

## COEFFICIENT BOUNDS FOR A SUBCLASS OF BI-UNIVALENT FUNCTIONS USING SALAGEAN OPERATOR

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ABSTRACT. In the present paper, we introduce new subclasses  $ST_{\Sigma}(b, \phi)$  and  $CV_{\Sigma}(b, \phi)$  of bi-univalent functions defined in the open disk. Furthermore, we find upper bounds for the second and third coefficients for functions in these new subclasses using Salagean operator.

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### 1. INTRODUCTION, DEFINITIONS AND PRELIMINARIES

Let  $\mathcal{A}$  denote the class of functions  $f(z)$  of the form

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n, \quad (1)$$

which are analytic in the open unit disk  $\mathbb{U} = \{z \in \mathcal{C} : |z| < 1\}$ . Further, by  $\mathcal{S}$  we shall denote the class of functions  $f \in \mathcal{A}$  which are univalent in  $\mathbb{U}$ .

Since univalent functions are one-to-one, they are invertible and the inverse functions need not be defined on the entire unit disk  $\mathbb{U}$ . However, the famous Koebe one-quarter theorem ensures that the image of the unit disk  $\mathbb{U}$  under every function  $f \in \mathcal{A}$  contains a disk of radius  $1/4$ . Thus every univalent function  $f$  has an inverse  $f^{-1}$  satisfying  $f^{-1}(f(z)) = z$ , ( $z \in \mathbb{U}$ ) and  $f(f^{-1}(w)) = w$ , ( $|w| < r_0(f)$ ,  $r_0(f) \geq \frac{1}{4}$ ) where

$$f^{-1}(w) = w - a_2 w^2 + (2a_2^2 - a_3) w^3 - (5a_2^3 - 5a_2 a_3 + a_4) w^4 + \dots \quad (2)$$

A function  $f \in \mathcal{A}$  is said to be bi-univalent in  $\mathbb{U}$  if both  $f(z)$  and  $f^{-1}(z)$  are univalent in  $\mathbb{U}$ . We let  $\Sigma$  to denote the class of bi-univalent functions in  $\mathbb{U}$  given by (1). If  $f(z)$  is bi-univalent, it must be analytic in the boundary of the domain and

such that it can be continued across the boundary of the domain so that  $f^{-1}(z)$  is defined and analytic throughout  $|w| < 1$ . Examples of functions in the class  $\Sigma$  are

$$\frac{z}{1-z}, -\log(1-z)$$

and so on.

The coefficient estimate problem for the class  $\mathcal{S}$ , known as the Bieberbach conjecture, is settled by de-Branges [4], who proved that for a function  $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$  in the class  $\mathcal{S}$ ,  $|a_n| \leq n$ , for  $n = 2, 3, \dots$ , with equality only for the rotations of the Koebe function

$$K_0(z) = \frac{z}{(1-z)^2}.$$

In 1967, Lewin [7] introduced the class  $\Sigma$  of bi-univalent functions and showed that  $|a_2| < 1.51$  for the functions belonging to  $\Sigma$ . It was earlier believed that for  $f \in \Sigma$ , the bound was  $|a_n| < 1$  for every  $n$  and the extremal function in the class was  $\frac{z}{1-z}$ . E.Netanyahu [9] in 1969, ruined this conjecture by proving that in the set  $\Sigma$ ,  $\max_{f \in \Sigma} |a_2| \leq 4/3$ . In 1969, Suffridge [13] gave an example of  $f \in \Sigma$  for which  $a_2 = 4/3$  and conjectured that  $|a_2| \leq 4/3$ . In 1981, Styer and Wright [12] disproved the conjecture that  $|a_2| > 4/3$ . Brannan and Clunie [2] conjectured that  $|a_2| \leq \sqrt{2}$ . Kedzierawski [6] in 1985 proved this conjecture for a special case when the function  $f$  and  $f^{-1}$  are starlike functions. Brannan and Clunie [2] conjectured that  $|a_2| \leq \sqrt{2}$ . Tan [14] in proved that  $|a_2| \leq 1.485$  which is the best known estimate for functions in the class of bi-univalent functions.

Brannan and Taha [3] introduced certain subclasses of the bi-univalent function class  $\Sigma$  similar to the familiar subclasses  $\mathcal{S}^*(\alpha)$  and  $C(\alpha)$  of the univalent function class  $\Sigma$ . Recently, Ali et al.[1] extended the results of Brannan and Taha [3] by generalising their classes using subordination.

An analytic function  $f$  is subordinate to an analytic function  $g$ , written  $f(z) \prec g(z)$ , provided there is a Schwarz function  $w$  defined on  $\mathbb{U}$  with  $w(0) = 0$  and  $|w(z)| < 1$  satisfying  $f(z) = g(w(z))$ . Ma and Minda [8], unified various subclasses of starlike and convex functions for which either of the quantity  $\frac{zf'(z)}{f(z)}$  or  $1 + \frac{zf''(z)}{f'(z)}$  is subordinate to a more general superordinate function. For this purpose, they considered an analytic function  $\phi$  with positive real part in the unit disk  $U$ ,  $\phi(0) = 1$ ,  $\phi'(0) > 0$  and  $\phi$  maps  $U$  onto a region starlike with respect to 1 and symmetric with respect to the real axis. Such a function has a series expansion of the form

$$\phi(z) = 1 + B_1 z + B_2 z^2 + B_3 z^3 + \dots, (B_1 > 0). \tag{3}$$

Let a differential operator be defined [11] on a class of analytic functions of the form (1) as follows:

$$D^0 f(z) = f(z), \quad D^1 f(z) = Df(z) = zf'(z)$$

and in general

$$D^n f(z) = D(D^{n-1}f(z)) \quad (n \in \mathbb{N}_0 = \mathbb{N} \cup \{0\}).$$

We easily find that

$$D^k f(z) = z + \sum_{n=2}^{\infty} n^k a_n z^n \quad (n \in \mathbb{N}_0). \quad (4)$$

**Definition 1.** Let  $b$  be a non-zero complex number. A function  $f(z)$  given by (1) is said to be in the class  $ST_{\Sigma}(b, \phi)$  if the following conditions are satisfied:

$$f \in \Sigma \quad \text{and} \quad 1 + \frac{1}{b} \left( \frac{z(D^m f(z))'}{D^m f(z)} - 1 \right) \prec \phi(z), \quad z \in \mathbb{U} \quad (5)$$

$$\text{and} \quad 1 + \frac{1}{b} \left( \frac{w(D^m g(w))'}{D^m g(w)} - 1 \right) \prec \phi(w), \quad w \in \mathbb{U}, \quad (6)$$

where the function  $g$  is given by (2).

**Definition 2.** Let  $b$  be a non-zero complex number. A function  $f(z)$  given by (1) is said to be in the class  $CV_{\Sigma}(b, \phi)$  if the following conditions are satisfied:

$$f \in \Sigma \quad \text{and} \quad 1 + \frac{1}{b} \left( \frac{z(D^m f(z))''}{(D^m f(z))'} \right) \prec \phi(z), \quad z \in \mathbb{U} \quad (7)$$

$$\text{and} \quad 1 + \frac{1}{b} \left( \frac{w(D^m g(w))''}{(D^m g(w))'} \right) \prec \phi(w), \quad w \in \mathbb{U}, \quad (8)$$

where the function  $g$  is given by (2).

## 2. COEFFICIENT ESTIMATES

**Lemma 1.** [10] If  $p \in \wp$ , then  $|c_k| \leq 2$  for each  $k$ , where  $\wp$  is the family of functions  $p$  analytic in  $\mathbb{U}$  for which  $\Re p(z) > 0$ ,  $p(z) = 1 + c_1 z + c_2 z^2 + \dots$  for  $z \in \mathbb{U}$ .

**Theorem 2.** Let the function  $f(z) \in \mathcal{A}$  be given by (1). If  $f \in ST_{\Sigma}(b, \phi)$ , then

$$|a_2| \leq \frac{B_1 \sqrt{B_1} |b|}{\sqrt{|(2(3^m) - 2^{2m}) B_1^2 b + (B_1 - B_2) 2^{2m}|}} \quad \text{and} \quad |a_3| \leq \frac{(B_1 + |B_2 - B_1|) |b|}{2(3^m) - 2^{2m}}. \quad (9)$$

*Proof.* Since  $f \in ST_{\Sigma}(b, \phi)$ , there exists two analytic functions  $r, s : \mathbb{U} \rightarrow \mathbb{U}$ , with  $r(0) = 0 = s(0)$ , such that

$$1 + \frac{1}{b} \left( \frac{z (D^m f(z))'}{D^m f(z)} - 1 \right) = \phi(r(z)) \quad \text{and} \quad 1 + \frac{1}{b} \left( \frac{w (D^m g(w))'}{D^m g(w)} - 1 \right) = \phi(s(z)). \quad (10)$$

Define the functions  $p$  and  $q$  by

$$p(z) = \frac{1 + r(z)}{1 - r(z)} = 1 + p_1 z + p_2 z^2 + \dots \quad \text{and} \quad q(z) = \frac{1 + s(z)}{1 - s(z)} = 1 + q_1 z + q_2 z^2 + \dots. \quad (11)$$

Or equivalently,

$$r(z) = \frac{p(z) - 1}{p(z) + 1} = \frac{1}{2} \left( p_1 z + \left( p_2 - \frac{p_1^2}{2} \right) z^2 + \left( p_3 + \frac{p_1}{2} \left( \frac{p_1^2}{2} - p_2 \right) - \frac{p_1 p_2}{2} \right) z^3 + \dots \right) \quad (12)$$

and

$$s(z) = \frac{q(z) - 1}{q(z) + 1} = \frac{1}{2} \left( q_1 z + \left( q_2 - \frac{q_1^2}{2} \right) z^2 + \left( q_3 + \frac{q_1}{2} \left( \frac{q_1^2}{2} - q_2 \right) - \frac{q_1 q_2}{2} \right) z^3 + \dots \right). \quad (13)$$

It is clear that  $p$  and  $q$  are analytic in  $\mathbb{U}$  and  $p(0) = 1 = q(0)$ . Also  $p$  and  $q$  have positive real part in  $\mathbb{U}$  and hence  $|p_i| \leq 2$  and  $|q_i| \leq 2$ . In the view of (11), (12) and (13), clearly,

$$1 + \frac{1}{b} \left( \frac{z (D^m f(z))'}{D^m f(z)} - 1 \right) = \phi \left( \frac{p(z) - 1}{p(z) + 1} \right) \quad \text{and} \quad 1 + \frac{1}{b} \left( \frac{w (D^m g(w))'}{D^m g(w)} - 1 \right) = \phi \left( \frac{q(w) - 1}{q(w) + 1} \right). \quad (14)$$

Using (13) and (14) together with (3), one can easily verify that

$$\phi \left( \frac{p(z) - 1}{p(z) + 1} \right) = 1 + \frac{B_1 p_1}{2} z + \left( \frac{B_1}{2} \left( p_2 - \frac{p_1^2}{2} \right) + \frac{1}{4} B_2 p_1^2 \right) z^2 + \dots \quad (15)$$

and

$$\phi \left( \frac{q(w) - 1}{q(w) + 1} \right) = 1 + \frac{B_1 q_1}{2} w + \left( \frac{B_1}{2} \left( q_2 - \frac{q_1^2}{2} \right) + \frac{B_2 q_1^2}{4} \right) w^2 + \dots \quad (16)$$

Since  $f \in \Sigma$  has the Maclaurin series given by (1), computation shows that its inverse  $g = f^{-1}$  has the expansion given by (2). It follows from (14), (15) and (16) that

$$2^m a_2 = \frac{1}{2} B_1 p_1 b, \quad (17)$$

$$2(3^m) a_3 - (2^{2m}) a_2^2 = \frac{1}{2} b B_1 \left( p_2 - \frac{1}{2} p_1^2 \right) + \frac{1}{4} b B_2 p_1^2 \quad (18)$$

and

$$-2^m a_2 = \frac{1}{2} B_1 b q_1, \quad (19)$$

$$(4(3^m) - (2^{2m})) a_2^2 - 2(3^m) a_3 = \frac{1}{2} b B_1 \left( q_2 - \frac{1}{2} q_1^2 \right) + \frac{1}{4} b B_2 q_1^2. \quad (20)$$

From (17) and (19), it follows that

$$p_1 = -q_1. \quad (21)$$

Now (18), (20) and (21) gives

$$a_2^2 = \frac{B_1^3 (p_2 + q_2) b}{4 \left( (2 \cdot 3^m - 2^{2m}) B_1^2 b + 2^{2m} (B_1 - B_2) \right)}. \quad (22)$$

Using the fact that  $|p_2| \leq 2$  and  $|q_2| \leq 2$  gives the desired estimate on  $|a_2|$ ,

$$|a_2| \leq \frac{B_1 \sqrt{B_1} |b|}{\sqrt{|(2 \cdot 3^m - 2^{2m}) B_1^2 b + (B_1 - B_2) 2^{2m}|}}.$$

From (18)-(20), gives

$$a_3 = \frac{\frac{b B_1}{2} \left( (4(3^m) - 2^{2m}) p_2 + 2^{2m} q_2 \right) + 3^m p_1^2 (B_2 - B_1) b}{4(2(3^{2m}) - 3^m 2^{2m})}.$$

Using the inequalities  $|p_1| \leq 2$ ,  $|p_2| \leq 2$  and  $|q_2| \leq 2$  for functions with positive real part yields the desired estimation of  $|a_3|$ .

For a choice of  $\phi(z) = \frac{1 + Az}{1 + Bz}$ ,  $-1 \leq B < A \leq 1$ , we have the following corollary.

**Corollary 3.** *Let  $-1 \leq B < A \leq 1$ . If  $f \in ST_\Sigma\left(b, \frac{1+Az}{1+Bz}\right)$ , then*

$$|a_2| \leq \frac{|b|(A - B)}{\sqrt{|(2(3^m) - 2^{2m})(A - B)b + (1 + B)2^{2m}|}}$$

and

$$|a_3| \leq \frac{|A - B|(1 + |1 + B|)|b|}{(2(3^m) - 2^{2m})}.$$

If we let  $\phi(z) = \left(\frac{1+z}{1-z}\right)^\alpha = 1 + 2\alpha z + 2\alpha^2 z^2 + \dots$ ,  $0 < \alpha \leq 1$ , in the above theorem, we get the following:

**Corollary 4.** *Let  $0 < \alpha \leq 1$ . If  $f \in ST_\Sigma(b, \alpha)$ , then*

$$|a_2| \leq \frac{|b|2\alpha}{\sqrt{|2\alpha(2(3^m) - 2^{2m})b + (1 - \alpha)2^{2m}|}}$$

and

$$|a_3| \leq \frac{(1 + |\alpha - 1|)2\alpha|b|}{2(3^m) - 2^{2m}}.$$

**Theorem 5.** *Let the function  $f(z) \in \mathcal{A}$  be given by (1). If  $f \in CV_\Sigma(b, \phi)$ , then*

$$|a_2| \leq \frac{B_1\sqrt{B_1}|b|}{\sqrt{2|(3^{m+1} - 2^{2m+1})B_1^2b + 2(B_1 - B_2)2^{2m}|}} \quad \text{and} \quad |a_3| \leq \frac{(B_1 + |B_2 - B_1|)|b|}{2(3^{m+1} - 2^{2m+1})}. \quad (23)$$

*Proof.* Since  $f \in CV_\Sigma(b, \phi)$ , there exists two analytic functions  $r, s : \mathbb{U} \rightarrow \mathbb{U}$ , with  $r(0) = 0 = s(0)$ , such that

$$1 + \frac{1}{b} \left( \frac{z(D^m f(z))''}{(D^m f(z))'} \right) = \phi(r(z)) \quad \text{and} \quad 1 + \frac{1}{b} \left( \frac{w(D^m g(w))''}{(D^m g(w))'} \right) = \phi(s(z)). \quad (24)$$

Using (11), (12), (15) and (16), one can easily verified that

$$2^{m+1}a_2 = \frac{1}{2}B_1p_1b, \quad (25)$$

$$6(3^m)a_3 - 4(2^{2m})a_2^2 = \frac{1}{2}bB_1 \left( p_2 - \frac{1}{2}p_1^2 \right) + \frac{1}{4}bB_2p_1^2 \quad (26)$$

and

$$-2^{m+1}a_2 = \frac{1}{2}B_1bq_1, \quad (27)$$

$$(12(3^m) - 4(2^{2m}))a_2^2 - 6(3^m)a_3 = \frac{1}{2}bB_1\left(q_2 - \frac{1}{2}q_1^2\right) + \frac{1}{4}bB_2q_1^2. \quad (28)$$

From (25) and (27), it follows that

$$p_1 = -q_1. \quad (29)$$

Now (26), (28) and (29) gives

$$a_2^2 = \frac{B_1^3(p_2 + q_2)b}{8((3 \cdot 3^m - 2 \cdot 2^{2m})B_1^2b + 2(B_1 - B_2)(2^{2m}))}. \quad (30)$$

Using the fact that  $|p_2| \leq 2$  and  $|q_2| \leq 2$  gives the desired estimate on  $|a_2|$ ,

$$|a_2| \leq \frac{B_1\sqrt{B_1}|b|}{\sqrt{2|(3^{m+1} - 2^{2m+1})B_1^2b + 2(B_1 - B_2)2^{2m}|}}.$$

From (26)-(28), gives

$$a_3 = \frac{\frac{bB_1}{2}((12(3^{2m}) - 4(2^{2m}))p_2 + 4(2^{2m})q_2) + (B_2 - B_1)bp_1^23^{m+1}}{24(3^m)(3^{m+1} - 2^{2m+1})}.$$

Using the inequalities  $|p_1| \leq 2$ ,  $|p_2| \leq 2$  and  $|q_2| \leq 2$  for functions with positive real part yields

$$|a_3| \leq \frac{(B_1 + |B_2 - B_1|)|b|}{2(3^{m+1} - 2^{2m+1})}.$$

For a choice of  $\phi(z) = \frac{1 + Az}{1 + Bz}$ ,  $-1 \leq B < A \leq 1$ , we have the following corollary.

**Corollary 6.** *Let  $-1 \leq B < A \leq 1$ . If  $f \in ST_\Sigma\left(b, \frac{1+Az}{1+Bz}\right)$ , then*

$$|a_2| \leq \frac{|b|(A - B)}{\sqrt{2|(3^{m+1} - 2^{2m+1})(A - B)b + 2(1 + B)2^{2m}|}}$$

and

$$|a_3| \leq \frac{|A - B|(1 + |1 + B|)|b|}{2(3^{m+1} - 2^{2m+1})}.$$

If we let  $\phi(z) = \left(\frac{1+z}{1-z}\right)^\alpha = 1 + 2\alpha z + 2\alpha^2 z^2 + \dots$ ,  $0 < \alpha \leq 1$ , in the above theorem, we get the following:

**Corollary 7.** *Let  $0 < \alpha \leq 1$ . If  $f \in ST_\Sigma(b, \alpha)$ , then*

$$|a_2| \leq \frac{|b|\alpha}{\sqrt{|(3^{m+1} - 2^{2m+1})\alpha b + (1 - \alpha)2^{2m}|}}$$

and

$$|a_3| \leq \frac{(1 + |\alpha - 1|)\alpha|b|}{(3^{m+1} - 2^{2m+1})}.$$

**Remark 1.** *If we let  $b = 1, m = 0$ , Theorem 2.2 and Theorem 2.5 reduce to the result of R.M.Ali et.al [1], corollary 2.1 and corollary 2.2.*

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