

## INVARIANCE OF RECURRENCE SEQUENCES UNDER A GALOIS GROUP

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**ABSTRACT.** Let  $F$  be a Galois field of order  $q$ ,  $k$  a fixed positive integer and  $R = F^{k \times k}[D]$  where  $D$  is an indeterminate. Let  $L$  be a field extension of  $F$  of degree  $k$ . We identify  $L_F$  with  $F^{k \times 1}$  via a fixed normal basis  $B$  of  $L$  over  $F$ . The  $F$ -vector space  $\Gamma_k(F) (= \Gamma(L))$  of all sequences over  $F^{k \times 1}$  is a left  $R$ -module. For any regular  $f(D) \in R$ ,  $\Omega_k(f(D)) = \{S \in \Gamma_k(F) : f(D)S = 0\}$  is a finite  $F[D]$ -module whose members are ultimately periodic sequences. The question of invariance of a  $\Omega_k(f(D))$  under the Galois group  $G$  of  $L$  over  $F$  is investigated.

**KEY WORDS AND PHRASES.** Galois field, normal basis, recurrence sequences

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### 1. INTRODUCTION.

Let  $F$  be a Galois field of order  $q$  and  $R = F^{k \times k}[D]$ , for a fixed positive integer  $k$ . The set  $\Gamma_k(F)$  of all sequences over  $F^{k \times 1}$  is a left  $R$ -module such that for any  $S = (s_n)_{n \geq 0} \in \Gamma_k(F)$  and  $f(D) = \sum_i a_i D^i \in R$ ,  $a_i \in F^{k \times k}$ ,  $f(D)S = (s'_n)$  with  $s'_n = \sum_i a_i s_{n+i}$  [3]. For any regular  $f(D) \in R$ , the set  $\Omega_k(f(D)) = \{S \in \Gamma_k(F) : f(D)S = 0\}$  is a finite  $F[D]$ -module, whose members are ultimately periodic sequences. Let  $L$  be the field extension of  $F$  of degree  $k$ . Fix a normal basis  $B = \{\alpha, \alpha^q, \alpha^{q^2}, \dots, \alpha^{q^{k-1}}\}$  of  $L$  over  $F$  such that  $\sum_{i=0}^{k-1} \alpha^{q^i} = 1$ . Through this basis we identify  $L_F$  with  $F^{k \times 1}$ . The Galois group  $G(L/F)$  is generated by  $\sigma : L \rightarrow L$  such that  $\sigma(a) = a^q$ ,  $a \in L$ . The matrix of  $\sigma$  relative to  $B$  is the companion matrix  $M$  of  $X^k - 1$ . We get the inner automorphism  $\eta : R \rightarrow R$  such that  $A^\eta = M^{-1}AM$ ,  $A \in R$ . Then  $\Omega_k(f(D))$  is said to be  $\sigma$ -invariant (or invariant under the Galois group  $G(L/F)$ ) if for any  $S = (s_n) \in \Omega_k(f(D))$ ,  $S^\sigma = (\sigma(s_n)) \in \Omega_k(f(D))$ . A brief outline of an application of a  $\sigma$ -invariant  $\Omega_k(f(D))$  to the construction of recurring planes is given at the end of this paper. Given a regular  $f(D) \in R$ , if  $f^\eta(D) = f(D)$  or  $f(D)$  is a left circulant matrix, then  $\Omega_k(f(D))$  is  $\sigma$ -invariant. Here we consider the converse in the sense that if  $\Omega_k(f(D))$  is  $\sigma$ -invariant, does there exist a  $g(D) \in R$  such that  $g^\eta(D) = g(D)$  and  $\Omega_k(f(D)) = \Omega_k(g(D))$ ? In this paper we give a complete answer for the case  $k = 2$ , in Theorems (2) and (3). We also give an explicit construction of a generating set and the dimension of an  $\Omega_2(f(D))$  if  $f^\eta(D) = f(D)$ , in Theorem 4. An illustration of Theorem 4 is given in Example 15. The case, for any  $k > 3$  remains unsolved.

### 2. PRELIMINARIES

Let  $F$  be a Galois field of order  $q$  and  $\Gamma(F)$  be a left  $F[D]$ -module of all sequences over  $F$ , [2]. For any  $f(D) \neq 0$  in  $F[D]$ ,  $\Omega(f(D)) = \{S \in \Gamma(F) : f(D)S = 0\}$  is an  $F[D]$ -submodule of  $\Gamma(F)$  isomorphic to  $F[D]/F[D]f(D)$ . For any two non-zero polynomials  $f(D), g(D) \in F[D]$ ,  $f(D) \wedge g(D)$  and  $f(D) \vee g(D)$  will denote their gcd and lcm respectively,  $0 \wedge f(D)$  is the monic factor of  $f(D)$  of degree same as  $\deg f(D)$ . The following is well known (see [1] or [2])

**THEOREM 1.** For any two non-zero polynomials  $f(D), g(D)$  in  $F[D]$

- (i)  $\Omega(f(D)) + \Omega(g(D)) = \Omega(f(D) \vee g(D))$
- (ii)  $\Omega(f(D)) \cap \Omega(g(D)) = \Omega(f(D) \wedge g(D))$
- (iii)  $f(D)\Omega(g(D)) = \Omega(g(D)/d(D))$ , where  $d(D) = f(D) \wedge g(D)$

For a fixed positive integer  $k$ , we consider  $R = F^{k \times k}[D] = F[D]^{k \times k}$ . Let  $L$  be the field extension of  $F$  of degree  $k$  and  $\sigma$  be the  $F$ -automorphism of  $L$  given by  $\sigma(a) = a^q, a \in L$ . We fix a normal basis  $B = \{\alpha, \alpha^q, \dots, \alpha^{q^{k-1}}\}$  of  $L$  over  $F$  satisfying  $\sum_{i=0}^{k-1} \alpha^{q^i} = 1$ . By using this we identify  $L$  with  $F^{k \times 1}$ . Then  $Hom_F(L, L) = F^{k \times k}$  and  $\sigma$  is given by the  $k \times k$ -matrix

$$M = \begin{bmatrix} 0 & 0 & \dots & 0 & 1 \\ 1 & 0 & \dots & 0 & 0 \\ 0 & 1 & \dots & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & 1 & 0 \end{bmatrix}$$

the companion matrix of  $X^k - 1$ . Then

$$M^{-1} = \begin{bmatrix} 0 & 1 & 0 & \dots & 0 & 0 \\ 0 & 0 & 1 & \dots & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & \dots & 0 & 1 \\ 1 & 0 & 0 & \dots & 0 & 0 \end{bmatrix}$$

For any  $A = [a_{ij}] \in R$

$$M^{-1}AM = \begin{bmatrix} a_{22} & a_{23} & \dots & a_{2k} & a_{21} \\ a_{32} & a_{33} & \dots & a_{3k} & a_{31} \\ \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots \\ a_{k2} & a_{k3} & \dots & a_{kk} & a_{k1} \\ a_{12} & a_{13} & \dots & a_{1k} & a_{11} \end{bmatrix} = [b_{ij}]$$

where  $b_{ij} = a_{i+1, j+1}$ ,  $i + 1, j + 1$  are positive integers modulo  $k$ . The following is immediate

**LEMMA 1.** For  $A = [a_{ij}] \in R, M^{-1}AM = A$  iff

$$A = \begin{bmatrix} a_1 & a_2 & \dots & a_{k-1} & a_k \\ a_k & a_1 & \dots & a_{k-2} & a_{k-1} \\ \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots \\ a_2 & a_3 & \dots & a_k & a_1 \end{bmatrix}$$

for some  $a_i \in F[D]$ .

For any  $A \in R, A^n$  denotes  $M^{-1}AM$ . If  $f(D) \in R$  is regular, then the bound of  $f(D)$  is the smallest degree monic polynomial  $d(D) \in F[D]$  such that  $Rd(D) \subseteq Rf(D); f^*(D) \in R$  is such that  $f(D)f^*(D) = d(D)I_k = f^*(D)f(D)$ , [3]. Further  $\Omega_k(f(D)) = f^*(D)\Omega_k(d(D)I_k), R\Omega_k(f(D)) = \Omega_k(d(D)I_k)$  and  $\Omega_k(d(D)I_k) = \Omega(d(D))^{k \times 1}$ , [3]. For any module  $N, N^k$  denotes the direct sum of  $k$  copies of  $N$ .

**3. A  $\sigma$ -INVARIANT  $\Omega_k(f(D))$**

We start with the following

**LEMMA 2.** Let  $f(D), g(D) \in R$ , both be regular. Then  $\Omega_k(f(D)) = \Omega_k(g(D))$  iff  $Rf(D) = Rg(D)$ .

**PROOF.** Let  $d(D) = \text{bound}(f(D))$ ,  $d'(D) = \text{bound}(g(D))$ . Let a sequence  $S \in \Gamma(F)$  be a generator of the  $F[D]$ -module  $\Omega(d(D))$ . By [3, Lemma (2.4)], the mapping

$$\lambda : R/Rd(D) \rightarrow \Omega(d(D))^{\wedge \wedge k} = [\Omega_k(d(D)I_k)]^k$$

such that for any  $\overline{[g_{i,j}(D)]} \in \overline{R} = R/Rd(D)$ ,  $\lambda[\overline{[g_{i,j}(D)]}] = [g_{i,j}(D)S]$  is a left  $R$ -isomorphism. If  $Rf(D) = Rg(D)$ , by [3, Lemma (2.4) (iv)],  $\Omega_k(f(D)) = \Omega_k(g(D))$ . Conversely, let  $\Omega_k(f(D)) = \Omega_k(g(D))$ . By [3, Theorem 2.5],

$$\Omega_k(d(D)I_k) = R\Omega_k(f(D)) = R\Omega_k(g(D)) = \Omega_k(d'(D)I_k)$$

i.e.

$$\Omega(d(D))^{\wedge \wedge k} = \Omega(d'(D))^{\wedge \wedge k}$$

This gives  $d(D) = d'(D)$ . As  $\Omega(d(D))^{\wedge \wedge k} = \Omega_k(d(D)I_k)^k$ ,  $\lambda(f^*(D)\overline{R}) = [f^*(D)\Omega_k(d(D)I_k)]^k = \Omega_k(f(D))^k$  and  $\lambda(g^*(D)\overline{R}) = \Omega_k(g(D))^k$ . As  $Rd(D) \subseteq f^*(D)R$  and  $Rd'(D) \subseteq g^*(D)R$ , we get  $f^*(D)R = g^*(D)R$ . However  $Rf(D) = \{h(D) \in R : h(D)f^*(D) \in d(D)R\}$  (see [3, Lemma (2.2)]) As  $d(D) = d'(D)$ , it gives  $Rf(D) = Rg(D)$ .

**PROPOSITION 1.** For any regular  $f(D) \in R$ , the following are equivalent

- (i)  $\Omega(f(D))$  is  $\sigma$ -invariant
- (ii)  $\Omega(f(D)) = \Omega(f^n(D))$
- (iii)  $Rf(D) = Rf^n(D)$

**PROOF.** For any  $S = (s_n) \in \Gamma_k(F)$ , let  $S^\sigma = (\sigma(s_n)) = (Ms_n)$ . Obviously  $S \in \Omega(f(D))$  iff  $S^\sigma \in \Omega_k(Mf(D)M^{-1})$ . Thus  $\Omega_k(f(D))$  is  $\sigma$ -invariant iff  $\Omega_k(f(D)) = \Omega_k(Mf(D)M^{-1})$ . By Lemma 3,  $\Omega_k(f(D)) = \Omega_k(Mf(D)M^{-1})$  iff  $Rf(D) = R(Mf(D)M^{-1})$  iff  $RM^{-1}f(D)M = Rf(D)$  iff  $\Omega(f^n(D)) = \Omega(f(D))$ .

The above proposition shows that if  $Rf(D) = Rg(D)$  for some  $g(D) \in R$  satisfying  $g^n(D) = g(D)$ , then  $\Omega(f(D))$  is  $\sigma$ -invariant. Is the converse true? We investigate this question.

**LEMMA 3.** Let  $f(D) \in R$  be regular such that  $Rf(D) = Rf^n(D)$ , let  $f(D) = Xf^n(D)$ . The following hold:

- (i)  $\det(X) = 1$
- (ii) There exists  $g(D) \in R$  such that  $g^n(D) = g(D)$  and  $Rf(D) = Rg(D)$  iff for some invertible  $A \in R$ ,  $A^n = AX$ .

**PROOF.** (i) is obvious. Let  $g(D)$  exist, then  $g(D) = Af(D)$  for some invertible  $A \in R$ . Then  $g(D) = g^n(D)$ , gives  $AXf^n(D) = A^n f^n(D)$ . Hence  $A^n = AX$ . The converse is obvious.

**LEMMA 4.** Let  $f(D)$  and  $X$  be as in Lemma 3. Let  $X^\lambda$  be obtained from  $X$  by applying the cyclic permutation  $\lambda = (1, 2, 3, \dots, k)$  to the columns of  $X$ . Then some  $k$ -th root of unity, in some field extension of  $F$ , is a characteristic value of  $X^\lambda$ .

**PROOF.** Let  $f(D) = [a_{i,j}]$ ,  $X = [x_{i,j}]$ . The equation  $f(D) = Xf^n(D)$ , gives

$$a_{i,j} = \sum_{u=1}^k x_{iu} a_{u+1,j+1},$$

where  $u+1, j+1$  are least positive residues modulo  $k$ . This is a system of  $k^2$  homogeneous linear equations in  $a_{i,j}$ . By arranging  $a_{i,j}$ 's in the order

$$a_{11}, a_{21}, \dots, a_{k1}, a_{12}, a_{22}, \dots, a_{k2}, \dots$$

we get the coefficient matrix, the  $k^2 \times k^2$ -matrix

$$C = \begin{bmatrix} I & -X^\lambda & 0 & 0 & \dots & 0 & 0 \\ 0 & I & -X^\lambda & 0 & \dots & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & 0 & \dots & I & -X^\lambda \\ -X^\lambda & 0 & 0 & 0 & \dots & 0 & I \end{bmatrix}$$

where  $I$  is the  $k \times k$ -identity matrix. As  $I$  and  $X^\lambda$  commute,  $C$  as a matrix over  $F[X^\lambda, I] \subseteq F^{k \times k}[D]$ , has determinant  $I - (X^\lambda)^k$ . So for some matrix  $C'$  over  $F[X^\lambda, I]$ ,

$$CC' = \text{diag}_{k \times k}[I - (X^\lambda)^k, \dots, I - (X^\lambda)^k].$$

By taking determinant over  $F[D]$ , we get  $\det(C) \det(C') = [\det(I - (X^\lambda)^k)]^k$ . As  $C$  is singular, we get

$$\det(I - (X^\lambda)^k) = 0.$$

This completes the proof

**COROLLARY 1.** For  $k = 2$ , under the hypothesis of Lemma 4,  $X = \begin{bmatrix} a & b \\ -b & c \end{bmatrix}$  with  $ac + b^2 = 1$

**PROOF.** Now  $X^\lambda = \begin{bmatrix} x_{12} & x_{11} \\ x_{22} & x_{21} \end{bmatrix}$ . As  $1$  or  $-1$  is a characteristic value of  $X^\lambda$ , and by Lemma 3,  $x_{11}x_{22} - x_{12}x_{21} = 1$ , the result follows

**THEOREM 2.** Let  $F$  be a Galois field of characteristic  $p \neq 2$ . If a regular  $f(D) \in R = F^{2 \times 2}[D]$  is such that  $\Omega_2(f(D))$  is invariant under  $\sigma$ , then  $\Omega_2(f(D)) = \Omega_2(g(D))$  for some  $g(D) \in R$  satisfying  $g^\eta(D) = g(D)$

**PROOF.** By Proposition 1  $Rf(D) = Rf^\eta(D)$ . Then  $f(D) = Xf^\eta(D)$ , for some  $X = \begin{bmatrix} a & b \\ -b & c \end{bmatrix} \in R$  satisfying  $ac + b^2 = 1$ . In view of Lemma 3 we find an  $A = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \in R$  with  $0 \neq \det(A) \in F$  such that  $A^\eta = AX$ , i.e.

$$\begin{bmatrix} a_{22} & a_{21} \\ a_{12} & a_{11} \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \begin{bmatrix} a & b \\ -b & c \end{bmatrix}$$

Case I.  $b = 0$ . Then  $A = \begin{bmatrix} 1 & 0 \\ 0 & a \end{bmatrix}$  is a solution

Case II.  $b \neq 0$ . By solving the system of linear equations it can be seen that

$$A = \begin{bmatrix} a_{11} & b^{-1}aa_{11} - b^{-1}a_{22} \\ b^{-1}a_{11} - b^{-1}ca_{22} & a_{22} \end{bmatrix} \tag{3.1}$$

with

$$\det(A) = b^{-2}[2a_{11}a_{22} - aa_{11}^2 - ca_{22}^2] \tag{3.2}$$

We now solve for  $a_{11}, a_{22}$ , such that  $A \in R$  and  $\det(A) = 1$ . Then (3.2) gives

$$2a_{11}a_{22} - aa_{11}^2 - ca_{22}^2 = b^2. \tag{3.3}$$

In case  $c = 0$ , (3.3) becomes

$$a_{11}(2a_{22} - aa_{11}) = 1.$$

By taking  $a_{11} \neq 0$  in  $F$ , this equation gives  $a_{22} \in F[D]$ . Similarly if  $a = 0$ , we can solve for  $a_{11}$  and  $a_{22}$ . Let  $a \neq 0 \neq c$ . By multiplying (3.3) by  $c$ , and by putting  $Y = ca_{22}$ , we get

$$(Y - a_{11})^2 = b^2(a_{11}^2 - c). \quad (3.4)$$

This equation shows that  $a_{11}, a_{22}$  should be such that  $a_{11}^2 - c = d^2$ , for some  $d \in F[D]$ . Then

$$(a_{11} - d)(a_{11} + d) = c.$$

As  $c$  divides  $1 - b^2 = (1 - b)(1 + b)$ , and  $1 - b, 1 + b$  are coprime, write  $c = c_1c_2$ , with  $c_1$  and  $c_2$  factors of  $1 + b$  and  $1 - b$  respectively. Put

$$a_{11} - d = c_1, \quad a_{11} + d = c_2.$$

Then

$$a_{11} = \frac{1}{2}(c_1 + c_2), \quad d = \frac{1}{2}(c_2 - c_1).$$

Then (3.4) yields

$$Y - a_{11} = \pm bd.$$

To be definite, take  $Y - a_{11} = bd$ . So that

$$ca_{22} = a_{11} + bd = \frac{1}{2}c_1(1 - b) + \frac{1}{2}c_2(1 + b).$$

Now  $1 - b = c_2d_1, 1 + b = c_1d_2$  for some  $d_1, d_2 \in F[D]$ . Consequently

$$a_{22} = \frac{1}{2}(d_1 + d_2).$$

All that remains to prove is that the other entries of  $A$  are in  $F[D]$ . Now (3.3) yields

$$ab^2 = -(aa_{11} - a_{22})^2 + a_{22}^2(1 - ac) = -(aa_{11} - a_{22})^2 + a_{22}b^2.$$

Consequently  $b^2$  divides  $(aa_{11} - a_{22})^2$ . This gives  $b^{-1}(aa_{11} - a_{22}) \in F[D]$ . Similarly  $b^{-1}(a_{11} - ca_{22}) \in F[D]$ . This proves the theorem.

We now consider the case of  $\text{char } F = 2$ .

**THEOREM 3.** Let  $F$  be a Galois field of characteristic 2. Let  $f(D) \in R = F^{2 \times 2}[D]$  be regular such that  $f(D) = Xf^n(D)$ , for some  $X = \begin{bmatrix} a & b \\ b & c \end{bmatrix} \in R$  satisfying  $ac + b^2 = 1$ . Then there exists  $g(D) \in R$  satisfying  $Rf(D) = Rg(D)$  and  $g^n(D) = g(D)$  iff one of the following holds

(I)  $b = 0$

(II)  $b \neq 0$ , at least one of  $a$  and  $c$  is non-zero,  $a \wedge c = 1$ ,  $a = r^2$  and  $c = s^2$  for some  $r, s \in F[D]$ .

**PROOF.** Let  $Rf(D) = Rg(D)$  with  $g^n(D) = g(D)$ . By Lemma 3 we get an invertible  $A$  in  $R$  such that  $A^n = AX$ . Let  $b \neq 0$ . As in the proof of Theorem 2

$$A = \begin{bmatrix} a_{11} & b^{-1}a_{11} + b^{-1}a_{22} \\ b^{-1}a_{11} + b^{-1}ca_{22} & a_{22} \end{bmatrix} \tag{3.5}$$

and  $\det(A) = b^{-2}(aa_{11}^2 + ca_{22}^2) = \alpha (\neq 0) \in F$ . Thus

$$aa_{11}^2 + ca_{22}^2 = b^2\beta^2, \quad \alpha = \beta^2, \quad \beta \in F. \tag{3.6}$$

As  $ac + b^2 = 1, a \wedge b = b \wedge c = 1$  Then (3.6) yields  $a \wedge c = 1$  Further (3.6) yields

$$[aa_{11} + (1 + b)a_{22}]^2 = b^2a\beta^2.$$

This immediately yields  $a = r^2$  for some  $r \in R$  Similarly  $c = s^2$  for some  $s \in R$

Conversely if (I) holds,  $A = \begin{bmatrix} 1 & 0 \\ 0 & a \end{bmatrix}$  is a solution Let (II) hold. Then  $r \wedge s = 1$  So for some  $x, y \in R$

$$rx + sy = b.$$

This gives  $aa_{11}^2 + ca_{22}^2 = b^2$  with  $a_{11} = x, a_{22} = y$ . This solves for  $A$ .

**EXAMPLE 1.** Let  $\text{char } F = 2$  Consider any  $b_{12}, b_{22} \in F[D]$  such that  $b_{12} + b_{22} \neq 0$ . Then

$$f(D) = \begin{bmatrix} b_{22}D + (D + 1)b_{12} & b_{12} \\ (D + 1)b_{22} + Db_{12} & b_{22} \end{bmatrix}$$

has  $\det(f(D)) = D(b_{12} + b_{22})^2 \neq 0$  Thus  $f(D)$  is regular. Further  $f(D) = Xf^n(D)$  for  $X = \begin{bmatrix} D & D + 1 \\ D + 1 & D \end{bmatrix}$  By Theorem 3 there does not exist any  $g(D) \in R$  satisfying  $g^n(D) = g(D)$  and  $\Omega_2(f(D)) = \Omega_2(g(D))$  although  $\Omega_2(f(D)) = \Omega_2(f^n(D))$

We now determine the dimension and the generating set of a  $\Omega_2(f(D))$ , if  $f^n(D) = f(D)$  We start with the following

**LEMMA 5.** Let  $f(D), g(D)$  and  $r(D)$  be any three non-zero members of  $F[D]$  such that  $r(D)$  divides  $g(D)$  Let  $d(D) = [g(D)/r(D)] \wedge f(D)$ . Then  $\{S \in \Omega(g(D)) : f(D)S \in \Omega(r(D))\} = \Omega(r(D)d(D))$

**PROOF.** Let  $T$  be a generator of the  $F[D]$ -module  $\Omega(g(D))$ . Then for any  $s(D) \in F[D], f(D)s(D)T \in \Omega(r(D))$  iff  $g(D)$  divides  $f(D)s(D)r(D)$  iff  $g(D)/r(D)$  divides  $f(D)s(D)$  iff for  $d(D) = [g(D)/r(D)] \wedge f(D), g(D)/r(D)d(D)$  divides  $s(D)$ . Consequently  $k = \{S \in \Omega(g(D)) : f(D)S \in \Omega(r(D))\}$  is generated by  $g(D)/r(D)d(D)T$ . so that  $K = \Omega(r(D)d(D))$ .

We now consider a regular  $A \in R$  such that  $A^n = A$ . Then  $A = \begin{bmatrix} f(D) & g(D) \\ g(D) & f(D) \end{bmatrix}$  for some  $f(D), g(D) \in F[D]$ . We write  $\Delta = f(D)^2 - g(D)^2 = \det(A)$ ; clearly  $\Delta \neq 0$  Further we put  $d(D) = f(D) \wedge g(D), d_f(D) = f(D) \wedge \Delta$  and  $d_g(D) = g(D) \wedge \Delta$ . As  $d_f(D)$  divides  $f(D)$  and  $f(D)^2 - g(D)^2$  clearly  $d_f(D)$  divides  $d(D)^2$ . So that  $(d_f(D) \vee d_g(D))$  divides  $d(D)^2$  Obviously  $d(D)$  divides  $d_f(D) \wedge d_g(D)$  Consequently  $d(D) = 1$  iff  $d_f(D) = 1 = d_g(D)$  Write  $f(D) = f_1(D)d(D), g(D) = g_1(D)d(D)$ . Then  $f_1(D) \wedge g_1(D) = 1$ , gives  $f_1(D) \wedge (f_1(D)^2 - g_1(D)^2) = 1$  So that

$$\begin{aligned} d_f(D) &= f_1(D)d(D) \wedge d(D)^2(f_1(D)^2 - g_1(D)^2) \\ &= d(D)(f_1(D) \wedge d(D)). \end{aligned}$$

Similarly  $d_q(D) = d(D)(g_1(D) \wedge d(D))$  Consequently  $d_f(D) \wedge d_q(D) = d(D)$  Further  $d_f(D) \vee d_q(D) = [d_f(D)d_q(D)]/d(D)$  We collect these results in the following

**LEMMA 6.** For  $A = \begin{bmatrix} f(D) & g(D) \\ g(D) & f(D) \end{bmatrix}$

- (i)  $d(D) = f(D) \wedge g(D) = d_f(D) \wedge d_q(D)$  and  $d_f(D) \vee d_q(D)$  divides  $d(D)^2$
- (ii)  $d(D) = 1$  iff  $d_f(D) = 1 = d_q(D)$
- (iii)  $d_f(D) \vee d_q(D) = [d_f(D)d_q(D)]/d(D)$

We now prove the theorem that describes generators and the dimension of a  $\Omega_2(A)$  with  $A'' = A$   
 Here  $A = \begin{bmatrix} f(D) & g(D) \\ g(D) & f(D) \end{bmatrix} = d(D) \begin{bmatrix} f_1(D) & g_1(D) \\ g_1(D) & f_1(D) \end{bmatrix} = d(D)A'$ ,  $d(D) = f(D) \wedge g(D)$  Write  $\Delta_1 = \det(A')$  By (2 10),  $g_1(D) \wedge \Delta_1 = 1 = f_1(D) \wedge \Delta_1$  So for some  $\mu, \mu', \lambda, \lambda' \in F[D]$

$$f_1(D) = \mu g_1(D) + \lambda \Delta_1 \tag{3 7}$$

$$g_1(D) = \mu' f_1(D) + \lambda' \Delta_1 \tag{3 8}$$

Let

$$d_1(D) = (\mu - \mu') \wedge \Delta_1. \tag{3 9}$$

We shall use the above expressions and the other previously given notations in the subsequent results

**LEMMA 7.** Let  $T_1$  be a generator of the  $F[D]$ -module  $\Omega(d_1(D))$  Then for

$$A' = \begin{bmatrix} f_1(D) & g_1(D) \\ g_1(D) & f_1(D) \end{bmatrix}$$

$$\Omega_2(A') = \begin{bmatrix} T_1 \\ -\mu T_1 \end{bmatrix}.$$

**PROOF.** As  $\det(A') = \Delta_1$ ,  $\Omega_2(A') \subseteq \Omega(\Delta_1)^{2 \times 1}$  Let  $T$  be a generator of the  $F[D]$ -module  $\Omega(\Delta_1)$  Let  $\begin{bmatrix} S_1 \\ S_2 \end{bmatrix} \in \Omega_2(A')$  Now  $S_1 = s(D)T$  for some  $s(D) \in F[D]$  and  $f_1(D)S_1 = -g_1(D)S_2$  and  $g_1(D)S_1 = -f_1(D)S_2$  By (3 7)  $f_1(D)S_1 = f_1(D)(s(D)T) = g_1(D)(\mu s(D)T)$  So that  $g_1(D)(S_2 + \mu s(D)T) = 0$  This gives  $S_2 + \mu s(D)T \in \Omega(g_1(D)) \cap \Omega(\Delta_1) = 0$ , as  $g_1(D) \wedge \Delta_1 = 1$  Consequently  $S_2 = -\mu s(D)T$  Similarly we also get  $S_2 = -\mu' s(D)T$  So that  $s(D)(\mu - \mu')T = 0$  Consequently  $\Delta_1$  divides  $s(D)(\mu - \mu')$  As  $d_1(D) = (\mu - \mu') \wedge \Delta_1$ , we get  $\Delta_1/d_1(D)$  divides  $s(D)$  Conversely if  $\Delta_1/d_1(D)$  divides  $s(D)$ , it is immediate that  $\begin{bmatrix} s(D)T \\ -\mu s(D)T \end{bmatrix}$  is in  $\Omega_2(A')$  Thus  $\Omega_2(A')$  is the cyclic  $F[D]$ -module generated by  $\begin{bmatrix} T_1 \\ -\mu T_1 \end{bmatrix}$  where  $T_1 = [\Delta_1/d_1(D)]T$  is a generator of  $\Omega(d_1(D))$

**THEOREM 4.** Let  $A = \begin{bmatrix} f(D) & g(D) \\ g(D) & f(D) \end{bmatrix} = d(D) \begin{bmatrix} f_1(D) & g_1(D) \\ g_1(D) & f_1(D) \end{bmatrix} \in R$  be regular Then

$$\Omega_2(A) = F[D] \begin{bmatrix} T \\ -\mu T \end{bmatrix} \oplus F[D] \begin{bmatrix} 0 \\ T' \end{bmatrix}.$$

Where  $T$  and  $T'$  are generators of the  $F[D]$ -modules  $\Omega(d_1(D)d(D))$  and  $\Omega(d(D))$  respectively Further  $\dim(\Omega_2(A)) = \deg(d_1(D)d(D)) + \deg d(D)$

**PROOF.** Now  $\Delta_1 = \Delta/d(D)^2$  So by (3 9)  $d_1(D)d(D)$  divides  $\Delta$  Consequently by Lemma 5  $\Omega(d_1(D)d(D)) = \{S \in \Omega(\Delta) : d(D)S \in \Omega(d_1(D))\}$  Let  $T$  be a generator of the  $F[D]$ -module  $\Omega(d_1(D)d(D))$ , then  $T_1 = d(D)T$  is a generator of  $\Omega(d_1(D))$  Given  $\begin{bmatrix} S_1 \\ S_2 \end{bmatrix} \in \Omega_2(A)$ ,

$$d(D) \begin{bmatrix} S_1 \\ S_2 \end{bmatrix} \in \Omega_2(A'), \text{ by (2 11), } d(D) \begin{bmatrix} S_1 \\ S_2 \end{bmatrix} = s(D) \begin{bmatrix} T_1 \\ -\mu T_1 \end{bmatrix}, s(D) \in F[D].$$

Thus  $d(D)S_1 = s(D)T_1 \in \Omega(d_1(D))$  Consequently  $S_1 \in \Omega(d_1(D)d(D))$  Furthermore we get  $d(D)S_2 = -s(D)\mu T_1 = -\mu d(D)S_1$  So that  $S_2 + \mu S_1 \in \Omega(d(D))$  Hence

$$\begin{bmatrix} S_1 \\ S_2 \end{bmatrix} = \begin{bmatrix} S_1 \\ -\mu S_1 \end{bmatrix} + \begin{bmatrix} 0 \\ S' \end{bmatrix}$$

with  $S_1 \in \Omega(d_1(D)d(D))$ ,  $S' \in \Omega(d(D))$  So that  $\Omega_2(A) \subseteq F[D] \begin{bmatrix} T \\ -\mu T \end{bmatrix} + F[D] \begin{bmatrix} 0 \\ T' \end{bmatrix}$  It is now immediate that

$$\Omega_2(A) = F[D] \begin{bmatrix} T \\ -\mu T \end{bmatrix} \oplus F[D] \begin{bmatrix} 0 \\ T' \end{bmatrix}.$$

The last part is now obvious

**EXAMPLE 2.** Let  $F$  be any Galois field of characteristic 3,

$$A = (D + 2) \begin{bmatrix} f(D) & g(D) \\ g(D) & f(D) \end{bmatrix} \text{ with } f(D) = 2D^2 + 2D, g(D) = D^2 + D + 1.$$

In the notations of Theorem 4,  $d(D) = (D + 2)$ ,  $\mu = \mu' = 1$ ,  $\Delta = (D + 2)^2(D^2 + D + 2)$ ,  $\Delta_1 = D^2 + D + 2$ ,  $d_1(D) = (\mu - \mu') \wedge \Delta_1 = D^2 + D + 2$  So that  $d_1(D)d(D) = D^3 + D + 1$  The impulse response sequence  $T$  in  $\Omega(d_1(D)d(D))$  is of period 8, and its initial cycle is

00102212.

Theorem 4 gives that  $\Omega_2(A)$  consists of all sequences of least periods, factors of 8, with first eight terms

$$\begin{bmatrix} c \\ 2c + d \end{bmatrix}, \begin{bmatrix} b \\ 2b + d \end{bmatrix}, \begin{bmatrix} a + 2c \\ 2a + c + d \end{bmatrix}, \begin{bmatrix} 2b + 2c \\ b + c + d \end{bmatrix}, \begin{bmatrix} 2a + 2b + c \\ a + b + 2c + d \end{bmatrix}, \\ \begin{bmatrix} 2a + b + 2c \\ a + 2b + c + d \end{bmatrix}, \begin{bmatrix} a + 2b \\ 2a + b + d \end{bmatrix}, \begin{bmatrix} a + 2b \\ 2a + b + d \end{bmatrix}, \begin{bmatrix} 2a \\ a + d \end{bmatrix} \text{ with } a, b, c, d \in F.$$

We end this paper with a brief outline of an application of the  $\sigma$ -invariant sequences to recurring planes A recurring plane over a Galois field  $F$  is a matrix,  $\bar{A} = [a_{ij}]$  over  $F$ , indexed by the set of natural numbers and for which there exist positive integers  $p, q$  satisfying  $a_{ij} = a_{i+p, j} = a_{i, j+q}$  for all  $i, j$  Any such ordered pair  $(p, q)$  is called a period of the plane Any consecutive  $k$  rows of  $\bar{A}$  constitute a matrix  $A' = [a_{ij}]$ ,  $s \leq i \leq k + s - 1, j \geq 0$ . Each column of  $A'$  being a member of  $F^{k \times 1}$ , we can regard  $A'$ , a sequence in  $\Gamma_k(F)$  Given a regular  $f(D) \in F^{k \times k}[D]$ , call a recurring plane  $\bar{A}$  a row( $f(D)$ )-plane, if every submatrix of  $\bar{A}$  constituted by any  $k$  consecutive rows of  $\bar{A}$ , is a member of  $\Omega_k(f(D))$ . Given an  $f(D)$  such that  $\Omega_k(f(D))$  is  $\sigma$ -invariant, each  $s \in \Omega_k(f(D))$  gives a row( $f(D)$ )-plane  $\bar{A} = [a_{ij}]$  whose  $i$ -th row equals an  $s$ -th row of  $S$  if  $i \equiv s \pmod k$  The set of these planes can be easily seen to be closed under component-wise addition, shifts of rows, and of columns Their detailed study will be done in some later paper

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