

## A GENERALIZATION OF EKELAND'S VARIATIONAL PRINCIPLE WITH APPLICATIONS

ABDEL R. EL AMROUSS, NAJIB TSOULI

ABSTRACT. In this paper, we establish a variant of Ekeland's variational principle. This result suggest to introduce a generalization of the famous Palais-Smale condition. An example is provided showing how it is used to give the existence of minimizer for functions for which the Palais-Smale condition and the one introduced by Cerami are not satisfied.

### 1. INTRODUCTION

Let  $E$  be a complete metric space with metric  $d$  and  $\Phi : E \rightarrow \mathbb{R} \cup \{\infty\}$  a lower semicontinuous function which is bounded from below and not identically to  $+\infty$ . The Ekeland's variational principle, see [1], allows for each  $\varepsilon > 0$ , each  $\delta > 0$  and each  $x \in E$  such as

$$\Phi(x) \leq \inf_E \Phi + \varepsilon,$$

to build an element  $v \in E$  minimizing the functional  $\Phi_v$  given by

$$\Phi_v(x) = \Phi(x) + \frac{\varepsilon}{\delta}d(x, v).$$

This principle has wide applications in optimization and nonlinear analysis [1, 2, 4].

If  $E$  is a Banach space and  $\Phi : E \rightarrow \mathbb{R}$  is Gâteaux differentiable, lower semicontinuous and bounded from below, then the Ekeland's variational principle provides the existence of a minimizing sequence  $(u_n)$  such as  $\Phi'(u_n) \rightarrow 0$ , when  $n \rightarrow \infty$ . It is well known that if  $\Phi$  satisfies the Palais-Smale condition then  $\Phi$  reaches its minimum. But, it is possible to find a minimizing sequence  $(u_n)$  such as  $\Phi'(u_n) \rightarrow 0$ , when  $n \rightarrow \infty$ , not having any convergent subsequence. Let us take the example of the function  $\Phi(s) = \arctan(s)$ .

Ekeland [2] prove that if  $\Phi$  is bounded below and satisfies the Cerami condition for every  $c \in \mathbb{R}$ , introduced by [3], then  $\Phi$  has a minimal point.

In this note, we prove a variant of Ekeland's variational principle. This result suggest to introduce a generalization of the classical Palais-Smale condition. An example is provided showing how it is used to give the existence of minimizer for functions for which the Palais-Smale condition or the Cerami condition are not

---

2000 *Mathematics Subject Classification*. 58E05, 35J65, 49B27.

*Key words and phrases*. Ekeland's principle variational; Palais-Smale condition; optimization.  
©2006 Texas State University - San Marcos.

Published September 20, 2006.

satisfied. We also generalize some results cited in [1], [5], which the Palais-Smale condition or Cerami condition has failed.

## 2. VARIANTS OF EKELAND'S VARIATIONAL PRINCIPLE

In this section we will prove the following variant of Ekeland's variational principle. We start with a definition.

**Definition 2.1.** We say that  $\alpha : [0, \infty[ \rightarrow ]0, \infty[$  is a comparison function of order  $k$  if for every  $q \geq k$  there exist  $c, d \geq 0$  such that

$$\frac{\alpha((t+1)s)}{\alpha(t)} \leq cs^q + d, \forall t, s \in \mathbb{R}^+.$$

**Examples:**

- (1)  $\alpha(s) = (1+s)^k$
- (2)  $\alpha(s) = (1+s)^k \text{Log}(2+s)$

Let  $(E, d)$  be a complete space metric and  $u \in E$ . Denote by  $\bar{B}(u, r) = \{x \in E \mid d(u, x) \leq r\}$  the closed boule and  $B(u, r) = \{x \in E \mid d(u, x) < r\}$  the open boule.

**Theorem 2.2.** Let  $(E, d)$  be a complete space metric,  $x_0 \in E$  fixed,  $\Phi : E \rightarrow \mathbb{R}$  a lower semi-continuous and bounded below. Let  $\alpha : [0, \infty[ \rightarrow ]0, \infty[$  be a comparison function of order  $k$  continuous nondecreasing. Thus for each  $\varepsilon > 0$ , each  $\delta > 0$  and each  $u \in E$  such that

$$\Phi(u) \leq \inf_E \Phi + \varepsilon$$

there exists a convergent sequence  $(z_n)_{n \geq 1}$  of  $E$  satisfies:

- (i)  $z_1 = u, z_n \in \bar{B}(u, \gamma(u))$  with  $\gamma(u)$  be a positive constant such that  $u \mapsto \frac{\gamma(u)}{1+d(x_0, u)}$  is bounded in  $E$
- (ii) The sequence  $(d(x_0, z_n))_{n \geq 1}$  is nondecreasing
- (iii)  $\sum_{n=1}^j \frac{d(z_n, z_{n+1})}{\alpha(d(x_0, z_{n+1}))} < 2\delta$ , for all  $j \geq 1$
- (iv) for  $v = \lim_{n \rightarrow \infty} z_n, \Phi(v) \leq \Phi(u)$
- (v)  $d(u, v) \leq \min\{\delta\alpha(d(x_0, v)), \gamma(u)\}$
- (vi) for every  $w \in \bar{B}(u, \gamma(u)) \setminus B(u, d(x_0, u))$ ,

$$\Phi(w) \geq \Phi(v) - \frac{\varepsilon}{\delta\alpha(d(x_0, w))} d(v, w).$$

*Proof.* Let us define a partial order in  $E$  by letting

$$\Phi(r) \leq \Phi(s) - \frac{\varepsilon}{\delta\alpha(d(x_0, r))} d(r, s) \quad (2.1)$$

and

$$d(x_0, r) \geq d(x_0, s). \quad (2.2)$$

This relation is easily seen to be reflexive, antisymmetry and transitive. Indeed, it is clear that  $r \prec r$ , for every  $r \in E$ . The partial order  $\prec$  is antisymmetry. Indeed, if  $r \prec s$  and  $s \prec r$  then  $d(x_0, r) = d(x_0, s)$ ,

$$\Phi(r) \leq \Phi(s) - \frac{\varepsilon}{\delta\alpha(d(x_0, r))} d(r, s) \leq \Phi(r) - \frac{2\varepsilon}{\delta\alpha(d(x_0, r))} d(r, s).$$

However  $d(r, s) = 0$  and so  $r = s$ .  $\prec$  is transitive, because if  $r \prec s$  and  $s \prec t$  then  $d(x_0, r) \geq d(x_0, s) \geq d(x_0, t)$  and

$$\Phi(r) \leq \Phi(s) - \frac{\varepsilon}{\delta\alpha(d(x_0, r))} d(r, s), \quad \Phi(s) \leq \Phi(t) - \frac{\varepsilon}{\delta\alpha(d(x_0, s))} d(t, s). \quad (2.3)$$

From  $d(t, s) \leq d(t, r) + d(r, s)$ , (2.3) becomes

$$\Phi(r) \leq \Phi(s) - \frac{\varepsilon}{\delta\alpha(d(x_0, r))} [d(t, r) - d(t, s)], \quad \Phi(s) \leq \Phi(t) - \frac{\varepsilon}{\delta\alpha(d(x_0, s))} d(t, s).$$

This implies

$$\Phi(r) \leq \Phi(t) + \left[ \frac{\varepsilon}{\delta\alpha(d(x_0, r))} - \frac{\varepsilon}{\delta\alpha(d(x_0, s))} \right] d(t, s) - \frac{\varepsilon}{\delta\alpha(d(x_0, r))} d(r, t).$$

Since  $\alpha(\cdot)$  is nondecreasing and  $d(x_0, r) \geq d(x_0, s)$ , we obtain

$$\begin{aligned} r \prec s \text{ and } s \prec t &\Rightarrow \begin{cases} \Phi(r) \leq \Phi(t) - \frac{\varepsilon}{\delta\alpha(d(x_0, r))} d(r, t) \\ d(x_0, r) \geq d(x_0, t) \end{cases} \\ &\Rightarrow r \prec t. \end{aligned}$$

Moreover, if we denote  $S = \{r \in E \mid r \prec s\}$ , by lower semi-continuity of  $E$ ,  $S$  is closed.

Let  $\varepsilon$ ,  $\delta$ ,  $u$  and  $\gamma(u)$  given by theorem. Now we define a sequence  $S_n$  of subsets as follows. Start with  $z_1 = u$  and define

$$S_1 = \{w \in E \mid w \prec z_1\} \cap \bar{B}(u, \gamma(u)),$$

and inductively

$$S_n = \{w \in E \mid w \prec z_n\} \cap \bar{B}(u, \gamma(u)), z_{n+1} \in S_n$$

such that

$$\Phi(z_{n+1}) \leq \inf_{S_n} \Phi + \frac{1}{(n+1)\alpha(d(x_0, z_n))}. \quad (2.4)$$

Clearly by transitivity of  $\prec$  the sequence  $(S_n)_n$  is a decreasing sequence of non empty closed sets. Hence also  $(d(x_0, z_n))_n$  is a bounded nondecreasing sequence and converges in  $[d(x_0, u), d(x_0, u) + \gamma(u)]$ .

Now we prove that the diameters of these sets go to zero:  $\text{diam}S_n \rightarrow 0$ . Indeed, on one hand  $w \in S_{n+1}$  implies

$$\Phi(w) \leq \Phi(z_{n+1}) - \frac{\varepsilon}{\delta\alpha(d(x_0, w))} d(w, z_{n+1}) \quad \text{and} \quad d(x_0, w) \geq d(x_0, z_{n+1}).$$

From (2.4), it results

$$\Phi(w) \leq \inf_{S_n} \Phi + \frac{1}{(n+1)\alpha(d(x_0, z_n))} - \frac{\varepsilon}{\delta\alpha(d(x_0, w))} d(w, z_{n+1}).$$

This implies

$$d(w, z_{n+1}) \leq \frac{\delta}{\varepsilon(n+1)} \frac{\alpha(d(x_0, w))}{\alpha(d(x_0, z_n))}.$$

On the other hand, we have that  $w$  belongs to  $\bar{B}(u, \gamma(u))$ , we obtain

$$d(w, z_{n+1}) \leq \frac{\delta}{\varepsilon(n+1)} \frac{\alpha(\gamma(u) + d(x_0, u))}{\alpha(d(x_0, z_n))}. \quad (2.5)$$

Since the function  $u \mapsto \frac{\gamma(u)}{1+d(x_0, u)}$  is bounded, then there exists  $M > 0$  such that

$$\gamma(u) \leq M(1 + d(x_0, u)). \quad (2.6)$$

From (2.5), (2.6) and  $\alpha(\cdot)$  is a nondecreasing function, it results

$$d(w, z_{n+1}) \leq \frac{\delta}{\varepsilon(n+1)} \frac{\alpha((M+1)(1 + d(x_0, z_n)))}{\alpha(d(x_0, z_n))}. \quad (2.7)$$

By (2.7) and  $\alpha(\cdot)$  is a comparison function of order  $k$ , there exist  $c, d > 0$  such that

$$d(w, z_{n+1}) \leq \frac{\delta}{\varepsilon(n+1)}(c(M+1)^k + d), n \in \mathbb{N}$$

which gives  $\text{diam } S_{n+1}$  go to 0, when  $n \rightarrow \infty$ .

Now we claim that the unique point  $v \in E$  in the intersection of the  $S_n$ 's satisfies conditions (iii)–(vi) of Theorem 2.2. Let then  $\bigcap_n S_n = \{v\}$  and  $z_n$  converges to  $v$ . Since  $z_j \prec z_{j-1} \prec \cdots \prec z_1$ ; and by (2.1), we have

$$\begin{aligned} \Phi(z_{j+1}) &\leq \Phi(z_j) - \frac{\varepsilon}{\delta\alpha(d(x_0, z_{j+1}))}d(z_j, z_{j+1}) \\ &\leq \Phi(z_1) - \sum_{n=1}^j \frac{\varepsilon d(z_n, z_{n+1})}{\delta\alpha(d(x_0, z_{n+1}))} \end{aligned}$$

or

$$\begin{aligned} \sum_{n=1}^j \frac{\varepsilon d(z_n, z_{n+1})}{\delta\alpha(d(x_0, z_{n+1}))} &\leq \Phi(u) - \Phi(z_{j+1}) \\ &\leq \inf_E \Phi + \varepsilon - \Phi(z_{j+1}) \leq \varepsilon. \end{aligned}$$

Thus assertion (iii) is shown. Since  $v \in S_1$ , (iv) is clear. It also results from it that

$$\frac{\varepsilon}{\delta\alpha(d(x_0, v))}d(v, u) \leq \Phi(u) - \Phi(v) \leq \inf_E \Phi + \varepsilon - \Phi(v) \leq \varepsilon.$$

The assertion (v) is shown.

Finally, we prove (vi), let  $w \in E$  such that  $w \prec v$  and  $w \in \bar{B}(u, \gamma(u))$ , then we have  $w \prec z_n$  for every  $n$ . This gives  $w \in \bigcap_n S_n$  and  $w = v$ , which means that  $v$  be an minimal element in  $\bar{B}(u, \gamma(u))$ , i.e.

$$w \in \bar{B}(u, \gamma(u)) \quad \text{and} \quad w \prec v \Rightarrow w = v.$$

Consequently,

$$\Phi(w) > \Phi(v) - \frac{\varepsilon}{\delta\alpha(d(x_0, w))}d(v, w)$$

for every  $w \in \bar{B}(u, \gamma(u)) \setminus B(x_0, d(x_0, v))$ . The proof is complete.  $\square$

### 3. APPLICATIONS

In this section  $H$  denotes a Hilbert space, recall that a function  $\Phi : H \rightarrow \mathbb{R}$  is called Gâteaux differentiable if at every point  $x_0$ , there exists a continuous linear functional  $f'(x_0)$  such that, for every  $e \in X$ ,

$$\lim_{t \rightarrow 0} \frac{f(x_0 + te) - f(x_0)}{t} = \langle f'(x_0), e \rangle.$$

We always assume that  $\alpha : [0, \infty[ \rightarrow ]0, \infty[$  is a continuous nondecreasing comparison function of order  $k$ . For the rest of the text we will write

$$\Phi^c = \{u \in H : \Phi(u) \leq c\},$$

for the sublevel sets as usual.

**Definition 3.1.** We say that  $\Phi$  satisfies  $(C_c^\alpha)$  if: Every sequence  $(u_n)_n \subset H$  such that  $\Phi(u_n) \rightarrow c$  and  $\Phi'(u_n)\alpha(\|u_n\|) \rightarrow 0$  possesses a convergent subsequence.

**Remark 3.2.** Note that if  $\alpha(s) = cte$ , the  $(C_c^\alpha)$  condition is just the famous Palais-Smale condition and if  $\alpha(s) = s + 1$ ,  $(C_c^\alpha)$  is  $(C)$  condition introduced by Cerami in [3].

We can now state the following result.

**Theorem 3.3.** *Let  $H$  be a Hilbert space,  $\Phi : H \rightarrow \mathbb{R}$  lower semi-continuous, bounded below and Gâteaux differentiable. Let  $\alpha : [0, \infty[ \rightarrow ]0, \infty[$  a continuous non-decreasing comparison function of order  $k$ . Assume that for every  $\varepsilon > 0$ ,*

$$\Phi^{a+\varepsilon} \cap K \neq \emptyset,$$

*with  $K$  is bounded in  $H$  and  $\Phi$  satisfies  $(C_a^\alpha)$ , with  $a = \inf_H \Phi$ , then  $\Phi$  has a minimal point.*

For the proof of this theorem we will use the following lemmas.

**Lemma 3.4.** *Under the conditions of Theorem 3.3, for every  $\varepsilon > 0$ , every  $u \in H$  such that  $\Phi(u) \leq \inf_H \Phi + \varepsilon$  and every  $\delta > 0$  such that*

$$\delta \leq \frac{\|u\| + 1}{2\alpha(3(1 + \|u\|))}$$

*there exists  $v \in H$  that satisfies*

- (1)  $\Phi(v) \leq \Phi(u)$
- (2)  $\frac{\|v-u\|}{\alpha(\|v\|)} \leq \delta$
- (3) *for every  $h \in H, t \in \mathbb{R}$  such that  $\|h\| = 1, |t| \leq 1$  and  $t \langle v, h \rangle \geq 0$  we have*

$$\Phi(v + th) \geq \Phi(v) - \frac{\varepsilon}{\delta\alpha(\|v + th\|)}|t|.$$

*Proof.* Let in Theorem 2.2,  $x_0 = 0, \gamma(u) = 2(\|u\| + 1)$  and  $d(x, y) = \|x - y\|$  for every  $x, y \in H$ . Then, by iv) and v) of Theorem 2.2, there exists  $v \in H$  ( $v = \lim_{n \rightarrow \infty} z_n, (z_n)$  the sequence built in theorem 2.2) such that

$$\Phi(v) \leq \Phi(u) \text{ and } \|v - u\| \leq \delta\alpha(\|v\|). \tag{3.1}$$

Thus assertions 1. and 2. follow.

Now we prove the assertion 3. Let  $h \in H$  such that  $\|h\| = 1$  and  $|t| \leq 1$  we have  $v \in \bar{B}(u, \|u\| + 1)$ . Indeed, if not  $\|u\| + 1 < \|v - u\|$ . Since  $\alpha(\cdot)$  is nondecreasing,  $\delta \leq \frac{\|u\| + 1}{2\alpha(3(1 + \|u\|))}$  and by (3.1), it results

$$\|u\| + 1 < \|v - u\| \leq \delta\alpha(\|v\|) \leq \delta\alpha(3(1 + \|u\|)) \leq \frac{\|u\| + 1}{2}.$$

This is a contradiction. Furthermore, we have

$$\begin{aligned} \|v + th\| &\leq \|v\| + |t|\|h\| = \|v\| + |t| \\ &\leq 2\|u\| + 1 + 1 = \gamma(u). \end{aligned}$$

On the other hand, it is clear, since  $t\langle v, h \rangle \geq 0$ , that

$$\|v + th\| = [\|v\|^2 + \|th\|^2 + 2t\langle v, h \rangle]^{1/2} \geq \|v\|.$$

Thus, by (iv), (v), (vi) of Theorem 2.2, assertions 1, 2, 3 of the lemma follow.  $\square$

**Lemma 3.5.** *Under the conditions of Theorem 3.3, we have*

$$|\langle \Phi'(v), h \rangle| \leq \frac{\varepsilon}{\delta\alpha(\|v\|)}, \quad \forall h \in H, \|h\| = 1. \tag{3.2}$$

*Proof.* Let  $h \in H$  such that  $\|h\| = 1$  and consider two cases:

Case 1. If  $\langle v, h \rangle \geq 0$  and  $t > 0$ , from 3. of Lemma 3.4 and  $\Phi$  being Gâteaux differentiable, letting  $t$  approach 0, we obtain

$$\langle \Phi'(v), h \rangle \geq -\frac{\varepsilon}{\delta\alpha(\|v\|)}.$$

Case 2. In the similar way, if  $\langle v, h \rangle \leq 0$  and  $t < 0$  goes to 0, we have

$$\langle \Phi'(v), h \rangle \leq \frac{\varepsilon}{\delta\alpha(\|v\|)}, \quad \forall h, \|h\| = 1.$$

Thus the Lemma 3.5 follows.  $\square$

*Proof of Theorem 3.3.* For  $\varepsilon = \frac{1}{n}$ , with  $n \geq 1$ , there exists a sequence  $(u_n) \subset K$  such that

$$\Phi(u_n) \leq a + \frac{1}{n}$$

and, since  $(u_n)$  is bounded, there exists  $\delta > 0$  such that

$$\delta \leq \frac{\|u_n\| + 1}{\alpha(3(1 + \|u_n\|))}, \quad \forall n \geq 1.$$

Consequently, by Lemma 3.4 and Lemma 3.5, there exists a sequence  $(v_n)$  satisfying

- (i)  $\Phi(v_n) \leq \Phi(u_n)$
- (ii)  $\|\Phi'(v_n)\|_{\alpha(\|v_n\|)} \rightarrow 0$ , as  $n \rightarrow \infty$ .

The  $(C_a^\alpha)$  condition implies that  $(v_n)$  has a subsequence  $(v_{n_k})$  convergent to some point  $u$ . Since  $\Phi$  is lower semi-continuous, we get

$$\inf_H \Phi \leq \Phi(u) \leq \liminf_{k \rightarrow \infty} \Phi(v_{n_k}) \leq \inf_H \Phi.$$

Therefore,  $\Phi(u) = \inf_H \Phi$ .  $\square$

Now, we illustrate Theorem 3.3 by an example where the function  $\Phi$  checks the conditions of Theorem 3.3, but the Palais-Smale condition and Cerami condition do not hold.

**Example.** Consider

$$f(s) = \begin{cases} \arctan(s) & \text{if } s \leq 0 \\ \sin(s) & \text{if } 0 \leq s \leq 2\pi \\ \arctan(s - 2\pi) & \text{if } s \geq 2\pi. \end{cases}$$

and  $\Phi(u) = f(2\pi + \text{Log}(\|u\|^2 + 1) - (\|u\|^2 + 1)^{\frac{1}{2}})$  for  $u \in H$ . It is clear that  $\Phi$  is  $C^1$  functional and  $a = \inf_H \Phi = -1$ . Take

$$K = \{u \in H : \log(\|u\|^2 + 1) - (\|u\|^2 + 1)^{\frac{1}{2}} \in [-2\pi, 0]\},$$

it is easy to see that  $\Phi^{-1+\varepsilon} \cap K \neq \emptyset$  for every  $\varepsilon > 0$ . On the other hand,  $\Phi$  satisfies  $(C_c^\alpha)$ , with  $\alpha(s) = s^2 + 1$ , and by Theorem 3.3,  $\Phi$  has a minimal point  $u_0$  which  $\Phi'(u_0) = 0$ .

**Theorem 3.6.** Let  $\Phi : H \rightarrow \mathbb{R}$  be Gâteaux differentiable and bounded below, says  $a \inf_H \Phi$ . Assume that  $\alpha : [0, \infty[ \rightarrow ]0, \infty[$  be a continuous nondecreasing function such that  $\int_1^\infty \frac{1}{\alpha(s)} ds = +\infty$ . If  $\Phi$  satisfies  $(C_a^\alpha)$  then the set  $\Phi^{a+\beta}$  is bounded, for some  $\beta > 0$ .

The main point to prove Theorem 3.6 is the following.

**Lemma 3.7.** *Under the conditions of Theorem 3.6, for every  $\varepsilon > 0$ , every  $u \in H$  such that  $\Phi(u) \leq \inf_H \Phi + \varepsilon$  and every  $\delta > 0$  there exists  $v \in H$  satisfies*

- (1)  $\Phi(v) \leq \Phi(u)$
- (2)  $\frac{\|v-u\|}{\alpha(\|v\|)} \leq \delta$
- (3) for every  $h \in H$  such that  $\|h\| = 1$ , we have

$$|\langle \Phi'(v), h \rangle| \leq \frac{\varepsilon}{\delta \alpha(\|v\|)}.$$

*Proof.* Let in Theorem 2.2,  $x_0 = 0$  and  $d(x, y) = \|x - y\|$  for every  $x, y \in H$ . From theorem 2.2 there exists a sequence  $(z_n)_{n \geq 1}$  satisfying  $(\|z_n\|)$  is nondecreasing and

$$\sum_{n=1}^j \frac{\|z_n - z_{n+1}\|}{\alpha(\|z_{n+1}\|)} < 2\delta, \quad \forall j \geq 1. \quad (3.3)$$

However, since  $\int_1^\infty \frac{1}{\alpha(s)} ds = +\infty$  there exists  $\gamma > 0$  such that

$$\delta \leq \frac{1}{2} \int_{\|u\|}^{\|u\|+\gamma} \frac{1}{\alpha(s)} ds. \quad (3.4)$$

Put  $v = \lim_{n \rightarrow \infty} z_n$  and  $\gamma(u) = 2\|u\| + \gamma + 1$  in Theorem 2.2. Thus, by (iv)-(v) of Theorem 2.2, we obtain

$$\Phi(v) \leq \Phi(u) \quad \text{and} \quad \|v - u\| \leq \delta \alpha(\|v\|).$$

For the proof of assertion 3, it is enough to verify that  $h \in H$  such that  $\|h\| = 1$  we have  $v + th \in \bar{B}(u, \gamma(u))$  for every  $t$  sufficiently small. Now we prove that

$$\|z_n\| \leq \|u\| + \gamma, \quad \forall n \geq 1. \quad (3.5)$$

If not, there exists  $j \geq 1$  such that  $\|z_{j+1}\| > \|u\| + \gamma$ . However, by (3.4) and  $\alpha$  is nondecreasing, we obtain

$$\begin{aligned} 2\delta &\leq \int_{\|z_1\|}^{\|z_{j+1}\|} \frac{1}{\alpha(s)} ds \\ &\leq \sum_{n=1}^j \int_{\|z_n\|}^{\|z_{n+1}\|} \frac{1}{\alpha(s)} ds \\ &\leq \sum_{n=1}^j \frac{\|z_{n+1}\| - \|z_n\|}{\alpha(\|z_{n+1}\|)} \\ &\leq \sum_{n=1}^j \frac{\|z_n - z_{n+1}\|}{\alpha(\|z_{n+1}\|)}. \end{aligned}$$

This contradicts (3.3). Using (3.5), we have

$$\|v - u\| \leq 2\|u\| + \gamma. \quad (3.6)$$

Thus, for  $|t| \leq 1$  and  $h \in H$  such that  $\|h\| = 1$  and by (3.6), it results

$$\|v + th - u\| \leq 2\|u\| + \gamma + 1 = \gamma(u).$$

Finally, the Lemma 3.5 allows to conclude. The proof is complete.  $\square$

*Proof of theorem 3.6.* Suppose, by contradiction, that  $\Phi^{a+\beta}$  is unbounded for all  $\beta > 0$ . Then, there exists  $(u_n)$  such that  $\|u_n\| \geq n$  and

$$\Phi(u_n) \leq a + \frac{1}{n}.$$

and Lemma 3.7 with  $\varepsilon = (\frac{1}{n})^2, \delta = \frac{1}{n}$  implies the existence of  $(v_n)$  satisfying

- (i)  $\Phi(v_n) \leq \Phi(u_n)$
- (ii)  $\|v_n - u_n\| \leq \frac{1}{n}\alpha(\|v_n\|)$
- (iii)  $\|\Phi'(v_n)\|\alpha(\|v_n\|) \rightarrow 0$ , as  $n \rightarrow \infty$ .

We reach a contradiction with  $(C_a^\alpha)$ , since (i)-(iii) give respectively

- (1)  $\Phi(v_n) \rightarrow a$ , as  $n \rightarrow \infty$ ,
- (2)  $\|v_n\| \rightarrow \infty$ , as  $n \rightarrow \infty$ ,
- (3)  $\|\Phi'(v_n)\|\alpha(\|v_n\|) \rightarrow 0$ , as  $n \rightarrow \infty$ .

□

As an immediate consequence of the above results we have the following result.

**Corollary 3.8.** *Let  $H$  be a Hilbert space,  $\Phi : H \rightarrow \mathbb{R}$  lower semi-continuous, bounded below and Gâteaux differentiable. Assume that  $\alpha : [0, \infty[ \rightarrow ]0, \infty[$  be a continuous nondecreasing function such that  $\int_1^\infty \frac{1}{\alpha(s)} ds = +\infty$ . If  $\Phi$  satisfies  $(C_a^\alpha)$ , with  $a = \inf_H \Phi$ , then  $\Phi$  has a minimal point.*

#### REFERENCES

- [1] Ekeland I., *On the variational principle*, J. Math. Anal. Applic., 47 (1974) 324-357.
- [2] Ekeland I., *Convexity methods in Hamiltonian mechanics*, Springer, Berlin, (1990).
- [3] Cerami G., *Un criterio de esistenza per i punti critici su varietà ilimitate*, Rc. Ist. Lomb. Sci. Lett. 121,(1978) 332-336.
- [4] Clark, F. H. *Optimisation and Nonsmooth Analysis*, Wiley, NewYork1983.
- [5] Costa, D. G., Alves De B. eSilva; *The Palais-Smale condition versus coercivity*, Nonlinear Analysis, T. M. A. 16 (1991) 371-381.

ABDEL R. EL AMROUSS

UNIVERSITY MOHAMED 1ER, FACULTY OF SCIENCES, DEPARTMENT OF MATHEMATICS, OUJDA, MOROCCO

*E-mail address:* amrouss@sciences.univ-oujda.ac.ma

NAJIB TSOULI

UNIVERSITY MOHAMED 1ER, FACULTY OF SCIENCES, DEPARTMENT OF MATHEMATICS, OUJDA, MOROCCO

*E-mail address:* tsouli@sciences.univ-oujda.ac.ma