $A[X]$  extending  $v$  such that  $\text{tr deg}_{\text{Fr}(A[X]/Q)}^{k_w} = \text{tr deg}_{\text{Fr}(A/q)}^{k_v} + 1$ .*

**PROOF.** (a) Assume that  $A$  is integrally closed in the algebraic closure  $K'$  of  $K$ . We have two different cases:

- (1)  $q$  is a maximal ideal of  $A$ ,  $Q' = Q/q[X]$  is generated by  $g(X) = X^n + \bar{a}_{n-1}X^{n-1} + \dots + \bar{a}_0 \in (A/q)[X]$ . Let  $a_i \in A$  be a representant of  $\bar{a}_i \in (A/q)$ . Then

$$f(X) = a_0 + \dots + a_{n-1}(X - a)^{n-1} + X^n = \prod_{i=1}^m (X - r_i)^{\alpha_i} \in K[X]. \tag{3.7}$$

Since  $r_i$  is integral over  $A$ , so  $r_i \in A$ , and

$$g(X) = \prod_{i=1}^m (X - \bar{r}_i)^{\alpha_i} \in Q'. \tag{3.8}$$



Then there exists  $j \in \{1, \dots, m\}$  such that  $X - r_j \in Q$ . We conclude by [Lemma 3.2](#).

- (2) Now, let  $q$  be any prime ideal in  $A$ . Let  $S = A - q$ ; we have  $(S^{-1}Q)$  which is a superior to  $qA_q$  in  $A_q[X]$  and  $A_q$  is integrally closed in  $K'$ . The valuation  $v$  has center  $qA_q$  on  $A_q$ , so there exists a valuation  $w$  of  $K[X]$  with center  $S^{-1}Q$  on  $A_q[X]$  extending  $v$ , with

$$\begin{aligned} \text{trdeg}_{\mathfrak{S}_{\text{Fr}(A_q[X]/S^{-1}Q)}}^{k_w} &= \text{trdeg}_{\mathfrak{S}_{\text{Fr}(A/q)}}^{k_v} + 1, \\ \text{trdeg}_{\mathfrak{S}_{\text{Fr}(A[X]/Q)}}^{k_w} &= \text{trdeg}_{\mathfrak{S}_{\text{Fr}(A/q)}}^{k_v} + 1. \end{aligned} \tag{3.9}$$

(b) Let  $A'$  be the integral closure of  $A$  in the algebraic closure  $K'$  of  $K$ . Let  $v'$  be a valuation on  $K'$  extending  $v$ . The integral closure of  $A$  in  $K'$  is the intersection of all the valuation rings on  $K'$  that contain  $A$ , as  $v$  is a valuation on  $A$ , so  $v'$  is a valuation on  $A'$ . Let  $q'$  be the center of  $v'$  on  $A'$ ,  $q' \cap A = q$ , and  $q'[X] \cap A[X] = q[X]$ . The closure  $A'[X]$  is integral over  $A[X]$ , so there exists a prime ideal  $Q'$  of  $A'[X]$  such that  $Q'$  is a superior of  $q'$ , and  $Q'$  lies over  $Q$ . According to (a), there exists a valuation  $w'$  of  $K'(X)$  with center  $Q'$  on  $A'[X]$  extending  $v'$  with  $\text{trdeg}_{\mathfrak{S}_{\text{Fr}(A'[X]/Q')}}^{k_{w'}} = \text{trdeg}_{\mathfrak{S}_{\text{Fr}(A'/q')}}^{k_{v'}} + 1$ . Let  $w$  be the restriction of  $w'$  to  $K(X)$ ;  $w$  is a valuation on  $A[X]$ ,

$$\begin{aligned} m(w) \cap A[X] &= m(w') \cap K(X) \cap A[X] \\ &= m(w') \cap A'[X] \cap A[X] = Q' \cap A[X] = Q, \end{aligned} \tag{3.10}$$

and  $w$  prolongs  $v$ . Also

$$\text{trdeg}_{\mathfrak{S}_{\text{Fr}(A'/q')}}^{k_{v'}} + \text{trdeg}_{\mathfrak{S}_{\text{Fr}(A/q)}}^{\text{Fr}(A'/q')} = \text{trdeg}_{\mathfrak{S}_{\text{Fr}(A/q)}}^{k_{v'}} = \text{trdeg}_{k_v}^{k_{v'}} + \text{trdeg}_{\mathfrak{S}_{\text{Fr}(A/q)}}^{k_v}. \tag{3.11}$$

It follows from [Proposition 1.3](#) that  $\text{trdeg}_{k_v}^{k_{v'}} \leq \text{trdeg}_K^{K'} = 0$ , hence

$$\begin{aligned} \text{trdeg}_{\mathfrak{S}_{\text{Fr}(A'/q')}}^{k_{v'}} &= \text{trdeg}_{\mathfrak{S}_{\text{Fr}(A/q)}}^{k_v}, \\ \text{trdeg}_{\mathfrak{S}_{\text{Fr}(A'[X]/Q')}}^{k_{w'}} &= \text{trdeg}_{\mathfrak{S}_{\text{Fr}(A[X]/Q)}}^{k_w}, \\ \text{trdeg}_{\mathfrak{S}_{\text{Fr}(A[X]/Q)}}^{k_w} &= \text{trdeg}_{\mathfrak{S}_{\text{Fr}(A/q)}}^{k_v} + 1. \end{aligned} \tag{3.12}$$

□

**REMARK 3.3.** Let  $Q$  be a prime ideal of  $A[X]$  lying over  $q$ . Then, for each valuation  $v$  of  $K$  with center  $q$  on  $A$ , there exists a valuation  $w$  of  $K(X)$  extending  $v$  and with center  $Q$  on  $A[X]$  such that

$$\text{trdeg}_{\mathfrak{S}_{\text{Fr}(A[X]/Q)}}^{k_w} = \text{trdeg}_{\mathfrak{S}_{\text{Fr}(A/q)}}^{k_v} + \text{ht}(Q/q[X]). \tag{3.13}$$

Indeed, [Lemma 3.2](#) implies the case where  $Q$  is a superior of  $q$ . If  $Q = q[X]$ , let  $w$  be the canonical extension of  $v$  to  $K(X)$ . It is well known (see [Section 1](#)) that  $\text{trdeg}_{\mathfrak{S}_{\text{Fr}((A/q)[X])}}^{k_w} = \text{trdeg}_{\mathfrak{S}_{\text{Fr}(A/q)}}^{k_v}$ .

**THEOREM 3.4.** *Let  $Q$  be a prime ideal of  $A[n]$  lying over  $q$ . Then, for each valuation  $v$  of  $K$  with center  $q$  on  $A$ , there exists a valuation  $w$  of  $K(n)$  extending  $v$  and with center  $Q$  on  $A[n]$  such that*

$$\text{trdeg}_{\text{Fr}(A[n]/Q)}^{k_w} = \text{trdeg}_{\text{Fr}(A/q)}^{k_v} + \text{ht}(Q/q[n]). \tag{3.14}$$

**PROOF.** One proceeds by induction on  $n$ . The case  $n = 1$  follows from Remark 3.3. Assume that the statement is true for  $n - 1$ . Let  $Q_1 = Q \cap A[X_1]$ . Then there exists a valuation  $w_1$  of  $K(X_1)$  extending  $v$ , with center  $Q_1$  on  $A[X_1]$ , and

$$\text{trdeg}_{\text{Fr}(A[X_1]/Q_1)}^{k_{w_1}} = \text{trdeg}_{\text{Fr}(A/q)}^{k_v} + \text{ht}(Q_1/q[X_1]), \tag{3.15}$$

and there exists a valuation  $w$  of  $K(X_1)(X_2, \dots, X_n) = K(n)$  extending  $w_1$ , with center  $Q$  on  $A[n]$ , and

$$\begin{aligned} \text{trdeg}_{\text{Fr}(A[n]/Q)}^{k_w} &= \text{trdeg}_{\text{Fr}(A[X_1]/Q_1)}^{k_{w_1}} + \text{ht}(Q/Q_1[X_2, \dots, X_n]) \\ &= \text{trdeg}_{\text{Fr}(A/q)}^{k_v} + \text{ht}(Q_1/q[X_1]) + \text{ht}(Q/Q_1[X_2, \dots, X_n]). \end{aligned} \tag{3.16}$$

We conclude by remarking that

$$\text{ht}(Q_1/q[X_1]) + \text{ht}(Q/Q_1[X_2, \dots, X_n]) = \text{ht}(Q/q[X_1, \dots, X_n]). \tag{3.17}$$

□

**NOTATION 3.5.** Take  $q_1 \subset q_2$  in  $\text{Spec}(A)$ . We will denote by  $\delta(q_1, q_2)$  the maximal value  $d$  for which there exists a valuation  $v$  on  $\text{Fr}(A/q_1)$  with center  $q_2/q_1$  on  $A/q_1$  such that  $\text{trdeg}_{\text{Fr}(A/q_2)}^{k_v} = d$ .

Jaffard has shown in [2] that  $\delta((0), q_2)$  is the greatest number  $n$  such that there exists a chain of valuations  $v_0 < \dots < v_n$  on  $A$  with center  $q_2$  on  $A$ .

**COROLLARY 3.6.** *Let  $Q$  be a prime ideal of  $A[n]$  lying over  $q$ . Then*

$$\delta((0), Q) = \delta((0), q) + \text{ht}(Q/q[n]). \tag{3.18}$$

**PROOF.** In the case where  $Q = q[n]$ , the result is well known (see [2]).

Suppose that  $Q \neq q[n]$ . For each valuation  $v$  of  $K$  with center  $q$  on  $A$ , there exists a valuation  $w$  of  $K(n)$  with center  $Q$  on  $A[n]$ , extending  $v$ , with

$$\text{trdeg}_{\text{Fr}(A[n]/Q)}^{k_w} = \text{trdeg}_{\text{Fr}(A/q)}^{k_v} + \text{ht}(Q/q[n]) \leq \delta((0), Q), \tag{3.19}$$

and consequently

$$\delta((0), Q) \geq \delta((0), q) + \text{ht}(Q/q[n]). \tag{3.20}$$

Conversely, let  $w'$  be a valuation on  $K(n)$  with center  $Q$  on  $A[n]$  and let  $v'$  be its restriction to  $K$ . The valuation  $v'$  has center  $q$  on  $A$  and

$$\text{trdeg}_{\text{Fr}(A[n]/Q)}^{k_{w'}} + \text{trdeg}_{\text{Fr}(A/q)}^{\text{Fr}(A[n]/Q)} = \text{trdeg}_{k_{v'}}^{k_{w'}} + \text{trdeg}_{\text{Fr}(A/q)}^{k_{v'}} \leq n + \delta((0), q), \tag{3.21}$$

and therefore

$$\begin{aligned} \text{trdeg}_{\text{Fr}(A[n]/Q)}^{k_{w'}} &\leq \delta((0), q) + \text{ht}(Q/q[n]), \\ \delta((0), Q) &\leq \delta((0), q) + \text{ht}(Q/q[n]). \end{aligned} \tag{3.22}$$

□

**PROPOSITION 3.7.** *Let  $q_1$  be a prime ideal of  $A$  and  $Q_1 \subset Q_2$  two prime ideals of  $A[n]$  lying over  $q_1$ . Then*

$$\delta(Q_1, Q_2) = \text{ht}(Q_2/q_1[n]) - \text{ht}(Q_1/q_1[n]) - 1. \tag{3.23}$$

**PROOF.** Let  $T = A - q_1$ . Then  $T^{-1}(A[n]/Q_1)$  is an  $\text{Fr}(A/q_1)$ -algebra of finite type, and therefore a Noetherian domain according to [3]. Then

$$\begin{aligned} \delta(Q_1, Q_2) &= \delta((0), Q_2/Q_1) = \delta((0), T^{-1}(Q_2/Q_1)) \\ &= \text{ht}(T^{-1}(Q_2/Q_1)) - 1 = \text{ht}(Q_2/Q_1) - 1 \\ &= \text{ht}(Q_2/q_1[n]) - \text{ht}(Q_1/q_1[n]) - 1. \end{aligned} \tag{3.24}$$

□

We finish this section studying the case of trivial valuations and we assume that  $q = (0)$ .

**PROPOSITION 3.8.** *Let  $Q$  be a prime ideal of  $A[n]$  lying over  $(0)$ . Then there exists a valuation  $w$  of  $K(n)$  with center  $Q$  on  $A[n]$  such that*

$$\text{trdeg}_{\text{Fr}(A[n]/Q)}^{k_w} = \begin{cases} \text{ht}(Q) - 1 & \text{if } Q \neq 0, \\ 0 & \text{if } Q = 0. \end{cases} \tag{3.25}$$

**PROOF.** If  $Q = (0)$ , then it suffices to take for  $w$  the trivial valuation on  $K(n)$ . We suppose that  $Q \neq (0)$ . If  $n = 1$ , then for each  $w \in A(Q)$ , according to the preceding result,  $\text{trdeg}_{\text{Fr}(A[X]/Q)}^{k_w} \leq \delta((0), Q) = 0$ , and therefore

$$\text{trdeg}_{\text{Fr}(A[X]/Q)}^{k_w} = \text{ht}(Q) - 1 = 0. \tag{3.26}$$

Take  $n > 1$ , assume that the property holds for  $n - 1$ , and let  $Q_1 = Q \cap A[X_1]$ . Then there exists a valuation  $w_1$  of  $K(X_1)$  with center  $Q_1$  on  $A[X_1]$  and  $\text{trdeg}_{\text{Fr}(A[X_1]/Q_1)}^{k_{w_1}} = 0$ . If  $w_1$  is the trivial valuation, then there exists a valuation  $w$  of  $K(X_1)(X_2, \dots, X_n) = K(n)$  with center  $Q$  on  $A[X_1][X_2, \dots, X_n] = A[n]$  and

$\text{trdeg}_{\text{Fr}(A[n]/Q)}^{k_w} = \text{ht}(Q) - 1$ . If  $w_1$  is not trivial, then  $Q_1 \neq (0)$  and it follows from [Theorem 3.4](#) that there exists a valuation  $w$  on  $K(n)$  extending  $w_1$  and with center  $Q$  on  $A[n]$ , and

$$\begin{aligned} \text{trdeg}_{\text{Fr}(A[n]/Q)}^{k_w} &= \text{trdeg}_{\text{Fr}(A[X_1]/Q_1)}^{k_{w_1}} + \text{ht}(Q/Q_1[X_2, \dots, X_n]) \\ &= \text{ht}(Q) - \text{ht}(Q_1) \\ &= \text{ht}(Q) - 1. \end{aligned} \tag{3.27}$$

□

**4. Valuations on  $A[n]$  centered on the same ideal and extending the same valuation.** Let  $v$  be a valuation on  $K$  with center  $q$  on  $A$  and  $Q$  a prime ideal of  $A[n]$  lying over  $q$ . We will use the following notation:

- (a)  $A(Q) = \{w \mid w \text{ is a valuation on } A[n] \text{ with center } Q\}$ ;
- (b)  $A(v) = \{w \mid w \text{ is a valuation on } A[n] \text{ extending } v\}$ ;
- (c)  $A(v, Q) = \{w \mid w \text{ is a valuation on } A[n] \text{ with center } Q \text{ extending } v\}$ .

**LEMMA 4.1.** *Let  $w$  be a valuation on  $K(n)$ . Then  $w$  is maximal in  $A(v, Q)$  if and only if  $w$  is maximal in  $\{w'' \mid w'' \text{ is a valuation on } A_v[n] \text{ with center } Q_1 = m(w) \cap A_v[n]\}$  and  $Q_1$  is maximal in  $\{Q' \mid Q' \in \text{Spec}(A_v[n]) \text{ with } Q' \cap A[n] = Q\}$ .*

**PROOF.** Suppose that  $w$  is maximal in  $A(v, Q)$ . Assume that there exists  $Q_2 \in \text{Spec}(A_v[n])$  such that  $Q_1 \subset Q_2$  and  $Q_2 \cap A[n] = Q$ . By [Proposition 1.4](#), there exists a valuation  $w_2$  on  $A_v[n]$  with center  $Q_2$ , and  $w < w_2$  such that  $m(w_2) \cap A[n] = Q$  and  $A_v \subseteq A_{w_2} \cap K \subseteq A_w \cap K = A_v$ . Thus,  $w_2$  extends  $v$  with center  $Q$  on  $A[n]$ , which is a contradiction.

Assume that  $w < w'$ , with  $w'$  a valuation on  $A_v[n]$  with center  $Q_1$ . Then  $m(w') \cap A[n] = Q$  and  $w'$  extends  $v$ , that is,  $w'$  is a valuation on  $A[n]$  with center  $Q$  and extending  $v$ , which is impossible.

Conversely, if  $w < w'$  with  $w'$  a valuation on  $A[n]$  extending  $v$  and with center  $Q$ , then  $m(w) \cap A_v[n] = Q_1 \subset m(w') \cap A_v[n]$ , but  $(m(w') \cap A_v[n]) \cap A[n] = m(w') \cap A[n] = Q$ , which is again a contradiction. □

**REMARK 4.2.** Take  $w \in A(v, Q)$ . Let  $Q_1 = m(w) \cap A_v[n]$  and assume that  $Q_1$  is maximal in  $\{Q' \mid Q' \in \text{Spec}(A_v[n]), Q' \cap A[n] = Q\}$ . The ideal  $Q_1/m(v)[n]$  is maximal in  $\{Q' \mid Q' \in \text{Spec}(k_v[n]), Q' \cap (A/q)[n] = Q/q[n]\}$ . According to [\[5\]](#), we have

$$\text{ht}(Q_1/m(v)[n]) - \text{ht}(Q/q[n]) = \inf\left(\text{trdeg}_{A/q}^{k_v}, \text{trdeg}_{A/q}^{A[n]/Q}\right). \tag{4.1}$$

**THEOREM 4.3.** *For  $w \in A(v, Q)$ , the following assertions are equivalent:*

- (a)  $w$  is maximal in  $A(v, Q)$ ;
- (b)  $\inf(\text{trdeg}_{k_v}^{k_w}, \text{trdeg}_{A[n]/Q}^{k_w}) = 0$ .

**PROOF.** First, suppose that  $w$  is maximal in  $A(v, Q)$ .

**CASE 1.** The transcendence degree of  $k(v)$  on  $k(q)$  is finite. Let  $Q_1 = m(w) \cap A_v[n]$ . Then

$$\begin{aligned} & \text{trdeg}_{A[n]/Q}^{k_w} + n - \text{ht}(Q/q[n]) \\ &= \text{trdeg}_{A/q}^{k_w} = \text{trdeg}_{A_v[n]/Q_1}^{k_w} + \text{trdeg}_{A[n]/Q}^{A_v[n]/Q_1} + n - \text{ht}(Q/q[n]). \end{aligned} \tag{4.2}$$

According to [Lemma 4.1](#),  $\text{trdeg}_{A_v[n]/Q_1}^{k_w} = 0$ , and

$$\text{trdeg}_{A[n]/Q}^{A_v[n]/Q_1} + n - \text{ht}(Q/q[n]) = n - \text{ht}(Q_1/m(v)[n]) + \text{trdeg}_{A/q}^{k_v}, \tag{4.3}$$

and therefore

$$\begin{aligned} \text{trdeg}_{A[n]/Q}^{A_v[n]/Q_1} &= \text{ht}(Q/q[n]) - \text{ht}(Q_1/m(v)[n]) + \text{trdeg}_{A/q}^{k_v}, \\ \text{trdeg}_{A[n]/Q}^{k_w} &= \text{trdeg}_{A[n]/Q}^{A_v[n]/Q_1} \\ &= \text{ht}(Q/q[n]) - \text{ht}(Q_1/m(v)[n]) + \text{trdeg}_{A/q}^{k_v}. \end{aligned} \tag{4.4}$$

[Remark 4.2](#) implies that

$$\text{trdeg}_{A[n]/Q}^{k_w} = \text{trdeg}_{A/q}^{k_v} - \inf(\text{trdeg}_{A/q}^{k_v}, \text{trdeg}_{A/q}^{A[n]/Q}). \tag{4.5}$$

If  $\text{trdeg}_{A[n]/Q}^{k_w} \neq 0$ , then  $\text{trdeg}_{A[n]/Q}^{k_w} = \text{trdeg}_{A/q}^{k_v} + \text{ht}(Q/q[n]) - n$ , and therefore

$$\text{trdeg}_{A/q}^{k_w} = \text{trdeg}_{k_v}^{k_w} + \text{trdeg}_{A/q}^{k_v} = \text{trdeg}_{A/q}^{k_v}, \tag{4.6}$$

that is,  $\text{trdeg}_{k_v}^{k_w} = 0$ .

**CASE 2.** The transcendence degree of  $k(v)$  on  $k(q)$  is infinite. We will show that  $\text{trdeg}_{k_v}^{k_w} = 0$ . According to [Lemma 4.1](#) and [Remark 4.2](#),

$$\text{ht}(Q_1/m(v)[n]) - \text{ht}(Q/q[n]) = \text{trdeg}_{A/q}^{A[n]/Q} = n - \text{ht}(Q/q[n]), \tag{4.7}$$

and therefore  $\text{ht}(Q_1/m(v)[n]) = n$ . Thus,

$$\text{trdeg}_{A_v[n]/Q_1}^{k_w} + \text{trdeg}_{A_v/m(v)}^{A_v[n]/Q_1} = \text{trdeg}_{k_v}^{k_w}, \tag{4.8}$$

so

$$\text{trdeg}_{k_v}^{k_w} = \text{trdeg}_{A_v/m(v)}^{A_v[n]/Q_1} = n - \text{ht}(Q_1/m(v)[n]) = 0. \tag{4.9}$$

Conversely, assume that  $\inf(\text{trdeg}_{k_v}^{k_w}, \text{trdeg}_{A[n]/Q}^{k_w}) = 0$ . It follows from [Corollary 2.9](#) that  $w$  is maximal in  $A(v, Q)$ . □

**PROPOSITION 4.4.** *If  $w_0 \in A(v, Q)$  is not maximal in  $A(v, Q)$ , then there exists  $w_1$  in  $A(v, Q)$  such that  $w_0 < w_1$  and  $\text{trdeg}_{A[n]/Q}^{k_{w_1}} = \text{trdeg}_{A[n]/Q}^{k_{w_0}} - 1$ .*

**PROOF.** Let  $Q_0 = m(w_0) \cap A_v[n]$ . According to [Lemma 4.1](#),  $\text{trdeg}_{A_v[n]/Q_0}^{k_{w_0}} \neq 0$  or  $Q_0$  is not maximal in  $\{Q' \mid Q' \in \text{Spec}(A_v[n]) \text{ with } Q' \cap A[n] = Q\}$ .

If  $\text{trdeg}_{A_v[n]/Q_0}^{k_{w_0}} \neq 0$ , then it follows from [Lemma 2.3](#) that there exists a valuation  $w_1$  on  $A_v[n]$  with center  $Q_0$  such that  $w_0 < w_1$  and  $\text{trdeg}_{A_v[n]/Q_0}^{k_{w_1}} = \text{trdeg}_{A_v[n]/Q_0}^{k_{w_0}} - 1$ , that is,  $\text{trdeg}_{A[n]/Q}^{k_{w_1}} = \text{trdeg}_{A[n]/Q}^{k_{w_0}} - 1$ . The valuation  $w_1$  extends  $v$  since  $A_v \subseteq A_{w_1} \cap K \subseteq A_{w_0} \cap K = A_v$ .

If  $\text{trdeg}_{A_v[n]/Q_0}^{k_{w_0}} = 0$ , let  $Q_1$  be a prime ideal of  $A_v[n]$  lying over  $Q$  with  $Q_0 \subset Q_1$  and  $\text{ht}(Q_1/Q_0) = 1$ . According to [Proposition 1.4](#) and [Theorem 2.4](#), there exists a valuation  $w_1$  on  $A_v[n]$  with center  $Q_1$  such that  $w_0 < w_1$  and  $\text{trdeg}_{A_v[n]/Q_1}^{k_{w_1}} = 0$ ;  $w_1$  is a valuation on  $A[n]$  with center  $Q$ . Then

$$\begin{aligned} \text{trdeg}_{A[n]/Q}^{k_{w_0}} &= \text{trdeg}_{A_v[n]/Q_0}^{k_{w_0}} = \text{ht}(Q/q[n]) - \text{ht}(Q_0/m(v)[n]) + \text{trdeg}_{A/q}^{k_v}, \\ \text{trdeg}_{A[n]/Q}^{k_{w_1}} &= \text{ht}(Q/q[n]) - \text{ht}(Q_1/m(v)[n]) + \text{trdeg}_{A/q}^{k_v}, \end{aligned} \quad (4.10)$$

and therefore

$$\begin{aligned} \text{trdeg}_{A[n]/Q}^{k_{w_0}} - \text{trdeg}_{A[n]/Q}^{k_{w_1}} &= \text{ht}(Q_1/m(v)[n]) - \text{ht}(Q_0/m(v)[n]) \\ &= \text{ht}(Q_1/Q_0) = 1. \end{aligned} \quad (4.11) \quad \square$$

**THEOREM 4.5.** For  $w \in A(v, Q)$ , let  $d(w, v, Q) = \text{Sup}\{k \mid k \in \mathbb{N}, \exists k+1 \text{ valuations } w = w_0 < \dots < w_k \text{ in } A(v, Q)\}$ . Then

$$d(w, v, Q) = \inf \left( \text{trdeg}_{k_v}^{k_w}, \text{trdeg}_{A[n]/Q}^{k_w} \right). \quad (4.12)$$

**PROOF.** Let  $k = d(w, v, Q)$ . According to [Proposition 4.4](#), there exist  $w_0 < \dots < w_k$  in  $A(v, Q)$ , with  $\text{trdeg}_{A[n]/Q}^{k_{w_i}} = \text{trdeg}_{A[n]/Q}^{k_{w_0}} - i$  for each  $i \in \{0, \dots, k\}$  and  $w_k$  maximal in  $A(v, Q)$ , that is,

$$\text{trdeg}_{A[n]/Q}^{k_{w_k}} = \text{trdeg}_{A/q}^{k_v} - \inf \left( \text{trdeg}_{A/q}^{k_v}, \text{trdeg}_{A/q}^{A[n]/Q} \right) = \text{trdeg}_{A[n]/Q}^{k_w} - k, \quad (4.13)$$

and therefore

$$\begin{aligned} k &= \text{trdeg}_{A[n]/Q}^{k_w} - \text{trdeg}_{A/q}^{k_v} + \inf \left( \text{trdeg}_{A/q}^{k_v}, \text{trdeg}_{A/q}^{A[n]/Q} \right) \\ &= \inf \left( \text{trdeg}_{k_v}^{k_w}, \text{trdeg}_{A[n]/Q}^{k_w} \right). \end{aligned} \quad (4.14) \quad \square$$

**PROPOSITION 4.6.** For  $w \in A(v, Q)$ ,

$$\begin{aligned} s &= \sup \{k \mid \exists k+1 \text{ valuations } w_k < \dots < w_0 = w \text{ on } A(v, Q)\} \\ &= h = \inf \left( \text{ht}(m(w)/QA_w), \text{ht}(m(w)/m(v)A_w) \right). \end{aligned} \quad (4.15)$$

**PROOF.** Let  $k \in \mathbb{N}$  and let  $w_k < \dots < w_0 = w$  be valuations on  $A(v, Q)$ . As  $A(v, Q) \subseteq A(v) \cap A(Q)$ ,  $k \leq \inf(\text{ht}(m(w)/QA_w), \text{ht}(m(w)/m(v)A_w))$ , and consequently  $s \leq h$ .

The converse inequality is trivial if  $s = \infty$ . So let  $s < \infty$  and assume  $s < h$ . Take  $w_k < \dots < w_0 = w$  in  $A(v, Q)$ . Then

$$\begin{aligned} \text{ht}(m(w)/QA_w) &= \text{ht}(m(w)/m(w_k)) + \text{ht}(m(w_k)/QA_{w_k}) \\ &= k + \text{ht}(m(w_k)/QA_{w_k}), \end{aligned} \tag{4.16}$$

and therefore  $\text{ht}(m(w_k)/QA_{w_k}) \neq 0$ , and there exists  $w'_{k+1} \in A(Q)$  with  $w'_{k+1} < w_k$ . As

$$\begin{aligned} \text{ht}(m(w)/m(v)A_w) &= \text{ht}(m(w)/m(w_k)) + \text{ht}(m(w_k)/m(v)A_{w_k}) \\ &= k + \text{ht}(m(w_k)/m(v)A_{w_k}), \end{aligned} \tag{4.17}$$

we have that  $\text{ht}(m(w_k)/m(v)A_{w_k}) \neq 0$ , and therefore there exists  $w''_{k+1} \in A(v)$  with  $w''_{k+1} < w_k$ . Thus,  $A_{w_k} \subset A_{w'_{k+1}}, A_{w_k} \subset A_{w''_{k+1}}, A_{w'_{k+1}} = (A_{w_k})_{P'}$ , and  $A_{w''_{k+1}} = (A_{w_k})_{P''}$  with  $P'$  and  $P''$  two prime ideals of  $A_{w_k}$ . As  $P'$  and  $P''$  are comparable,  $A_{w'_{k+1}} \subseteq A_{w''_{k+1}}$  or  $A_{w''_{k+1}} \subseteq A_{w'_{k+1}}$ . If  $w''_{k+1} \leq w'_{k+1}$ ,  $m(w'_{k+1}) \subseteq m(w''_{k+1})$  and  $m(v) = m(w'_{k+1}) \cap A_v \subseteq m(w''_{k+1}) \cap A_v$ , that is,  $m(w'_{k+1}) \cap A_v = m(v)$  and  $w'_{k+1}$  extends  $v$ , it will be a contradiction since  $w'_{k+1} \in A(v, Q)$ .

If  $w'_{k+1} \leq w''_{k+1}$ , then  $m(w'_{k+1}) \subseteq m(w''_{k+1}) \subset m(w)$ , and then  $m(w''_{k+1}) \cap A[n] = Q$  and  $w''_{k+1} \in A(v, Q)$ , which is again a contradiction.

We conclude that  $s = h$  and finish the proof. □

**COROLLARY 4.7.** For  $w \in A(v, Q)$ , the following assertions are equivalent:

- (a)  $w$  is minimal in  $A(v, Q)$ ;
- (b)  $\inf(\text{ht}(m(w)/QA_w), \text{ht}(m(w)/m(v)A_w)) = 0$ ;
- (c)  $w$  is minimal in  $A(Q)$  or in  $A(v)$ .

**NOTATION 4.8.** For each valuation  $w$  on  $A(v, Q)$ , let  $l(w)$  be the maximal length of a chain of valuations on  $A(v, Q)$  passing through  $w$ . The maximum value of  $l(w)$ , where  $w$  runs through the set  $A(v, Q)$ , will be denoted by  $d(A(v, Q))$ .

**THEOREM 4.9.** For each valuation  $w$  on  $A(v, Q)$ ,

- (a)  $l(w) = \inf(\text{ht}(m(w)/QA_w), \text{ht}(m(w)/m(v)A_w)) + \inf(\text{tr deg}_{k_v}^{k_w}, \text{tr deg}_{A[n]/Q}^{k_w})$ ;
- (b)  $d(A(v, Q)) = \text{tr deg}_{k(q)}^{k_v} + \text{ht}(Q/q[n])$ .

**PROOF.** (a) The proof follows immediately from [Theorem 4.5](#) and [Proposition 4.6](#).

(b) Let  $w' \in A(v, Q)$ . Then

$$\text{tr deg}_{A[n]/Q}^{k_{w'}} + n - \text{ht}(Q/q[n]) = \text{tr deg}_{k(q)}^{k_{w'}} = \text{tr deg}_{k(v)}^{k_{w'}} + \text{tr deg}_{k(q)}^{k_v}, \tag{4.18}$$

thus

$$\begin{aligned} \operatorname{trdeg}_{A[n]/Q}^{k_{w'}} &= \operatorname{trdeg}_{k(q)}^{k_v} + \operatorname{ht}(Q/q[n]) + \left( \operatorname{trdeg}_{k(v)}^{k_{w'}} - n \right) \\ &\leq \operatorname{trdeg}_{k(q)}^{k_v} + \operatorname{ht}(Q/q[n]). \end{aligned} \quad (4.19)$$

Therefore,  $d(A(v, Q)) \leq \operatorname{trdeg}_{k(q)}^{k_v} + \operatorname{ht}(Q/q[n])$ .

**Theorem 3.4** implies the existence of  $w \in A(v, Q)$  satisfying  $\operatorname{trdeg}_{A[n]/Q}^{k_w} = \operatorname{trdeg}_{k(q)}^{k_v} + \operatorname{ht}(Q/q[n])$ , and the converse inequality follows.  $\square$

#### REFERENCES

- [1] N. Bourbaki, *Algèbre Commutative (chapitres 5 à 7)*, Masson, Paris, 1965 (French).
- [2] P. Jaffard, *Théorie de la Dimension dans les Anneaux de Polynomes*, Mémor. Sci. Math., no. 146, Gauthier-Villars, Paris, 1960 (French).
- [3] ———, *Valuations d'un anneau Noetherien et Théorie de la dimension*, Algèbre et Théorie Nombres, vol. 13, Sem. P. Dubreil, M.-L. Dubreil-Jacotin et C. Pisot, 1961, p. 21 (French).
- [4] S. Lang, *Introduction to Algebraic Geometry*, Interscience Publishers, New York, 1958.
- [5] A. R. Wadsworth, *The Krull dimensions of tensor products of commutative algebras over a field*, J. London Math. Soc. (2) **19** (1979), no. 3, 391–401.
- [6] O. Zariski and P. Samuel, *Commutative Algebra. Vol. II*, The University Series in Higher Mathematics, D. Van Nostrand, New York, 1960.

Rachid Chibloun: Département de Mathématiques et Informatique, Université Moulay Ismail, BP 4010, Béni M'hamed, Méknès, Morocco  
*E-mail address:* [chib1oun@fsmek.ac.ma](mailto:chib1oun@fsmek.ac.ma)